THE SOUTH DAKOTA COURT REPORTERS ASSOCIATION COURT REPORTERS MANUAL

A manual of rules, guidelines, and procedures for court reporting in the state of South Dakota.

Second Printing: June 2015

SOUTH DAKOTA COURT REPORTERS MANUAL (JUNE 2015)

This manual is intended to provide help and guidance to court reporters practicing in the state of South Dakota. In this manual, we offer suggestions as to reporting practices and procedures and also cite sections from the South Dakota Codified Laws regarding court reporting. In many cases, there will be more than one acceptable way to do things.

This manual is not intended as legal advice. Anyone seeking legal advice should contact an attorney.

To save space, code sections and rules mentioned in this manual may not be quoted in their entirety. All bolding and italics are the editor's. The laws and websites mentioned in this manual were current as of 2/1/15. Over time, laws change and Web pages move. The South Dakota Codified Laws are updated online in January and July. To make sure you have the most up-to-date information available, always double-check the information you get from this manual before quoting it to someone.

Portions of this manual are reprinted from the Iowa Court Reporters Manual, copyright June 2003, with the permission of the authors.

To access this manual online, go to <u>www.2courts.com</u>. UJS Official Court Reporters may also access the manual on the UJS Intranet.

Abbreviations used in this manual:

CAT: Computer-Aided Transcription

CART: Communication Access Realtime Translation

COPE: Committee on Professional Ethics (an NCRA Committee)

CRR: Certified Realtime Reporter

DRR: Department of Revenue & Regulation (of South Dakota)

FAPR: Fellow of the Academy of Professional Reporters

FRCP: Federal Rules of Civil Procedure

NCRA: National Court Reporters Association

RDR: Registered Diplomate Reporter

RMR: Registered Merit Reporter

RPR: Registered Professional Reporter

SDCL: South Dakota Codified Laws

SDCRA: South Dakota Court Reporters Association

TTAB: Trademark Trial and Appeal Board (regarding patent and trademark reporting)

UJS: Unified Judicial System (of South Dakota)

TABLE OF CONTENTS:

-	· •	- ·	\neg	_	1	-		TI	٠.			¬-	~	7	7		1	٠.	~	-		TT		_	-		-	-			7	7	-	-	~			7		•
`		. (٠,		11	1	1	. 1 💊	2	Λ	ы	-/ L	-	"		へ	11.	- 1	٠,	•		I L	,		v	ы	v	1	ı	, ,	, F	ı	, C	- 10	NI.	<i>(-</i> 2 H/P	NI L	ıv	Λ	
١.)	`.\					710	N.	•	$\overline{}$			`.							•	"	J I	•		1	1 7		١,	AIN.	\ I		717	7		N	GEN	NΙ	`I\	$\overline{}$	

Section 1.	The South Dakota Court Reporter	page 4
Section 2.	Notaries Public; Oaths and Affirmations	page 9
Section 3.	Collecting and Reporting Sales Tax	page 11
SECTIONS REL	ATED PRIMARILY TO FREELANCE COURT REPOR	TING
Section 4.	The Standard Stipulation	
Section 5.	Certified Questions and Excerpts of Proceedings	page 14
Section 6.	Certificate of Reporter	
Section 7.	Reading and Signing	page 18
Section 8.	Marking Exhibits and Indexing Transcripts	page 20
Section 9.	Filing and Distribution of Transcript	.page 22
Section 10.	Retention of Freelance Notes and Recordings	page 24
Section 11.	Miscellaneous Proceedings	page 26
Section 12.	Federal Depositions	page 30
Section 13.	Billing Procedures	page 34
Section 14.	Frequently Asked Questions	.page 36
Section 15.	Sample Pages and Forms for Freelancers	page 38
SECTIONS PRI	MARILY RELATED TO OFFICIAL COURT REPORTIN	NG
Section 16.	The Official Court Reporter	page 71
Section 17.	Reporting and Transcribing Official Court Proceedings	
Section 18.	Exhibits	
Section 19.	Transcript Preparation	
Section 20.	Realtime, Rough Drafts, Daily Copy	
Section 21.	Confidential and Miscellaneous Proceedings	
Section 22.	Interpreted Proceedings	
Section 23.	Sample Pages and Forms for Officials	
MISCELLANEO	OUS INFORMATION (APPENDIX)	
Section 24.	South Dakota terms and brief forms	page 127, 129
	A few Native American terms and briefs	
	South Dakota counties and their numbers for case files	
	South Dakota statutes related to court reporting	
	Federal statutes related to court reporting	
INDEX		page 133

SECTION 1. THE SOUTH DAKOTA COURT REPORTER.

1.1. Certification of court reporters. South Dakota does not license or certify court reporters. A court reporter does not need to be certified in order to work in South Dakota, although the South Dakota Unified Judicial System gives preference to certified court reporters applying for positions as official court reporters with the state. To administer oaths, a court reporter needs to be a notary public. See Section 1.2 and Section 2.

UJS Policy 1-SC-09 (rev. 6/09) reads, in part, "Although certification standards for shorthand reporters may be advisable...[t]he Court will not become involved in looking at the concept of an individual board developing proposed rules for certification of shorthand reporters in South Dakota." However, it also states that holding certification as an NCRA Registered Professional Reporter "shall be a hiring preference for UJS Court Reporters," and that "The UJS shall reimburse UJS court reporters for successful completion of certification tests leading to RMR and RDR certifications."

A UJS official court reporter receives a 5% merit pay increase for being certified as a Certified Realtime Reporter (CRR).

- **1.2. Becoming a Notary Public.** A court reporter must be a South Dakota notary public in order to administer oaths in the state of South Dakota. To become a South Dakota notary public, the reporter either must be a South Dakota resident or have a business address in South Dakota and reside in an out-of-state county that directly borders South Dakota. For more information, contact the secretary of state, or go online to www.sdsos.gov. See also Section 2.
- **1.3. Obtaining a sales tax license; charging sales tax**. You must charge sales tax on appearance fees and on transcripts delivered within the state of South Dakota. You must charge sales tax on anything (including transcript fees, appearance fees, fees for copying exhibits, even postage and delivery charges) that you bill to a non-tax-exempt client. If you are moving your business into South Dakota from another state, you may also be liable for use tax on equipment and supplies purchased in another state and brought into South Dakota. For more information, see Section 3.
- **1.4. Joining the South Dakota Court Reporters Association**. SDCRA has one of the lowest membership fees in the nation, a free listing in the South Dakota Bar Membership Directory (the place most SD lawyers turn first when trying to find a court reporter), and two low-cost continuing education conventions each year.

To be accepted into membership of SDCRA, you must attend an SDCRA business meeting and be sponsored by a court reporter who is an in-state active member of SDCRA. A membership application is available online at the SDCRA website, or use the one at Section 1.8. Membership dues at this writing (4/10/2015) are \$50 annually, due and payable at the fall meeting each year. A late fee will be assessed if dues are not paid by December 31.

SDCRA's website is at http://www.southdakotacourtreporters.org/

1.5. Joining the National Court Reporters Association. Membership in NCRA is not required, nor is it necessary to have any of NCRA's certifications in order to work as a court reporter in South Dakota. However, NCRA membership is recommended. To join, call NCRA at 1-800-272-6272 or visit them online at http://www.NCRAonline.org.

1.6. Anticontracting law.

"SDCL 15-6-28(c). Disqualification to take deposition for interest. No deposition shall be taken before a person who is a relative or employee or attorney or counsel of any of the parties, or is a relative or employee of such attorney or counsel, or is financially interested in the action.

"An employee, as described above, includes a person who has a contractual relationship with a person or entity interested in the outcome of the litigation, including anyone who may ultimately be responsible for payment to provide reporting or other court services, and a person who is employed part-time or full-time under contract or otherwise by a person who has a contractual relationship with a party to provide reporting or other court services.

"The officer taking the deposition, or any other person with whom such officer has a principal and agency relationship, shall not enter into an agreement for reporting service which does any of the following:

- (1) Requires or allows the court reporter reporting the deposition to relinquish control of an original deposition transcript and copies of the transcript before it is certified and delivered to the custodial attorney as required in § 15-6-30(f)(1);
- (2) Requires the court reporter to provide special financial terms or other services that are not offered at the same time and on the same terms to all other parties in the litigation, or in any way offers any incentives or rewards to the attorneys, parties to the litigation, or to anyone else who has an interest in the litigation;
 - (3) Gives an exclusive monetary or other advantage to any party;
- (4) Compromises the authenticity of the record or the impartiality of the court reporter, or that may result in the appearance that the authenticity of the record or the impartiality of the court reporter has been compromised;
- (5) Allows a person, other than the court reporter or firm, to establish the rates charged by the court reporter or firm;
- (6) Includes the court reporter, entity or firm providing or arranging for court reporting services on any list of preferred providers of court reporting services that is maintained by any person, entity or firm that has entered into an oral or written contractual agreement for more than one case with any attorney, party to an action, insurance company, third-party administrator, or any other person or entity that has a financial interest in the case;
- (7) Requires the noticing attorney to utilize a specified court reporter, entity or firm, or requires the noticing attorney to act in a manner that may lead to violation of any provision; or
 - (8) Restricts said attorney from reimbursement for such court reporting services.

"Contracts for court reporting services for federal, state, or local governments and subdivisions thereof are excluded. Negotiating or bidding reasonable fees, equal to all parties, by the attorneys or the parties, with the court reporter of their choice, on a case-by-case basis is not prohibited.

"These provisions may not be waived by disclosure, agreement, stipulation, or by any other means unless a request for waiver is contained in the notice of deposition.

"Any deposition taken in violation of these provisions shall be considered void."

1.7. Websites containing rules of procedure and other helpful information (as of 2/1/15)

South Dakota Court Reporters Association: http://www.southdakotacourtreporters.org/

South Dakota Court Reporters Manual: www.2courts.com

National Court Reporters Association: http://www.NCRAonline.org.

South Dakota Codified Laws: www.sd.gov/statutes/Codified_Laws/. (there is an underscore

between Codified and Laws: Codified_Laws)

South Dakota Criminal Justice Directory: http://dci.sd.gov, click on The Office tab (for spellings of law enforcement personnel, such as county sheriffs, highway patrol personnel, DCI agents. Or Google South Dakota Criminal Justice Directory to find the latest PDF version

South Dakota Department of Revenue (includes list of city tax rates): www.dor.sd.gov

South Dakota Notarial Rules: www.sdsos.gov

South Dakota Tribal Court contacts (includes names and addresses for tribal judges, ICWA directors, tribal prosecutors): www.ujs.sd.gov/uploads/TribalContactInfo.pdf

UJS Official Court Reporters may access the UJS Intranet for UJS Policies and the Employee Handbook: http://ujs.sd.gov/, click on UJS Intranet, and then use the same user name and password you use to log onto your courthouse computer each morning.

Federal Rules of Civil Procedure may be found at various places online; for example, www.law.cornell.edu/rules/frcp/

Patent and Trademark Rules of Procedure: www.uspto.gov/trademarks/law/tmlaw.pdf

Merriam-Webster Dictionary Online: www.merriam-webster.com

Zip Codes: https://www.usps.com/zip4

** note: websites change, move, and disappear over time. These sites were available as of 3/24/15.

SDCRA MEMBERSHIP APPLICATION FORM

(Complete for Active, Associate, and Out-of-State Membership)

Reporter Status: { } Freelance { } Official	{ } Associate { } Out of State
Name:	
Employer or Name of Firm:	
Primary Address for Contact Purposes:	
Work Phone:	_ Home Phone (optional)
Fax:	_Cell Phone (optional)
E-Mail Address:	
	Directory. The purpose is simply to have it on hand for the secretary.)
{ } Applying for an Associate Membership (I (and services provided)	ist profession)
{ } Applying for Out-of-State Membership (f South Dakota)	for court reporters who do no court reporting in the state of
What certifications do you hold? { } RPR { } RMR { } RDR { } C	CRR { }CBC { }CRI { }CART { }FAPR
{ } Official (list Circuit and Judge Name)	
{ } CSR (list state)	_
	on to become an <u>Associate or Out-of-State Member</u> , please plication Form with the appropriate dues to:
Maxine	Risty, SDCRA treasurer
4	8390 266 th Street
Bi	randon, SD 57005
(Associate Membership due	s, \$25; Out-of-State Membership dues, \$25)
Updated September 2014 Draft September 2013	Page 9

(page 1 of 2 pages of the application)

(For those persons completing this application to become an Active Member, please complete the rest of the Application Form.) Year you began reporting _____ { } Graduate of an NCRA-accredited court reporting program (list college, college address, and year of Has your license/certification to practice court reporting in this or any other state ever been suspended or revoked? { } No { } Yes (If you answered "yes," please explain on the back of this form.) _____, hereby affirm that I have no felony criminal conviction of record with any court of jurisdiction, federal or state. _____Date: ____ Signature of Applicant To be completed at Business Meeting: _____, am a qualified active member of the South Dakota Court Reporters Association and hereby attest to the qualifications and sponsor the above applicant for membership in SDCRA, which applicant has appeared in person at the regular business meeting of SDCRA on this date. ___Date: ___ Signature of Sponsor Please submit this form and \$50 annual dues payment to the Treasurer before the Business Meeting (FOR OFFICE USE ONLY) Send a copy of this Application Form to Kerry Lange for the Membership Directory. Provide emergency e-mail to the secretary. Updated September 2014

(page 2 of 2 pages of the application)

SECTION 2. BECOMING A NOTARY; ADMINISTERING AN OATH.

2.1.1 Notary public as public officer. Once a court reporter becomes a notary public, the reporter is considered a public "officer" in the sense that the reporter now holds an "office," or position of responsibility, and is, to quote the website of the secretary of state, "charged with 'special trust and confidence in integrity and ability."

**NOTE: When you certify a transcript, you state, declare, or vouch for its accuracy in writing. This does not require the use of your notarial powers. DO NOT PLACE YOUR NOTARY STAMP ON YOUR CERTIFICATE OF REPORTER. It is against the law to notarize your own signature. See Section 6.6.

The South Dakota Secretary of State has an excellent website with Notary Information and forms needed to apply to become a notary public: www.sdsos.gov

Most court reporters only use their notarial powers to administer oaths. If you perform any other notarial services, it is a good idea (though not required by South Dakota law) to keep a journal that lists what you notarized, for whom and on what date you notarized it, and the means by which you identified the person who signed the document (you must always obtain proof of identification if you notarize something for a person not personally known to you). Be sure to read the Notary Public Handbook you will get when you receive your notary commission. If you have been a notary public for a few years now, you should go to the website www.sdsos.gov and read what it says so you have the most recent information available.

Here are a few of the South Dakota Codified Law sections regarding notaries public:

- SDCL 18-1-11. Notarizing without appearance by parties as misdemeanor.
- SDCL 18-1-12.1. Acting without commission a violation.
- SDCL 18-1-13. Removal from office if convicted of misdemeanor or felony.
- SDCL 18-3-1. Officers authorized to administer oaths.
- **2.1.2.** Fee not charged for administration of oath by public officer. SDCL 18-3-6 says, "No fee for the administering of oaths shall be charged or taxed as costs against any person by any official authorized to administer oaths when the oath so administered is in connection with some official duty of said officer essential to the administration of his office."
- **2.2.1. Forms of oath and affirmation.** These are two oaths/affirmations set out in the South Dakota Codified Laws:
- 19-14-3.1 and 19-14-3.2. Form for **oath/affirmation of witness**: "You do solemnly swear [or affirm] that the evidence you shall give relative to the matter in difference now in hearing between ______, plaintiff, and ______, defendant, shall be the truth, the whole truth, and nothing but the truth, [so help you God] [under the pains and penalties of perjury]." (You may want to add "If so, say 'I do.")
- 19-14-4.1. Form for **oath/affirmation of interpreter**. "I, _____, do solemnly swear (or affirm) that I will justly, truly, and impartially interpret, to the best of my skill and judgment, and will make a true interpretation to any party or witness, the oath or affirmation administered in all matters; the questions which may be asked and the answers that shall be given to such questions, and all statements relative to any [court proceedings, probation activities, or any other proceeding] now under consideration in which I am employed to interpret, [so help me God] [under the pains and penalties of perjury]."

If you use different wording when administering an oath/affirmation, the oath or affirmation is still

- valid. SDCL 22-29-8 says, "The term oath...includes any affirmation, and every other mode of attesting the truth of that which is stated, which is authorized by law. It is no defense [to perjury] that the oath/affirmation was administered or taken in an irregular manner."
- **2.2.2. Do not administer an oath/affirmation over the phone.** Among the "Dos and Don'ts" listed on the SD Secretary of State's website is this instruction: "Don't attempt to take an acknowledgment or administer an oath over the telephone or without the individual being present." SDCL 18-1-11 says, "Notarizing without appearance by parties as misdemeanor. It is a Class 2 misdemeanor for any notary public to affix his official signature to documents when the parties have not appeared before him." SDCL 18-1-13 says, "Any notary who is convicted of committing an act which is designated as a misdemeanor in this chapter or any felony shall be removed from office by the secretary of state."

If your client is accustomed to stipulating to administering the oath over the phone, remember that attorneys are not allowed to stipulate to something that is illegal, and that it is against the law to administer oaths over the phone in some states. Don't be surprised if an out-of-state attorney refuses to agree to your client's stipulation to swear the witness over the phone.

2.2.3. Administration of oath may be done via teleconference. An oath may be administered to a witness appearing by an interactive audiovisual device (ITV, teleconference). SDCL 15-5A-1 says in part, "A judge or any other person authorized by law to administer oaths may administer an oath to a witness who is not personally present but who is appearing by means of the interactive audiovisual device. The provisions of SDCL §22-29-1 shall apply even though the person taking the oath was not personally present before the person administering the oath..."

It is unclear whether a notary may administer an oath to a witness appearing over an interactive audiovisual device when that witness is physically in another state.

- **2.3.1. Depositions taken within the United States.** SDCL 15-6-28(a) says that "depositions shall be taken before an officer authorized to administer oaths by the laws of this state...or before a person appointed by the court in which the action is pending. A person so appointed has power to administer oaths and take testimony." Some reporters read this to mean that if a reporter is named in a deposition notice as the person or officer before whom the deposition will be taken, that confers upon the reporter the power to administer an oath to the witness named in the notice even if the deposition is taken out of state.
- **2.3.2. Depositions in foreign countries.** SDCL 15-6-28(b) says, in part, that a deposition intended for use in a South Dakota case may be taken "Before a person commissioned by the court, and a person so commissioned shall have the power by virtue of his commission to administer any necessary oath and take testimony." It adds that "A notice or commission may designate the person before whom the deposition is to be taken either by name or descriptive title."
- **2.3.4.** Power/Authority of Officer in Charge of Taking Deposition. The court reporter is sometimes referred to as the officer in charge of the deposition or the officer taking the deposition. All this means is that the court reporter holds an "office," or position of special trust and confidence in integrity and ability, and is charged with the responsibility of preserving and guarding the record, ensuring that a true and accurate record is made of proceedings. This office does not imbue the reporter with judgelike powers. In other words, a court reporter at a deposition does not have, for example, the power to instruct a witness to answer or to overrule objections, even though once in a while an attorney at a deposition may try to get the reporter to do so.

SECTION 3. COLLECTING AND REPORTING SALES TAX

- **3.1. Sales tax.** You must charge sales tax on appearance fees if the appearance was in the state of South Dakota and on transcripts delivered within the state of South Dakota. **Note: the information in this section comes in part from a 2006 email from the DRR, found in Section 15.24.
- (a) Getting a license. In order to sell anything in the State of South Dakota, you must have a sales tax license. To get one, go online at www.state.sd.us/drr; or contact the South Dakota Department of Revenue & Regulation (SD DRR) Business Tax Division, 445 E. Capitol Ave., Pierre, SD 57501-3100 (phone 1-800-829-9188 or 605-773-3311in the Pierre area).
- **(b)** Use tax on supplies and equipment. If you are moving your business into South Dakota from another state, you may also be liable for use tax on equipment and supplies purchased in another state and brought into South Dakota. Consult the Sales Tax Guide provided by the DRR for more information. It is online at the Department's website under the Publications link.
- **(c)** You must charge tax on everything. Sales tax is charged on everything you bill for, not just the transcript. Tax is charged on appearance fees, fees for photocopied exhibits, long-distance telephone fees for phone depositions done at your office, or anything else, such as delivery fees (*including postage*, according to the Sales Tax Guide issued by the SD DRR), as well. You don't need to set the tax for each item out separately. Add everything up ("bundle" everything together) and figure the sales tax on the total.
- (d) No tax is charged to government entities, such as state's attorneys, court-appointed attorneys IF you are billing the state/county and not the attorney directly, tribal authorities, East River/West River Legal Services, school boards, or city councils, to name a few. You will need proof that your payment is being received directly from a governmental body. Be sure to (a) bill the governmental body directly and (b) keep evidence (check stub or envelope with County Auditor's name and address on it, photocopy of check showing it's drawn on school board's account, etc.) to prove you were paid directly by the governmental body in case you are audited, **OR** obtain a properly completed exemption certificate signed by an authorized individual from the governmental entity.
- (e) Municipal sales tax is based on delivery location/Out-of-state delivery. The municipal sales tax is usually the tax of the city in which the delivery of the transcript is made, not where the proceedings were reported or transcribed. For example, if you report the proceedings in Pierre and send the transcript to an attorney in Huron, you charge the Huron municipal sales tax in addition to state tax. If you report in Pierre and the attorney picks the transcript up at the courthouse in Parker, charge the Parker sales tax even if the attorney is from Huron. If you mail the transcript to an out-of-state address, you do not charge state or municipal sales tax.

If you are only billing an appearance fee, the municipal sales tax is the tax of the city where the proceedings were conducted. If you reported it in Pierre and no one ordered a transcript, charge the Pierre municipal sales tax in addition to the state tax, even if you are billing an out-of-state attorney.

You can get a list of municipal tax rates at the DRR website. If the city is not in the list, then it doesn't charge a municipal sales tax.

If the transcript is being delivered to an out-of-state address, you do not need to charge sales tax. If the transcript is being delivered to an in-state address, but the bill is being sent to an out-of-state address, you *do* need to charge sales tax, because tax is based on where the transcript is delivered, not where the bill is sent. If the bill is for an appearance fee *only*, charge sales tax even to an out-of-state attorney. Base the municipal tax on the place where you appeared. However, if you are charging the out-of-state attorney for the transcript and appearance fee and delivering it to an out-of-state address, you don't need to charge tax on the appearance fee if it is bundled in with the fee for the transcript. Sections 3.2, 15.16, and 23.10 show samples of invoices.

According to the Department of Revenue and Regulation, if the bill is for tangible items sent to an out-of-state address, don't charge tax on those tangible items and don't charge tax on fees for services if those fees are bundled in with the bill for the tangible items. The out-of-state attorney may be liable for use tax if the deposition is meant for use in a South Dakota case, but out-of-state attorneys practicing in South Dakota are required to have a tax license, so they are responsible for paying their own use tax. The court reporter does not bill for use tax.

Some attorneys from Oregon may try to tell you that under Oregon law they don't have to pay sales tax *anywhere*. However, anyone who purchases anything in South Dakota is subject to South Dakota's sales tax laws.

(f) Charging sales tax on photocopies not made in your office. If you pay to have exhibits photocopied, the copy business will charge you sales tax. If you then charge extra for the copies in addition to the original cost plus tax that the copy business charged, you have to charge sales tax on the amount you're charging your client for the copies. For example: Kinko's in Yankton charged you 10 cents a page for 10 exhibits, which is \$1.00, plus the 4% state and 2% municipal tax, so you paid Kinko's \$1.06. If you bill the attorney 15 cents a page for the 10 exhibits, you must also bill the attorney 4% state tax on \$1.50 plus whatever the municipal tax is for the city where the exhibits are being delivered.

If you aren't charging the attorney more for the exhibits than the copy business charged you, you can either: (a) charge sales tax on the original-cost-plus-sales-tax because you're bundling all your charges together on the bill; or (b) you can give the copy business a signed Exemption Certificate so they will not charge you sales tax on your copies. This is purchasing your copies as a sale for resale and you will then bill the attorney for sales tax on those exhibits. Contact the DRR at 800-829-9188 for an Exemption Certificate or go to their website at www.state.sd.us/drr to download a copy of an Exemption Certificate.

Municipal sales taxes change periodically (January 1 and July 1 only), so make sure you read updates the Department of Revenue & Regulation will send you.

3.2. SAMPLE BILL:

A bill to an attorney in Sioux Falls for a deposition reported in Vermillion, SD.

Fee for transcript: 100 pages x \$3.40 is	\$340.00
Appearance fee:	35.00
Exhibits (10 pp x 10 cents/page)	1.00
Postage:	4.05
Pretax subtotal:	\$380.05
SD sales tax (4%)	14.20
Sioux Falls municipal sales tax (2%)	7.10
Total bill:	\$401.35

A bill to an attorney in Des Moines for a deposition reported in SD but sent to IA:

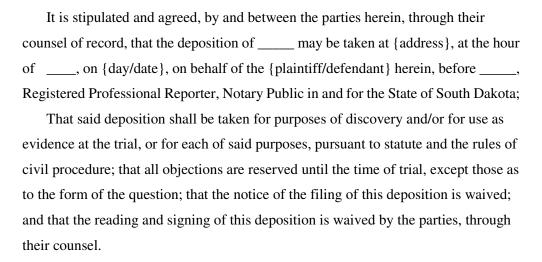
Fee for transcript: 100 pages x \$3.40 is	\$340.00
Appearance fee:	35.00
Exhibits (10 pp x 10 cents/page)	1.00
Postage:	4.05
Total bill:	\$380.05
(no sales tax – out-of-state delivery)	

SECTION 4. STANDARD STIPULATION.

At one time there may have been a "standard" or "usual" stipulation included in the court rules, but at this point the standard stipulation is more a custom than a requirement. SDCL 15-6-29 states, "If the parties so stipulate in writing, depositions may be taken before any person, at any time or place, upon any notice, and in any manner and when so taken may be used like other depositions."

- **4.1. Do not insert stipulation unless instructed to do so.** Only insert a stipulation if the attorneys ask you to. If you are asked to "Insert the same stipulation we used last time," get a copy of it and insert it after a parenthetical to the effect of (Stipulation from previous deposition inserted at the request of counsel, as follows:) and then insert it. If the previous stipulation was in colloquy, you may insert it by cutting and pasting that colloquy, making necessary changes (for example, dates and witness names, if applicable) or by paraphrasing it and putting it in paragraph form. See an example of a nonstandard stipulation at Section 15.3(b).
- **4.2. Wording of standard stipulation.** If you are asked to insert the "standard" stipulation at the start of the deposition, this is one form that is used by some South Dakota court reporters:

STIPULATION



- Note 1: Be sure to include in the transcript the colloquy agreeing to the insertion of the standard stipulation. Also be prepared to recite the stipulation or show a copy to counsel if you are asked what your "standard" stipulation is, because the attorney may be unfamiliar with it.
- Note 2: The line about reading and signing being waived by the parties, not just by the witness, is in accordance with SDCL 15-6-30(e). If the standard stipulation is inserted into the deposition, you still have to ask the witness if he/she wants to read and sign, but you don't have to ask the parties. Be sure to put any waiver on the record. See also Section 7 re Reading and Signing generally and Section 12.3 re federal depositions.

SECTION 5. CERTIFIED QUESTIONS AND EXCERPTS OF PROCEEDINGS

- **5.1.** What is a certified question? For purposes of this section of the manual, in the context of deposition reporting, a certified question is a question that is excerpted from the deposition and transcribed right away, before the remainder of the deposition, so that an attorney can get an immediate ruling on such things as whether an objection to that question will be sustained or overruled, whether the witness will have to answer the question, or whether that line of questioning will be permitted to go forward. In the days of typewriter transcription, when transcript delivery might take a month or longer, court reporters would be asked to type up and certify individual questions to be presented as soon as possible to a judge. This practice has fallen off since the advent of computers and quicker transcript delivery, but still happens on rare occasions. Another type of "certified question" is a formal request by one court to another court for an opinion on a question of law. That is not what this section of the manual is dealing with.
- **5.2.** How to certify a question. When requested to certify a question, some South Dakota reporters merely indicate the certified questions in the deposition index. However, the purpose of certifying the question is to take it before a judge for prompt ruling, and if the transcript is not going to be delivered within 48 hours, the certified question(s) should be excerpted and transcribed immediately, using the method described below:

Prepare a separate transcript with a title page and appearances as usual, but where you normally indicate "Deposition of" change the phrase to "Certified Question(s) from the Deposition of..."

Some reporters start immediately on page 2 with the portions of the record that are to be certified, others set up the witness as usual, include the Q&A that shows the witness's name and address, then center three asterisks to indicate skipped material and begin transcribing the certified portions. Whatever method you choose, you should indicate in the transcript both who is taking the deposition and who is conducting the examination from which the question is excerpted, because the rules for cross-examination are different from the rules for direct examination. You should also include any objections that led to the instruction to certify the question so the attorneys don't have to reconstruct their arguments for the court.

You may need to include a few previous questions and answers so the court has the context of the testimony. If the last question asked was literally "Please explain what you mean by that," the court will obviously need the context to understand what "that" is.

A sample certified question might look like this:

(The following question from the cross-examination by Mr. Roland of the witness Samuel Franks was certified upon the request of counsel, as follows:)

- Q. (By Mr. Roland) In your formulation of the vaccine, did you include antibodies from Pro-Ven?
- A. I think that would come under trade secrets.
- Q. I'm asking about any, not what specific kinds.
- A. I don't think that's relevant.
- Q. Are you refusing to answer?

MS. JANSA: I would object and instruct the witness not to answer. It is a trade secret, and the testimony may be prejudicial to my client's business.

MR. ROLAND: Even if I'm not asking what or how many?

MS. JANSA: Even if.

MR. ROLAND: Certify that last question.

(End of excerpt.)

If you are asked to certify a question, transcribe it immediately and deliver it as soon as possible. The attorney requesting the certified questions gets the original transcript. Deposition transcripts, including excerpts, are no longer to be filed with the clerk unless the court orders otherwise.

When you transcribe the entire deposition, nothing special needs to be done with the certified questions in the body of the transcript, but for counsel's reference you should index the pages on which the requests to certify questions appear.

- **5.3. Excerpts of Proceedings**. If an excerpt of proceedings is requested, it should be transcribed first and delivered before the entire proceedings are transcribed. It should be set up in the same way a certified question is set up. The title page should indicate that it is a transcript of "Excerpt[s] from [the Deposition of _____]".
- **5.4. Make excerpts available to all counsel.** If a certified question or an excerpt is requested, tell the requesting attorney up front that you will be asking opposing counsel if they want copies of the excerpts as well. However, if the requesting attorney then withdraws the request, do NOT mention the request to opposing counsel. If an attorney requests a keyword index (which is not the same as a concordance or "word" index) that request for a keyword index counts as attorney work product and should not be mentioned to opposing counsel. See Section 8.5 for further information about keyword indexing.

According to UJS Policy 3-PJ-10 and NCRA Advisory Opinion 22, if you are asked to provide an excerpt of proceedings, you need to inform the requesting party that you will be offering a copy to the other side. If the requesting party then withdraws the request, do not mention the request to the opposing party. See also Sections 19.1.1 through 19.1.4.

5.5. Portions of proceedings designated confidential or sealed. If a portion or portions of the deposition is/are designated as confidential, the confidential portion(s) may be separated from the main deposition and bound separately (if there are more than one portion, all confidential portions from the same deposition should be placed together in the same separated transcript). The transcript of the confidential proceedings should indicate "Confidential Portions of the Deposition of" and each page of the transcript containing the confidential portions should have a header reading "Confidential" in all capital letters. The original and copies of the transcript of confidential portions should be delivered in a sealed envelope with the word "Confidential" stamped on both sides of the envelope. One side of the envelope should show the title page information. An envelope with a clear window allowing the reader to see the title page saves typing.

In the main transcript, include a parenthetical indicating something to the effect that (Proceedings were held which were designated as confidential and transcribed under separate cover).

If the entire deposition is designated as confidential, it is recommended that a header saying "Confidential" be centered in all capital letters on every page and that the title page indicate "Confidential Deposition of." It is also recommended that the index show the page on which the attorneys agreed that the deposition should be designated as confidential. Seal both the original and copies before delivery.

Electronic files should be named in such a way as to make clear the file is confidential; for example:

020414browndepoCONFIDENTIAL 66CRI09000494_Transcript_SEALED120114

5.6. Attorneys' Eyes Only. If a portion of the proceedings are designated 'Attorneys' Eyes Only,' separate that portion from the remainder of the transcript, use a header that reads 'Attorneys' Eyes Only,' and bind and seal the original and copies of that portion separately. Give the electronic file a name that makes clear it is ATTYEYESONLY. (Note: sources say Attorneys' Eyes, Attorney's Eyes, and Attorney Eyes Only are each correct, so just pick one and be consistent.)

SECTION 6. CERTIFICATE OF REPORTER

6.1. Cases filed in state court. SDCL 15-6-28(c) states "No deposition shall be taken before a person who is a relative or employee or attorney or counsel of any of the parties, or is a relative or employee of such attorney or counsel, or is financially interested in the action." SDCL 15-6-28(a) states "depositions shall be taken before an officer authorized to administer oaths..." SDCL 15-6-30(f) states "The officer shall certify on the deposition that the witness was duly sworn by the officer and that the deposition is a true record of the testimony given by the witness." However, remember that counsel can stipulate to taking the deposition before any person and in any manner, pursuant to SDCL 15-6-29.

The rules do not include a standard form of certificate, but Section 15.8 is a sample of a Certificate of Reporter which incorporates all of the above state court requirements and the requirements of FRCP 30(f) (1) as well. The certificate may be amended as necessary. For example, if the deponent was sworn by someone other than the court reporter, the certificate should show that.

- **6.2. Sworn by someone other than the court reporter.** If the deposition witness testified over the telephone and was sworn by someone besides the reporter, the certificate might read "was duly sworn by [Name], Notary Public in and for the State of [state], [Notary's business address]."
- **6.3.** Cases filed in federal court. The reporter's certificate for a federal deposition needs to show a statement to the effect that "Before the completion of the deposition, the witness did/did not ask to review the deposition." See also Section 7.2 of this manual.
- **6.4. Certificate of Transcriber.** If you transcribe proceedings you did not personally report, use a Certificate of Transcriber instead of a Certificate of Reporter. See sample in Section 15.9.
 - **6.4.1.** Certifying transcription of another reporter's notes. Sometimes a reporter, because of death or disability, is not available to transcribe his or her own notes. In a case where one reporter transcribes the notes of another reporter, the certificate should be titled "Certificate of Transcriber" and indicate the reporter who reported the proceedings and the reason why that reporter was unable to transcribe same.
 - **6.4.2. Certifying transcription of an audio recording.** If the reporter transcribes directly from a video or audio recording, as opposed to reporting the proceedings live, the certificate should be changed to read "Certificate of Transcriber," and the wording should be amended to show that it is transcribed to the best of the reporter's knowledge and ability. Some reporters do not believe they should put any certificate on a transcript from a recording if they do not feel they can certify as to its accuracy. However, in some courts, if no certificate is attached to the transcript, the transcriber may be called to testify that he or she was the person who did the transcription and that it was done to the best of the transcriber's ability. The certificate may prevent this hassle.
- **6.5. Signatures on copies of paper transcripts.** Some reporters sign their paper transcript copies the same as they do their paper transcript originals, some sign the original transcript only and use /s/ followed by their typewritten name or sometimes simply an /s/ on the signature line of the copies, and some leave the signature line on a copy blank. This manual recommends using your original signature on paper copies as well as paper originals.

If the deposition is to be filed in an out-of-state court, be aware that in some states a copy of a deposition may only be used in court if the deposition copy has an original signature of the court reporter.

Also, in South Dakota workers' compensation cases, according to SDCL 1-26-32.4, "The reporter or agency shall certify the correctness of the original *and all copies* of the transcript," and the

original signature serves as proof that the copies were prepared by the reporter.

On an electronic transcript, in South Dakota you may use /s/ followed by your name on the signature line. Other states may require true electronic digital signatures. These are not the same as a picture of your signature superimposed on the signature line in the PDF document, which can be done via Word and other programs. Software for digital signatures may be purchased online.

In South Dakota, there is not yet a requirement for digital signature on e-transcripts of depositions (PDF versions or otherwise), and at this writing (Feb. 2015) the /s/ followed by the typewritten name of the reporter is all that is required when a transcript is filed with the clerk of court. Reporters should no longer file paper transcripts unless they are incapable of making electronic transcripts. A PDF or ASCII transcript should be filed instead. PDF is preferred because it is harder to alter than an ASCII transcript. If filing an ASCII transcript, create the ASCII in Summation or Amicus format if your software allows you to do so, so that the electronic transcript maintains the same page formatting as a paper transcript.

6.6. Do not notarize your own signature. SDCL 1-26-32.4 indicates that "The reporter or agency shall certify the correctness of the original and all copies of the transcript." Neither SDCL 15-6-30(f), which governs reporters' certificates for depositions, nor SDCL 1-26-32.4, which governs reporters' certificates on official court transcripts, requires that the reporter place his or her notarial seal on the certificate. According to the Office of the Secretary of State, a reporter who signs a certificate of reporter and then places his or her notarial stamp thereon is effectively notarizing his or her own signature, which is against the law.

SECTION 7. READING AND SIGNING.

7.1. Case filed in South Dakota state court.

- **7.1.1.** Asking witness and parties to waive reading and signing. SDCL 15-6-30(e) reads, "Submission to witness Changes Signing. When the testimony is fully transcribed the deposition shall be submitted to the witness for examination and shall be read to or by him, unless such examination and reading are waived by the witness *and by the parties*." If the attorneys asked for the standard stipulation, it includes a waiver of the reading and signing by the parties (see Section 4). Otherwise, if the witness waives, you still should ask the attorneys for the parties if they will waive the reading and signing as well. Put the waiver on the record. Note: When a witness or attorneys say that "signature is reserved," that means they are choosing to read and sign. "Reserve" is the opposite of "waive" in this situation.
- **7.1.2.** Any changes in form or substance. SDCL 15-6-30(e) goes on to state that "Any changes in form or substance which the witness desires to make shall be entered upon the deposition by the officer with a statement of the reasons given by the witness for making them." This means the witness is not limited to typographical errors. The witness is allowed to completely change answers, and indeed, it is not unheard of for witnesses to change questions. Frequently witnesses neglect to write reasons for their changes. If a witness returns an errata sheet with changes, but without reasons listed, attach the errata sheet as is. The reporter is not required to chase the witness down and force the witness to give reasons. If the errata sheet is not returned, indicate "errata sheet not returned" and sign your name and date it on the sheet you attach to the original. See also Section 7.4. NOTE: Retain copies of errata sheets in case of back orders on the transcript.

Sometimes attorneys tell witnesses that they can only correct typographical errors. Just let it go. The letter you send to the witness about reading and signing will them know they can make changes to the form and/or substance of the deposition. See Section 15.11, numbered paragraph 1.

- 7.1.3. Witness gets 15 days to read and sign in South Dakota state court cases. Not 30. It's 15 days in South Dakota state court cases. SDCL 15-6-30(e) says, "The deposition shall then be signed by the witness, unless the parties by stipulation waive the signing or the witness is ill or cannot be found or refuses to sign. If the deposition is not signed by the witness within fifteen days of its submission to him, the officer shall sign it and state on the record the fact of the waiver or of the illness or absence of the witness or the fact of the refusal to sign, together with the reason, if any given therefor..." A sample letter notifying the witness that the transcript is ready to read and sign is at Section 15.11. A sample notification to counsel that the witness did not come to read and sign within the required time period is at Section 15.12. Examples of other situations requiring notification to the attorneys are contained in Section 15.13.
- **7.2.** Case filed in federal court. Since 1993, witnesses don't need to be asked if they want to read and sign a federal deposition, although many attorneys still don't realize this. Federal Rule of Civil Procedure 30(e) reads, "On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which: (A) to review the transcript or recording; and (B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them." In other words, if the case is filed in federal court, the witness does not need to be asked if he or she wants to read and sign; but witnesses who ask to read and sign, or witnesses who are asked by one of the attorneys present to read and sign, must be given the opportunity to do so.

Also, FRCP 30(e) doesn't require the transcript to be sent to the witness. It says "the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which: (A) to review the transcript or recording..." You can make the witness come to your office instead of sending the transcript out, never to be seen again. The Notes of Advisory Committee of Rules 1993 Amendment, subdivision (e), indicated that these changes were made because of the extreme difficulties

reporters encountered when trying to get transcripts returned.

Although this federal rule change occurred back in 1993, because witnesses need to be asked about reading and signing in South Dakota state court cases, most attorneys ask the witnesses in federal cases also. Nothing in the rules forbids asking witnesses if they want to read and sign. The rules just don't require it. See also Section 12.3.

- **7.3.** Cases filed in other courts. The rules that apply to transcription and filing of depositions are the court rules of the court in which the case is filed. For example, in an Iowa state court case, if the court reporter is an Iowa Certified Shorthand Reporter, witnesses don't have to be asked if they want to read and sign. If the reporter is not an Iowa CSR, then the witness should be asked if he or she wants to waive the reading and signing and gets 30 days to read and sign if the choice is made to read. In Iowa, original transcripts need not be sealed. If you need to know what the rules are for another state, call a reporter from that state.
- **7.4. Errata sheet.** In South Dakota, the standard procedure is to include an errata sheet on which the witness can list any changes to the deposition and the reasons therefor. This way the attorneys can compare the original record with the changes the witness wants to make. FRCP 30(e)(2) provides that the reporter "must attach any changes the deponent makes during the 30-day period." It is a good idea, though not required, to retain a copy of the errata sheet with your notes or electronic media in case there is a back order of the transcript. A sample errata sheet may be found at Section 15.10. See also 7.1.2.
- 7.5. Errors found in transcript that attorney wants corrected. Sometimes court reporters make mistakes in transcripts. If an attorney believes they are substantive, crucial to the case, the attorney may contact the reporter and ask to have the transcript corrected. For example, a witness may have said, "We sent them two hundred and fifty gallon barrels," and the reporter may have transcribed "We sent them 250 gallon barrels." If the witness waived reading and signing, or if the witness simply did not notice the error, the attorney may want to clear up the record to show that it was not 250 one-gallon barrels that were sent, but rather barrels capable of holding 250 gallons. Or perhaps the court reporter is not familiar with a restaurant named The Cabin in a local tourist area frequented by campers, so whenever someone mentions that a murder was committed at "The Cabin," the reporter may have transcribed "the cabin." Sometimes attorneys will just stipulate that that's what the transcript should read and not bother to contact the reporter for corrections, but once in a while they may ask the court reporter to retranscribe those portions of the transcript that contain errors. Another example might be where a reporter is unfamiliar with the technical terminology in a case. If a witness refers to "porous tuff" in an Army Corps of Engineers hearing, the reporter, unfamiliar with the terminology, might transcribe "porous stuff." Reading and signing is not offered for hearings, so someone from the Corps might contact the reporter to make the correction.

If an attorney calls and asks for corrections to the transcript, don't get irritated or defensive. If you believe the attorney is correct, first send a letter to all counsel involved to advise them of the request and tell them that if you don't receive any objections by such-and-such date, say ten days from the date of your letter, you will amend the transcript and send the corrected pages (or even a new transcript, depending upon how many times the error occurs) to everyone who ordered a transcript. Indicate on the title page that this is an Amended Transcript so there is no confusion later.

If you do not believe the attorney is correct, advise counsel that you will not be changing the transcript. The attorney will need to take other steps if he or she believes corrections are necessary. See a sample letter at Section 15.14.

SECTION 8. MARKING EXHIBITS AND INDEXING TRANSCRIPTS

8.1. Index to Proceedings recommended but not required for depositions. There is nothing in the South Dakota court rules that requires that depositions be indexed. However, attorneys find them handy, not only to see what took place during the deposition, but often to see what did *not* take place as well--for example, was there only direct examination of the witness, or did opposing counsel ask questions as well? Were any exhibits marked, and if so, what were they? It is recommended that an index be placed at the beginning of the transcript instead of at the end, so it can be located quickly, especially if a word index (concordance) is attached at the end. See Sections 15.2, 23.2, and 19.6(6).

8.2. Identifying and indexing exhibits.

- **NOTE 1: The South Dakota Unified Judicial System has gone paperless. Paper exhibits are scanned into a case management system known as Odyssey, and the paper exhibits are then disposed of. Red, green, orange, and brown exhibit stickers copy so darkly that exhibit numbers cannot be read on the black-and-white scan the judges have to use. For that reason, this manual recommends using white, yellow, and blue stickers, in case those deposition exhibits end up being offered in court.
- **NOTE 2: Frequently several pages are scanned into the Odyssey system in one group. When a page is brought on screen in Odyssey, viewers see the top half and must scroll down to read the bottom half of the page. It is more efficient to have an exhibit sticker near the top of the page than the bottom, because viewers can see the sticker right away instead of having to scroll down to check whether this is the exhibit they were looking for or whether they need to go to the next page.
- **8.2.1. Marking exhibits.** A deposition exhibit sticker should show the date on which the exhibit was marked, the last name of the deponent, and the initials of the reporter who marked it. Attorneys depend on exhibit stickers and exhibit indexes to help them keep track of what has been marked and when. Putting the witness's name on a sticker helps them quickly locate the transcript in which the exhibit was used if the exhibit gets separated from the transcript. Including exhibit indexes on depositions spares the client the need to search each transcript looking to see if the exhibit they want was marked during that deposition or not. If no exhibits are marked, an exhibit index which declares NO EXHIBITS WERE MARKED HEREIN will save lawyers and their paralegals time.
- **8.2.2.** Indexing exhibits. The exhibit index should list the exhibits in chronological, and then, if applicable, alphabetical order. Each exhibit should be briefly described, and the index should show the page on which the exhibit was marked/described and, if applicable, offered. (Exhibits can be offered during a deposition but can only be received in court). If the reporter does not take custody of one or more of the exhibits, the index should include an indication of who did take custody of them. The description should contain enough information to differentiate one exhibit from another. If no exhibits are marked, the Exhibit Index should say NO EXHIBITS MARKED HEREIN so the attorney knows the reporter didn't neglect to index exhibits.
- **8.3.** Index to Objections recommended, but not required. Freelancers can be of great help to judges and lawyers by indexing page and line numbers where objections are made during depositions. Before a deposition is used in court, the lawyers bring the transcript to the judge so objections can be ruled on. The objections and the objectionable material are then edited out before the deposition is read or, if videotaped, played to a jury. The process goes much more quickly if the deposition contains an index to objections so the lawyers don't have to leaf through 300 pages looking for each one. The index can be in vertical or horizontal format. See an example at Section 15.2.

INDEX TO OBJECTIONS:

Page 15, Line 2 Page 21, Line 20 Page 250, Line 7

INDEX TO OBJECTIONS (Page/Line): 15/2, 21/20, 24/3, 24/25, 250/7

- **8.4.** Indexes to motions/rulings. Indexes to Motions are required in transcripts of official court proceedings, according to the SDCL Appendix of Forms, Form 3 (which, on 1/12/15, is found in Volume 10A of the South Dakota Codified Laws, right after SDCL 15-26A-93. See Section 19.6, subsection 6, and also an example at Section 23.2(a) and (b).
- **8.5.** Concordances (word indexes) and keyword indexes. A concordance is an index to most of the words in the transcript (excluding high-frequency words such as "a" or "the" or "it".) Many people refer to this as a "word index." Many reporters automatically attach a word index/concordance to their transcripts. The Supreme Court prefers a word index on appeal transcripts, though it is not required.

Keyword indexes are indexes to specific words and phrases requested by an attorney to be indexed. Keyword indexes should be provided only when requested. If an attorney gives you a list of words or phrases for a keyword index, only that attorney should receive that keyword index. Do not mention it or offer it to opposing counsel.

- **8.6.** Master indexes. A master index is an index to lengthy proceedings requiring more than one volume of transcript, such as a two-week trial or to a long series of depositions taken over a period of months in the same case. Master indexes for freelance proceedings usually contain
- 1) an Index to Depositions, listing the name of the witness (and volume number, if applicable) and the date on which that deposition was taken; and
- 2) a list of all exhibits marked during that series of depositions, identifying each exhibit by the deposition in which it was taken, the number, and a brief description. When attorneys know there will be a long series of depositions in the same case, they often try to number the exhibits chronologically from deposition to deposition.

Keep a master index of exhibits for yourself and to bring it with you each time you go to one of these depositions so you have a record of the exhibit number you left off with:

MASTER INDEX TO DEPOSITIONS AND EXHIBITS IN GOODYEAR V. MICHELIN

7-14-15: ROGER JAMES BLUTH

Bluth 1. CV of Dr. Bluth

Bluth 2. Letter of 3-7-99 Bluth 3. Sample of tire tread

7-28-15: PAUL M. GREEN

Green 4. CV of Dr. Green

Green 5. ASME Standard 14.3(b)

9-30-15: C. ANTHONY PARKER

Parker 6. Chart of tread widths

Parker 7. Chart of friction calculations

SECTION 9. FILING AND DISTRIBUTION OF TRANSCRIPT

9.1. Depositions are not filed with the court. Unless the court orders otherwise, depositions are not filed with the clerk of court in South Dakota circuit court cases, pursuant to SDCL 15-6-5(g).

Note: There has been a question raised by a few clerks of court as to whether a freelance transcript can be filed if it bears a stamp labeling the transcript "original" or "copy," because under the electronic filing rules of state court once a transcript has been scanned into Odyssey (the state electronic filing system) that scan is considered the "original" and the paper transcript gets shredded after 30 days.

Because not all freelance transcripts get filed, and until they are filed there may still be a need to differentiate between which transcript is the original and which is the copy, freelancers should continue to stamp their transcripts as usual. Please try to place the stamp so that it does not cover up any of the wording on the page. That way, clerks can white-out the stamp before scanning, if they believe it necessary.

- **9.2.** Original paper deposition transcript must be sealed in an envelope. As of January 2015, SDCL 15-6-30(f)(1) still states that the reporter is to "securely seal the deposition in an envelope endorsed with the title of the action and marked 'Deposition of (here insert name of witness) and shall promptly send it to the attorney who arranged for the transcript..." Federal R.Civ.P. 30(f) (1) still says, "Unless the court orders otherwise, the officer must seal the deposition in an envelope or package bearing the title of the action and marked "Deposition of [witness's name]' and must promptly send it to the attorney who arranged for the transcript." A window envelope through which the title page shows may be used in lieu of typing all that information on the outside of a manila envelope. (See Section 9.10 regarding electronic originals delivered via email or CD when no paper original is created.)
- **9.3.** Condensed transcripts. Nothing in the rules as of January 2015 states what order the condensed pages must be in. In the last edition of this manual, the editor recommended up-down arrangement: page 1 in the upper left, page 2 in the lower left, page 3 in the upper right, page 4 in the lower right, based on a poll in which the majority of respondents said that's how they did it.

At the present time, original transcripts of state official court proceedings are still required to be filed in regular size. Condensed originals may be filed in SD federal court.

- **9.4. Distribution to third parties.** Unless the deposition is filed with the court, it is not considered public record. The issue then arises as to whether it is ethical for a reporter to sell a copy of the deposition transcript to third parties who were not present at the time the deposition was taken and were not involved in the lawsuit in which the deposition was taken. SDCL 15-6-30(f)(2) states that "Upon payment of reasonable charges therefor, the officer shall furnish a copy of the deposition *to any party or to the deponent.*" NCRA Public Advisory Opinion #9 interprets this to mean that depositions cannot be sold to anyone else without permission of attorneys for the parties and permission of the deponent. See also Section 9.6.
- **9.5. Grand jury transcripts secret, not distributed by reporter.** In federal court, the prosecuting attorney's office is the only one authorized to distribute transcripts of grand jury proceedings. In federal grand jury proceedings, unless the court orders otherwise in writing, the court reporter should never provide a transcript to anyone other than the prosecuting attorney's office.

In state court, different counties have different practices regarding ordering grand jury transcripts. SDCL 23A-5-16 states, in part, that a "[a] stenographer...may disclose matters occurring before the grand jury only if directed by the court..." But in some counties defense counsel are allowed to order the grand jury transcripts from the court reporter. A court reporter who receives an order for grand jury proceedings from anyone other than the state's attorney should require the person ordering the grand jury transcript to first get a written court order. Alternatively, the reporter could ask the attorney to call the state's attorney's office and have the state's attorney order the transcript and send it to defense counsel, because the reporter is permitted to provide a transcript to the state's attorney without a court order. The bill could still be sent

from the reporter directly to defense counsel in that situation, with a notation on the invoice that the transcript was ordered by and provided to the state's attorney's office.

See also Sections 11.1.2 and 19.13.2(k).

- **9.6.** Statements are not public record. They are considered attorney work product. Statements are not specifically covered in the rules of procedure. However, statements are taken as part of trial preparation and are considered attorney work product; they are not intended for the use of anyone other than the attorney (or other person, such as an insurance agent) who took the statement, and they should not be distributed without the attorney's (or other person's) permission. (See also Section 11.5.)
- **9.7. Give the client what the client wants.** There are so many options for transcript delivery these days: Regular size or condensed, or one of each? Paper transcript or electronic transcript, or both? ASCII format, PDF format, or something else?

Attorneys appreciate being asked what they want. Some attorneys have a hard time reading the smaller print of a condensed transcript. Some attorneys prefer condensed transcripts because they don't take up so much room. Some attorneys want regular in one case but condensed in another.

Ask the client what the client prefers, and then deliver the transcript accordingly. A happy client is a repeat client.

A transcript order form can be passed around the table at the start of the deposition so attorneys can place their order more efficiently. A sample transcript order form may be found at Section 15.15.

If you email a transcript, ask the attorney to reply to your email with an indication that the transcript file could be opened and read. If you don't hear back, call the client's office to make sure it was received and that it could be downloaded and read. Don't assume they got it just because you don't get an "undeliverable email" message back. Some law firms have firewalls that put the transcripts on "hold" for up to three days while they check for viruses, and the attorney may be sitting there fuming at the reporter, not realizing the firm's firewall is to blame. A call to the lawyer's office may head off a call from an upset client later.

9.10. Filing electronic deposition transcripts. Original transcripts delivered in electronic format only should be delivered in a format that makes altering the transcript difficult, such as PDF format or in Word format where the document has been password-protected. Electronic originals can be filed with the clerk via File-and-Serve.

SECTION 10. RETENTION OF FREELANCE NOTES AND AUDIO RECORDINGS.

10.1. Depositions. The South Dakota Codified Laws do not say anything about how long deposition shorthand notes (paper or electronic) and/or audio/visual recordings must be retained if they have not been filed with the court. The National Court Reporters Association's Code of Professional Ethics says to "Preserve the shorthand notes in accordance with statute or court order, or otherwise for a period of no less than five (5) years..." This manual recommends retaining your deposition shorthand notes (paper or electronic) for five years from the date of the deposition. See Section 10.6 about retention of deposition exhibits.

10.2. Other proceedings.

- **10.2.1. Mental Health Hearings and Hearings before the County Review Board:** According to SDCL 27A-11A-2, all recorded testimony at mental health hearings shall be preserved for at least five years. SDCL 27B-7-39.2 says the record of review hearings also shall be preserved at least five years.
- **10.2.2. Other administrative hearings.** "At least five years" is a good standard for administrative hearings generally, including school board hearings, workers' compensation hearings, and hearings before the Board of Pardons and Parole, although the statutes do not specify the length of time notes need to be preserved.
- **10.2.3. Grand Jury Proceedings:** The contract a court reporter signs with the UJS requires freelancers to keep their shorthand notes from grand jury proceedings for ten years. The UJS Clerk of Courts Procedures Manual requires clerks to keep grand jury sealed information for ten years. Whether you have signed the contract or not, if you report grand jury proceedings, you should keep those notes for ten years.
- **10.2.4. Official court proceedings.** A freelance reporter who substitutes in court should follow the rules of that court with respect to filing and/or retaining the reporter's notes taken of official court proceedings. In South Dakota, notes from official state court proceedings need to be retained 15 years after the date of hearing, pursuant to the UJS Records Retention and Destruction Schedule. Freelance reporters are asked to upload their shorthand notes into the UJS computer system, where the state will retain the notes for 15 years. Federal court notes should be retained for ten years.
- **10.3. Reporter death or disability.** It is recommended (required for reporters who are employed by or who do contract work for the state) that reporters make arrangements with other reporters so that, should something happen to the reporter, another reporter or scopist will be available who knows where to find and how to read the reporter's notes in the event a record needs to be made and the original reporter has become unable to transcribe them. Reporters who are employed by or do contract work for the state have to fill out a Court Reporter Guardianship form (see 15.19). Notes and electronic media should be adequately identified and filed in an organized manner so that an outsider can readily find the necessary notes and files. It is also very important that the reporter's main CAT dictionary be kept safely where it can be easily found if necessary.
- **10.4. Keep two backups, each one in a different place.** It is recommended that, when backing up files, reporters keep two copies: one at home, and one at the office. If the reporter has a home office, it is recommended that the second backup be stored somewhere else: stored online, for example, or in a bank safety deposit box. This way, if fire, flood, or tornado should destroy the first backup, the second backup may still be safe.

10.5. Keep your equipment, not just your storage media. Be sure you also keep working equipment that may be needed to read your electronic media years down the road. For example, if you still have files stored on floppy disks, keep a floppy disk reader (and a computer that is compatible with that reader) as long as you still have floppy disks. Otherwise you may not be able to find a working floppy disk reader five years down the road when you have a back order. If you have files in Word Perfect format, make sure you still have a computer that will allow you to read Word Perfect files. Not all versions of Windows allow you to read Word Perfect anymore.

Similarly, if you have audio files stored on audio cassette tapes or any kind of disks, make sure you keep a working tape recorder, digital recorder, and/or CD player. Five years from now you may not be able to find a tape recorder when you need it.

It is always a good idea to periodically "migrate" files stored on older-style storage media to modern media so that you can access it years down the road. Migrating means copying the files still on old media, such as floppy disks or CDs, onto new media, such as flash drives or new external backup drives, while you still have the drivers and/or media readers available to do so. Convert files from old CAT systems to whatever upgraded system you buy. Some software programs will automatically convert files from older versions of that same software, but in some cases very old files may need to be sent to the software company for conversion, and the software providers charge for it.

10.6. Retention of exhibits. When exhibits are marked and retained by the reporter, if a transcript is not ordered, the exhibits should be stored with the reporter's notes. If the transcript is not ordered within six months, the reporter should call the attorneys and ask what to do with them. Get permission from both sides if giving up custody of the exhibits.

There does not appear to be anything in the rules requiring the reporter to keep copies of exhibits after a transcript has been delivered. If a transcript is not ordered and the attorneys do not instruct otherwise, the reporter should, if feasible, keep the exhibits as long as the shorthand notes are retained.

SECTION 11. MISCELLANEOUS PROCEEDINGS

- **11.1. Grand Jury proceedings.** SDCL 23A-5-11.1 says, in part, "The testimony of any witness appearing before a grand jury in any case shall be recorded."
- 11.1.1. Report everything. In grand jury proceedings, if the state's attorney instructs the reporter to go off the record, it is important that the court reporter include the reason for the off-the-record discussion in the transcript so that no one raises the suspicion later that testimony was presented that was not reported. At least one grand jury indictment in 2005 was dismissed because the reporter's parenthetical (Off-the-record discussion held) was objected to by defense counsel as potential evidence that the grand jurors may have heard and considered something that was not put on the record.

Instead of the basic parenthetical (Off-the-record discussion), indicate (Off-the-record discussion re grand juror's need to call a baby-sitter) or (Off-the-record discussion between state's attorney and witness, out of the hearing of the grand jurors), for example, so it is clear that whatever was said off the record was not something the grand jurors considered during their deliberations.

- 11.1.2. Grand Jury (state and federal) proceedings are confidential. Grand jury proceedings should not be discussed with anyone outside the grand jury room. To avoid even the appearance of impropriety, the court reporter should at all times refrain from discussing anything about grand jury proceedings. According to SDCL 23A-5-16, the reporter is not allowed to tell anyone what occurred or was said during grand jury proceedings, unless directed to do so by the court.
- 11.1.3. Grand jurors not identified by name in colloquy. If any of the grand jurors speak or ask questions on the record, they are not identified in the transcript by name. The designation A GRAND JUROR or simply GRAND JUROR may be used in colloquy. After the prosecuting attorney examines a witness, he or she may open questioning up for the grand jurors. Examination by the grand jurors is usually transcribed in colloquy format. If there are only a few questions by grand jurors, it is not necessary to use an EXAMINATION setup. See sample at Section 15.5.
- **11.1.4.** Billing for state grand jury work. Submit your bill to the state's attorney, who will approve it and forward it to the county auditor for payment. Some counties now require you to itemize your bill, showing each case and the amount attributable to that case alone. Some counties may want you to use the Court Reporter/Transcriptionist Transcript Certification Form when you submit your bill to the state's attorney. This form has been obsolete since 2014 and should not be required.

11.2. Records depositions.

- 11.2.1. A deposition upon written interrogatories is a deposition where the attorney writes out questions and sends them to the reporter to read to the witness and record the answers given. SDCL 15-6-31(b) reads, in part, "A copy of the notice and copies of all questions served shall be delivered by the party taking the deposition to the officer designated in the notice, who shall proceed promptly, in the manner provided by §§ 15-6-30(c), 15-6-30(e), and 15-6-30(f), to take the testimony of the witness in response to the questions and to prepare, certify, and file or mail the deposition, attaching thereto the copy of the notice and the questions received by the officer." A **records deposition** is a deposition taken for the purpose of getting the records of a company, hospital, doctor's office, etc. They often are depositions upon written interrogatories and only last a few minutes, although on occasion they may go much longer. A **30(b)(6) deposition** in federal court is basically a records deposition.
- 11.2.2. Reporter should not interpret or explain written interrogatories. A reporter who conducts a deposition upon written interrogatories should not presume to interpret the questions the attorney provides. The reporter should read the questions as provided and not attempt to explain or enlarge

upon them. If the witness wants more of an explanation, the reporter should tell the witness to answer to the best of the witness's ability, and if the witness says he or she cannot answer because the question is vague or confusing or doesn't make sense, then that is the answer the reporter should put down.

- 11.2.3. Questions should be numbered in transcript. When the deposition upon written interrogatories is transcribed, usual and customary practice is to number the questions so that the attorney can easily compare them to the original interrogatories provided to the reporter. Most CAT softwares have the ability to number questions, because a few state and military courts also require that questions be individually numbered. See sample at Section 15.7.
- 11.2.4. Attach a copy of the notice with the interrogatories to the transcript. A copy of the notice containing the written interrogatories should be attached to the transcript for easy reference in case someone wants to make sure the correct questions were asked.
- **11.3. Workers' compensation proceedings.** [Note: The state statutes use the form **workers'**, not worker's, compensation. Plural, apostrophe.]
- 11.3.1. Workers' compensation depositions are treated the same way as depositions taken in civil matters. SDCL 1-26-19.2 says depositions in work comp cases are to be conducted "in like manner that depositions of witnesses are taken...in civil actions pending in circuit court." The witness gets 15 days to read and sign, if desired, and the original transcript should be sealed and delivered to the ordering attorney.
- 11.3.2. Workers' compensation hearings may be reported by a court reporter. According to SDCL 1-26-22, "Whenever a party requests in writing that oral proceedings be transcribed, a verbatim record of all proceedings and testimony shall be kept by the agency," and if transcribed, "a copy of the record shall be furnished to any other party to the hearing at the request and expense of such other party." The original transcript of a work comp hearing should be filed with the Department of Labor UNLESS it is a transcript ordered for appeal purposes, in which case it is filed with the clerk of court for the county where the matter will be heard. The address of the South Dakota Department of Labor is:

Department of Labor 700 Governors Drive Pierre, SD 57501-2291 (phone 605.773.3101)

Orders for transcripts on appeal from work comp cases are supposed to be made in the same manner as with civil court cases; that is, within ten days after the filing of the notice of appeal, the appellant shall, in writing, order from the reporter the written transcript or such parts the appellant deems necessary to the appeal (see SDCL 1-26-32.2).

The ordering party must, at the time of ordering the transcript on appeal, make arrangements with the reporter for the payment of the costs of the original and all necessary copies, including the copies for the other parties; the reporter shall acknowledge at the foot of the order receipt of the request for the transcript and transmit the order to the clerk of the circuit court; and the reporter has to ask the court for an extension of time if the transcript cannot be completed within 30 days (see SDCL 1-26-32.3).

According to SDCL 1-26-32.4, the original transcript ordered on appeal will be filed with the clerk of the circuit court and copies transmitted to each party to the appeal separately represented and directly to any parties not represented; the reporter shall certify the correctness of the original and all copies (which means a signed certificate must be attached to the copies as well as the original); and the reporter shall notify the clerk of the circuit court in writing that the original transcript has been filed and copies transmitted.

- 11.4. Mental Health hearings. SDCL 27A-11A-2 reads, "Stenographic record of proceedings--Certified transcript or tape requested by patient--Expense. A court reporter shall attend all hearings of the county board of mental illness and keep a stenographic record of all proceedings; or a record of all hearings shall be recorded by tape recorder or other sound-reproducing equipment. If a tape recorder or other sound reproducing equipment is used, the equipment shall be of such quality that each word of the testimony and rulings made with reference thereto can be clearly heard and understood. All recorded testimony shall be preserved for at least five years. A person who has been committed may request a certified transcript or, if a tape recorder is utilized, a copy of the taped testimony of the hearing. To obtain a copy the patient shall pay for a transcript or copy of the tape recorded testimony or shall file an affidavit that he is without means to pay for such transcript or tape recording. If the affidavit is found true by the board of mental illness, the expense of the transcript or copy of the tape-recorded testimony is a charge upon the county of residence of the patient." See also Sections 10.2.1 and 13.2 of this manual for more information on reporting mental health hearings. Mental Health hearings are confidential and should not be discussed with anyone.
- 11.5. Statements. Statements are essentially an interview by an attorney of a potential witness to a case. They are conducted like depositions, except usually only one side of the case is present and represented. Sometimes insurance companies hire court reporters when an insurance agent is taking a client's statement. Statements are not public record. They are considered the work product of the attorney, insurance agent, or party conducting the statement and should not be filed; nor should they be disseminated by the court reporter without permission; the reporter should not even talk about the fact the statement was taken. See also Section 9.6.
- **11.6. Patent and Trademark depositions.** On 1/20/15, the U.S. Trademark Law Rules of Practice & Federal Statutes could be found online at http://www.uspto.gov/trademarks/law/tmlaw.pdf. Here are some of the rules court reporters must follow when reporting and transcribing depositions in patent and trademark cases.
- 11.6.1. Format requirements. According to US Patent and Trademark Court Rule 2.123 (g), The pages of each deposition must be numbered consecutively, and the name of the witness plainly and conspicuously written at the top of each page. A deposition must be in written form. The questions propounded to each witness must be consecutively numbered unless the pages have numbered lines. Each question must be followed by its answer.
- 11.6.2. Exhibits must be numbered or lettered consecutively and each must be marked with the number and title of the case and the name of the party offering the exhibit. Entry and consideration may be refused to improperly marked exhibits. (Editor's note: Experienced P&T attorneys will bring exhibit stickers with the number and title of the case already on the sticker.)
- 11.6.3. Indexing. Each deposition must contain an index of the names of the witnesses, giving the pages where their examination and cross-examination begin, and an index of the exhibits, briefly describing their nature and giving the pages at which they are introduced and offered in evidence.
- **11.6.4. Reporter's Certificate.** According to US Patent and Trademark Court Rule 2.123 (f)(1), the reporter's certificate must show:
- (i) Due administration of the oath by the officer to the witness before the commencement of his deposition;
- (ii) The name of the person by whom the deposition was taken down, and whether, if not taken down by the officer, it was taken down in his presence;
 - (iii) The presence or absence of the adverse party;
 - (iv) The place, day, and hour of commencing and taking the deposition;
- $(v) \ The \ fact \ that \ the \ of ficer \ was \ not \ disqualified \ as \ specified \ in \ Rule \ 28 \ of \ the \ Federal \ Rules \ of \ Civil \ Procedure.$

Patent and Trademark Court Rule 2.123 (f)(2) says, in part, "If any of the foregoing requirements in paragraph (f)(1) of this section are waived, the certificate shall so state. The officer shall sign the certificate and affix thereto his seal of office, if he has such a seal."

11.6.5. Filing the deposition: PTCR 2.123 (f)(2) also says, "Unless waived on the record by an agreement, he shall then securely seal in an envelope all the evidence, notices, and paper exhibits, inscribe upon the envelope a certificate giving the number and title of the case, the name of each witness, and the date of sealing. The officer or the party taking the deposition, or its attorney or other authorized representative, shall then promptly forward the package to the address set out in § 2.190. If the weight or bulk of an exhibit shall exclude it from the envelope, it shall, unless waived on the record by agreement of all parties, be authenticated by the officer and transmitted by the officer or the party taking the deposition, or its attorney or other authorized representative, in a separate package marked and addressed as provided in this section."

Rules and addresses for filing change over time. Check with the attorneys to make sure the addresses below are still correct before you file.

As of 1/20/2015, if using the US Postal Service to deliver the transcript, use this address:

Director of the U.S. Patent and Trademark Office PO Box 1450 Alexandria, VA 22313-1451

If using a hand-delivery service such as Federal Express or UPS, use this address:

The Trademark Trial and Appeal Board, c/o The Trademark Assistance Center Madison East, Concourse Level Room C55 600 Dulany Street Alexandria, VA 22314

SECTION 12. DEPOSITIONS/REPORTING IN FEDERAL DISTRICT COURT

- **12.1. Page format for Federal transcripts of official court proceedings**. These are some of the requirements set out in the federal court reporters' manual, Chapter 18, "Transcript Format":
 - 1. Paper size is to be 8 1/2 by 11 inches.
- 2. The use of preprinted solid left and right marginal lines is required. The use of preprinted top and bottom marginal lines is optional. All preprinted lines must be placed on the page so that text actually begins 1 3/4 inches from the left side of the page and ends 3/8 inch from the right side of the page. Typing is to begin at the 1-3/4-inch left margin and continue to the 3/8-inch right margin. Lines are to be double-spaced.
- 3. The letter character size is to be 10 letters to the inch. This provides for approximately 63 characters to each line.
- 4. Each page of transcription is to contain 25 lines of text. The last page may contain fewer lines if it is less than a full page of transcription. Page numbers or notations such as headers and footers are not considered part of the 25 lines. An exception is allowed in the case of daily copy, where fewer than 25 lines may be on a page at the points where reporters switched off.
- 5. All Q and A designations shall begin at the left margin. A period following the Q and A is optional. The statement following the Q and A shall begin on the fifth space from the left margin. Subsequent lines shall begin at the left margin.
- 6. Colloquy/speaker identification shall begin on the tenth space from the left margin followed directly by a colon. The statement shall begin on the third space after the colon. Subsequent lines shall begin at the left margin.
 - 7. Time of day is to be indicated in parentheticals where appropriate; for example:

```
(Recess at 12:30 p.m. until 1:30 p.m.)
(Proceedings concluded at 5 p.m.)
(Jury out at 10:35 a.m.)
```

8. It is the responsibility of the attorneys to note for the record any significant nonverbal behavior; i.e., physical gestures and lengthy pauses on the part of the witness. If counsel refers to the witness's affirmative or negative gesture, parenthetical phrases may be used to indicate physical gestures. Examples [taken from the Federal manual]:

```
(Nods head up and down)
(Shakes head from side to side)
(Indicating)
```

- 9. Indexes are required.
- **12.2. Depositions reported for federal court.** There is no statutory page format for depositions taken for federal court cases, but there are some special requirements for federal depositions.

- **12.2.1.** Put the date on the title page and/or cover page. The federal court clerk's office wants the date the deposition was taken to appear on the front page of the transcript. If you do a cover page that simply gives the name of the witness, please include the date the deposition was taken below the witness's name. See Section 15.1(c).
- **12.2.2. Officer's on-the-record statement when deposition is videotaped.** Federal Rule of Civil Procedure 30(b)(5)(A) says, "Unless the parties stipulate otherwise, a deposition must be conducted before an officer appointed or designated under Rule 28. The officer must begin the deposition with an on-the-record statement that includes (i) the officer's name and business address; (ii) the date, time and place of the deposition; (iii) the deponent's name; (iv) the administration of the oath or affirmation to the deponent; and (v) the identity of all persons present." SDCL 15-6-30(b)4 requires the same. See Section 15.4.

30(b)(5)(B) adds that if the deposition is recorded other than stenographically—for example, by videotape—these items must be repeated at the beginning of each unit of the recording medium.

FRCP 30(b)(4)(C) says, "At the end of the deposition, the officer must state on the record that the deposition is complete and must set forth any stipulations made by the attorneys about the custody of the transcript or recording and the exhibits, or about other pertinent matters." See Section 15.4(b).

12.2.3. At a deposition that is not videotaped, the "statement by the officer" mentioned above is not read aloud unless the deposition is videotaped. Typing it into the transcript suffices, just as it does when attorneys say "Insert the same stipulation we used last week" instead of taking the time to repeat that stipulation aloud before starting the questioning. NCRA, in their Certified Legal Video Specialist program, recommends that when a deposition is videotaped, the reporter or videographer should repeat the items listed in Section 12.2.2 at the beginning of each unit of recorded tape or other recording medium whether a stenographic record is made or not. No statement needs to be made at the end of a deposition if it is not videotaped. (If videotaped, see 12.2.4.)

Lawyers do not always advise reporters when a deposition is going to be videotaped, so it is a good idea to bring a generic form of the statement with you in case you need it, with blanks for all necessary information that you can fill in so it can be read onto the videotape. See Section 15.4 for a blank form.

12.2.4. Videotaped proceedings generally. When proceedings are videotaped, the appearance, voice, or demeanor of the participants should not be distorted through camera or sound-recording techniques. A statement should be read at the beginning of each unit of the recording medium stating the name of the court reporter and videographer and their respective business addresses; the date, time, and place of the proceedings; the name of the deponent; and the identity of all persons present. These statements should also be typed into the transcript.

FRCP 30(b)(4)(C) says, "At the end of the deposition, the officer must state on the record that the deposition is complete and must set forth any stipulations made by the attorneys about the custody of the transcript or recording and the exhibits, or about other pertinent matters." This is a good practice to follow for depositions filed in other courts as well.

12.2.5. Telephone depositions. FRCP 30(b)(4) reads, in part, "...the deposition takes place where the deponent answers the questions." In other words, the deposition is considered taken at the place where the witness is physically present, regardless of where the attorneys are and regardless of where the case is filed. A notary public in South Dakota is not supposed to administer the oath over the telephone, although the notary may administer the oath over an audiovisual feed where the notary is able to see the deponent. See also Section 2.2.2.

Here is one way of showing on the title page the location of various parties and counsel appearing by

telephone:

TELEPHONIC SWORN DEPOSITION OF {^|witname|witname}, taken on behalf of the {^"taker} herein, on {^"depdate}, commencing at the hour of {^|time1|"time1}Central Time, before Reporter Name, Registered Professional Reporter, and Notary Public in and for the State of South Dakota, of Topp Court Reporting, 705 Douglas Street, Sioux Falls, South Dakota. The witness and court reporter were at 1234 Green Street, Sioux Falls, South Dakota. Mr. Jones appeared telephonically from his office in Chicago, Illinois. Ms. Smith appeared telephonically from her office in Minneapolis, Minnesota. Mr. John Doe and Ms. Susan Roe were also present by telephone.

12.3. Reading and signing. In federal cases, it is not necessary to ask whether a witness wants to read and sign. The witness or a party must ask before completion of the deposition. Nor are reporters required to send the transcript to the witness. According to the rule, if reading and signing is requested, the deponent has 30 days *after being notified that the deposition is available* for reading and signing.

Federal Rule of Civil Procedure 30(e) reads, "On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which: (A) to review the transcript or recording; and (B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them." In other words, if the case is filed in federal court, the witness does not need to be asked if he or she wants to read and sign; but witnesses who ask of their own accord or get the right to read, or witnesses who are asked by one of the attorneys present to read and sign, must be given the opportunity to do so.

- **12.4. Reporter's certificate.** FRCP 30(f)(1) states, "The officer must certify in writing that the witness was duly sworn and that the deposition accurately records the witness's testimony. The certificate must accompany the record of the deposition." In addition, FRCP 30(e)(2) states, "The officer must note in the certificate prescribed by Rule 30(f)(1) whether a review was requested and, if so, must attach any changes the deponent makes during the 30-day period." See Section 15.8 for a sample certificate.
- **12.5. Filing by Officer; Exhibits; Copies; Notices of Filing.** Federal R.Civ.P. 30(f) says, "Unless the court orders otherwise, the officer must seal the deposition in an envelope or package bearing the title of the action and marked "Deposition of [witness's name]' and must promptly send it to the attorney who arranged for the transcript." Make sure that the date on which the proceedings were held show on the title page and/or cover page of the transcript.

Condensed originals have been approved for filing purposes in all federal district courts in South Dakota.

In bankruptcy court all originals, including original depositions and exhibits, must be filed electronically. If a reporter is not set up to file electronically with the bankruptcy court, they need to contact the clerk to get the necessary information to log into their system.

If an attorney wants the original in electronic instead of paper format, via email or CD, give the client what the client wants. They can figure out how to file it later if they need to.

- **12.6. Retention of shorthand notes.** FRCP 30(f)(3) says, "Unless otherwise stipulated or ordered by the court, the officer must retain the stenographic notes of a deposition taken stenographically or a copy of the recording of a deposition taken by another method." The length of time for which the officer must retain the notes or recordings is not specified, but notes of federal official court proceedings need to be retained for at least 10 years.
- 12.7. Compensation for reporting federal criminal depositions. Freelance court reporters may not

realize that depositions reported for *court-appointed* attorneys in federal court cases are subject to the Maximum Transcript Rates authorized by the United States Judicial Conference. If you are planning on charging more than the conference rates, you should advise the attorney of that beforehand, because it is certain the attorney will not be expecting to pay anything out of pocket for the transcripts and may want to look elsewhere for a reporter.

If the Certificate of Reporter is the only thing on the page, a court reporter is not supposed to charge for that page. However, if there is at least one line of the actual reported proceedings on the page, the reporter may charge for that page.

12.8. US Judicial Conference Maximum Transcript Rates - All Parties (Per Page) found online at http://www.uscourts.gov (search for "Maximum Transcript Rates") on January 20, 2015.

	<u>Original</u>	1st Copy to each party	Each add'l copy to the same party
Ordinary Transcript a transcript delivered within 30 calendar days of order	3.65	.90	.60
<u>Fourteen-Day Transcript</u> –delivered within seven calendar days after receipt of an order	4.25	.90	.60
Expedited (7-day) Transcript –delivered within seven calendar days after receipt of an order	4.85	.90	.60
<u>Daily Transcript</u> a transcript delivered following adjournment and prior to the normal opening hour of the court on the following morning whether or not it is actually a court day	6.05	1.20	.90
<u>Hourly Transcript</u> a transcript delivered within two (2) hours.	7.25	1.20	.90

Realtime Transcript --a draft unedited transcript produced by a certified realtime reporter as a byproduct of realtime to be delivered electronically during proceedings or immediately following adjournment after receipt of an order: One feed**, 3.05/page; two to four feeds, 2.10/page; five or more feeds, 1.50/page. First copy to each party: 1.20.

^{**}A realtime "feed" is the electronic data flow from the court reporter to the computer of each person or party ordering and receiving the realtime transcription in the courtroom.

SECTION 13. BILLING PROCEDURES.

- ** This section is not going to tell you how much to charge for freelance work. That is your business decision.
- 13.1. Contracting for the state. The UJS contracts with freelance reporters to do substitute reporting in official court. The contracts are sent out for renewal in June of each year, and the terms are subject to change. Check your contract for the current rates. Your bill for appearance fee should be submitted on a state voucher. You can get the state vouchers from your circuit court administrator, or you can write to Pierre, to the State Court Administrator's Office, 500 East Capitol, Pierre, SD 57501-5070, to get them. When you bill for appearance using a state voucher, you need to have the judge or magistrate sign the voucher after you've filled it out, and then you turn it in to the circuit court administrator of the circuit in which you did the work. (Keep the gold copy for your records.) No tax is charged because it's billed to the state. You may be asked to use the state voucher for other state agencies as well. Section 15.17 shows a sample state voucher followed by instructions as to how to fill it out, and Section 15.20 shows a sample state contract.
- **13.2. Mental Health hearings.** Appearance fees for mental health hearings are charged to the county the patient is from. At the beginning of the hearing, the hearing officer should put on the record the patient's county of residence. The bill should be directed to the county auditor. Technically your bill should be submitted on a county voucher, but many counties will accept your regular bill even if you don't attach it to a county voucher. If you do need to fill out a county voucher, simply put the same information as you would on a regular invoice, sign the voucher in the appropriate spot, and submit it to the county auditor. If you have a court order for a transcript, attach that too. No sales tax should be charged to the county. If there is more than one patient's hearing being billed, each hearing should be itemized. If transcripts are ordered, the original transcript is filed with the clerk of court of the patient's county of residence.
- **13.3.** Who to bill for transcripts of official court proceedings. See Section 19.13.2.
- **13.4.** What is a page? A page of transcript is not a sheet of paper. A page of transcript may contain up to 25 double-spaced lines of text, each line of which may contain up to approximately 60 characters. Court reporters do not charge by the sheet of paper. They charge by the amount of work that goes into creating a transcript page. If four mini pages are put on one sheet of paper, that is still four pages of transcript. If the pages are produced in electronic form and not on paper, they are still pages.
- 13.5. Who is responsible for the court reporter's bill? If the lawyer directly hires the court reporter and/or directly orders the transcript from the court reporter, the lawyer is responsible for the court reporter's bill, pursuant to the South Dakota Bar Association's Ethics Opinion 80-6 (See Section 15.22). Sometimes a client will call the reporter and hire the reporter directly and/or order the transcript directly. Even if the client instructs you to send the transcript to the lawyer, if the client is the one ordering the transcript, the client is directly responsible for the bill. You are within your rights to ask for your money up front anytime you get a transcript order from someone you aren't sure will pay, whether layperson or lawyer. Most lawyers pay within 30 days, but if they are working for an insurance company it can take up to nine months. If you are having trouble collecting payment, first call the attorney to find out what the problem is, or write a reminder letter such as the one found at Section 15.23.
- **13.6.** An attorney is not required to order a transcript. There are times when the attorney who requested the deposition decides not to order the transcript and just pays the reporter's appearance fee. The opposing side has the option to then order the transcript and pay the transcription fee. If the second attorney orders the original, sometimes the first attorney will then order a copy of the transcript. An argument may then ensue between counsel as to whether the first attorney has to pay for the original after

all.

Counsel should not try to put the reporter in the middle of this fight, but if they do, the reporter can refer them to the following: The South Dakota Bar Association's Ethics Opinion 80-6 (see Section 15.23) states that a lawyer who directly orders the transcript from the court reporter is responsible for paying for the transcript. Also, SDCL 15-6-30(c) states, in part, "If requested by one of the parties, the testimony shall be transcribed," but it does not say that it has to be the taking party who orders it, and indeed does not require that a transcript be made at all. So the bill for the transcription goes to the person who orders the transcript, and if counsel disagree they can go in front of a judge to make their argument, but in the meantime the attorney who orders the original has to pay the reporter for the original.

It is a good idea to get prepayment in a situation like this.

SECTION 14. FREQUENTLY ASKED QUESTIONS.

14.1. Is there a statutory page format for depositions in South Dakota? No. There is a statutory page format for official transcripts in South Dakota state court (see Section 19.6) and a different statutory page format for official transcripts of federal court proceedings (see Section 12.1), but there is no required format for depositions.

There are some things that attorneys, judges and court clerks have mentioned they would like to see freelance reporters do, however. For example, it is important that the cover page (if any) and title page show the date on which the deposition or other proceeding took place (see Section 15.1 for a sample title page). Judges especially like indexes to objections; it saves a lot of time pretrial (see Section 15.2 for sample indexes).

This is the page format recommended by NCRA:

- 1. No fewer than twenty-five typed lines on $8 \frac{1}{2} \times 11$ paper.
- 2. No fewer than 9 or 10 characters to the typed inch.
- 3. Left-hand margin to be set at no more than 1-3/4 inches.
- 4. Right-hand margin to be set at no more than 3/8 inch.
- 5. Each question and answer to begin on a separate line.
- 6. Each question and answer to begin no more than five spaces from the left-hand margin with no more than five spaces from the Q and A to the text. [Editor's Note: periods after the Q or A are optional.]
 - 7. Carryover Q and A lines to begin at the left-hand margin.
- 8. Colloquy material to begin no more than 15 spaces from the left-hand margin, with carryover colloquy to the left-hand margin.
- 9. Quoted material to begin no more than 15 spaces from the left-hand margin, with carryover lines to begin no more than 10 spaces from the left-hand margin.
- 10. Parentheticals and exhibit markings to begin no more than 15 spaces from the left-hand margin, with carryover lines to begin no more than 15 spaces from the left-hand margin. (In those states or jurisdictions with transcript format guidelines recommended or established by court or other applicable rule, such guidelines shall be observed.)
- **14.2. Do we file the originals of depositions?** Not unless ordered by the court. Unless the attorney instructs otherwise, the original transcript of a deposition should always be delivered directly to the ordering attorney. Federal Bankruptcy Court is an exception. See Section 12.5.
- 14.3. Why do we have to seal the original if we're delivering the deposition to the attorney? Because SDCL 15-6-30(f) and FRCP 30(f) say we are supposed to. Originally the sealed envelope was supposed to ensure that the original paper transcript had not been tampered with before being filed with the court. If you are only sending an electronic original, you don't need to seal it. The rules have not kept up with advancements in technology. See also Sections 9.2 and 12.5.
- **14.4.** Do deponents in a trial or evidentiary deposition have the right to read and sign? Yes. The rules regarding reading and signing do not differentiate between discovery and evidentiary or trial depositions. The witness has the right to read and sign, but may choose to waive that right. The attorneys may agree to waive it, but if the witness asks to read and sign and doesn't waive the right, the witness still has the right. In federal cases, FRCP 30(e) says the witness doesn't have to be asked, so if you're lucky, no one will bring it up. But if the witness does ask, the witness gets the right. Even in states where you don't have to ask the witness about reading and signing, if the witness asks, the witness receives the right. See also Section 7 and Section 12.3.

- **14.5.** Do we charge sales tax to out-of-state attorneys? See Section 3.1(e).
- **14.6.** Do we really have to charge sales tax on postage? Yes. See Sections 3.1(c), 3.2, and 12.4 and the sample bill at Section 15.16.
- **14.7. Do we have to use the South Dakota statutory format?** The South Dakota statutory page format is not required for depositions. The format for appeal transcripts is set out in SDCL 15-26A-93, Appendix of Forms, Form 3, Appeal Transcripts, and this manual recommends using that format for all official court proceedings. See also Section 19.6.
- **14.8.** Isn't the attorney taking the deposition really doing cross-examination? What examination format should I use? Sometimes depositions are taken for evidentiary purposes, in which case the attorney taking the deposition is conducting direct examination. Sometimes depositions are taken for discovery purposes. There are those who argue that technically the attorney taking the deposition is conducting a form of cross-examination. The rules for conducting each type of examination are different; however, depositions are not as formal as court proceedings and a lot of leeway is permitted.

For this reason, many reporters simply use "Examination" and "Re-Examination" instead of Direct, Cross, Redirect, and Recross in their deposition examination setups. Some reporters set up the first examination as Direct and the second as Cross regardless of whether it is a discovery or evidentiary deposition. It all gets sorted out in court, so just pick one style and stick with it.

See also Sections 15.5 and 17.11.8.

- 14.9. Do I have to give an attorney my audio backup? No, nor does a reporter have to turn over the reporter's notes or dope sheets or other work product. With respect to recordings of official court proceedings, Supreme Court IP Rule 2004-03 provides that a copy of an electronic recording "shall not constitute an official record of the proceedings and shall not be deemed a public record." This applies to FTR and CourtSmart recordings made of official court proceedings, but it indicates that the SD Supreme Court does not consider these recordings part of the "court record" defined in SDCL 15-15A-3 and 15-15-9. Therefore, access is not triggered by public access rules. This is also in accordance with NCRA Advisory Opinion 38.
- **14.10.** Should I still put an "original" stamp on my original paper transcript? As of January 20, 2015, if you are making a paper original, yes, you should still put the "original" stamp (using blue or red ink—but not black!) on the transcript and sign the certificate with your original signature using blue or blue-black ink. Try to place the stamp in such a way that it does not cover up any of the words on the page. That way, if the page is later scanned into the electronic court file, all words will still be readable.

Some clerks may want to cover up the "original" stamp before scanning so that any printouts of that page do not contain the word "original." If the attorney decides to file that paper transcript with the clerk, the clerk will have to scan that transcript into the Odyssey system, which is the electronic filing system used by the Unified Judicial System. The scanned document then becomes the "original" exhibit. If it gets printed out, the word "original" will show up black, which is a sign that it is a photocopy of the word "original." Please be careful to put the stamp on the page in such a way that it does not cover up any of the writing on the page.

Do not indicate "Original" or "Copy" on electronic transcripts.

SECTION 15: FREELANCE SAMPLE PAGES AND FORMS

15.1.	Deposition title page	and cover page examples
15.2.	Index examples:	(a) Index to deposition one way
		(b) Index to deposition another way
15.3.	Stipulation:	(a) Standard stipulation
		(b) Paraphrased stipulation inserted into the record.
15.4.	(a) Statement on the 1	record for videotaped depositions
	(b) Statement on the	record at the end of a videotaped deposition
	(c) Introductory state:	ment for nonvideotaped deposition
15.5.	Examination setups	
15.6.	Grand Jury testimony	example
15.7.	Deposition upon writ	ten interrogatories: example of numbered questions
15.8.	Deposition certificate	:
15.9.	(a) Certificate of Tran	nscriber and Transcriber's explanatory notes
	(b) Certificate of Tran	nscriber of Another Reporter's Notes
15.10.	Errata sheet	
15.11.	Letter to witness to r	ead and sign
15.12.	Notice of Delivery o	f Transcript after witness did not come to read/sign
15.13.	Letters showing other	er notifications to counsel (re exhibits, etc.)
15.14.	Letter to attorneys for	or errors found after transcript is delivered
15.15.	Sample transcript or	der form
15.16.	Sample invoices	
15.17.	Sample state vouche	r with instructions
15.18.	Realtime disclaimer	(see also Section 20.6)
15.19.	Court Reporter Guar	dian form and instructions
15.20.	Sample state contrac	t
15.21.	Transcript page usin	g federal format
15.22.	Ethics Opinion re att	orney's obligation to pay bill
15.23.	Collection letters	

2006 email from Department of Revenue re collecting sales tax

**There is more than one correct way to do title pages, indexes, appearances, etc. Only a few are included in this manual, for reasons of space. The important thing is to include the necessary information as required by the rules.

15.24.

Sample 15.1(a). Deposition title page – one example

```
1 STATE OF SOUTH DAKOTA
                                             IN CIRCUIT COURT
  FOR THE COUNTY OF YANKTON FIRST JUDICIAL CIRCUIT
 3
   TEDDY BEAR,
 4
                               )
                                   Civ. No. 15-1234
        Plaintiff,
                               )
 5
   vs.
 6
    TABBY CAT,
 7
        Defendant.
 8
 9
      Oral Sworn Deposition of Honey Bear, a witness herein,
  taken on behalf of the defendant herein, on Thursday,
10
  March 12, 2015, at 10:30 a.m., before Name O'Reporter,
11
12 Registered Professional Reporter and Notary Public in and
13 for the State of South Dakota, of Topp Reporting, 705 Pine
14 Street, Greenfield, South Dakota.
15
                          APPEARANCES
   JOHN LAWYER, Attorney at Law
16
   PO Box 12
17 Greenfield, South Dakota 57ZIP
    (605) 123-4567
18
         Appearing on behalf of the plaintiff;
19
    JANE ATTORNEY, Attorney at Law
20 311 East Juniper Street
    Greenfield, South Dakota 57ZIP
   (605) 890-1112
21
22
         Appearing on behalf of the defendant.
23
        Also present: Tom Dickenhary and Ida Tolya.
24
25
```

15.1(b). Deposition title page – another example

```
1 STATE OF SOUTH DAKOTA
                                           IN CIRCUIT COURT
 2 FOR THE COUNTY OF YANKTON FIRST JUDICIAL CIRCUIT
 3
   TEDDY BEAR,
                             ) Civ. No. 15-1234
 4
        Plaintiff,
 5
                             ) DEPOSITION OF HONEY BEAR
   VS.
 6
                              ) March 12, 2015
   TABBY CAT,
 7
                              )
        Defendant.
                              )
 8
 9
                      APPEARANCES
10 For the Plaintiff: JOHN LAWYER, Attorney at Law
                       PO Box 12
                       Greenfield, South Dakota 57ZIP
11
                       (605) 123-4567
12
   For the Defendant: JANE ATTORNEY, Attorney at Law
13
                       311 East Juniper Street
                       Greenfield, South Dakota 57ZIP
                       (605) 890-1112
14
15 Also present: Tom Dickenhary and Ida Tolya.
16
17 Reported by:
                     Name O'Reporter, RPR
                       Topp Reporting
18
                       705 Pine Street
                       Greenfield, South Dakota 57ZIP
19
                       (605) 131-4151
20
21
22
23
24
25
```

15.1(c). If you use a cover page on your condensed transcripts, be sure to include THE DATE.

Turner County CIV 15-XXX

Plaintiff Name vs. Defendant Name

DEPOSITION OF WITNESS NAME

February 2, 2015

Sample 15.2 (a). One style of deposition index

1	INDEX TO PROCEEDINGS	
2	HONEY BEAR	
3	Examination by Mr. Lawyer at 9:55 a.m., 3-12-15	3
4	Stipulation re Deposition Exhibit 1	23
5	Question certified	71
6	Examination by Ms. Attorney at 1:12 p.m	.100
7	Further Examination by Mr. Lawyer at 1:34 p.m	.114
8	End of Deposition at 1:43 p.m., 3-12-15	.120
9	* * *	
10	INDEX TO EXHIBITS	
11	Number and Description M	larked
12	1. Medical records	16
13	2. List of surgeries	22
14	3. Drawing of accident scene	30
15	* * *	
16	INDEX TO OBJECTIONS	
17	Page 22, line 15 Page 23, line 9	
18	Page 67, line 22 Page 92, line 3	
19	Page 94, line 5 Page 111, line 2	
20	Page 115, line 6	
21		
22		
23		
24		
25		

15.2(b) Another style of deposition index

1	INDEX TO PROCEEDINGS
2	DX CX RDX RC
3	THOMAS CAT 3 100 114 11
4	
5	Stipulation re turnover of car keys23
6	Question certified71
7	* * *
8	INDEX TO EXHIBITS
9	NO EXHIBITS WERE MARKED HEREIN.
10	* * *
11	INDEX TO OBJECTIONS
12	Page/Line: 22/15, 23/9, 67/22, 114/7, 118/21
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
2.5	

15.3.(a). Standard stipulation

STIPULATION

It is stipulated and agreed, by and between the parties herein, through their counsel of record, that the deposition of _____ may be taken at {address}, at the hour of _____, on {day/date}, on behalf of the {plaintiff/defendant} herein, before _____, Registered Professional Reporter, Notary Public in and for the State of South Dakota; That said deposition shall be taken for purposes of discovery and/or for use as evidence at the trial, or for each of said purposes, pursuant to statute and the rules of civil procedure; that all objections are reserved until the time of trial, except those as to the form of the question; that the notice of the filing of this deposition is waived; and that the reading and signing of this deposition is waived by the parties, through their counsel.

**Note: The Standard Stipulation contains most of the information required by FRCP 30(b)(4) and can take the place of the introductory statement in non-videotaped depositions.

15.3(b). Paraphrased stipulation inserted into the record.

- 1 MR. LAWYER: The record can reflect this Thursday, March 3,
- 2 2015, and we are here for the deposition of Honey Bear in the
- 3 matter captioned Teddy Bear, Plaintiff, vs. Ginger Cat,
- 4 defendant. My name is John Lawyer, and I am appearing for
- 5 Teddy Bear. Jane Attorney is present on behalf of the
- 6 defendant. Ginger Cat is not present but was notified of
- 7 this proceeding and has notified us she is not coming.
- 8 Ms. Attorney, will you stipulate that this deposition
- 9 is being taken pursuant to the South Dakota rules and is
- 10 taken for purposes of discovery as well as trial?
- 11 MS. ATTORNEY: So stipulated.
- MR. LAWYER: You may swear the witness.
- 13 HONEY BEAR
- 14 was duly sworn and testified as follows:
- 15 EXAMINATION
- 16 Q (BY MR. LAWYER) Please state your name.
- 17 A Honey Bear.

- 1 MR. LAWYER: Please insert the same stipulation from last time.
- 2 STIPULATION
- 3 It is stipulated and agreed, by and between the parties herein,
- 4 that this deposition is taken pursuant to the South Dakota rules
- 5 and is taken for purposes of discovery as well as trial.
- 6 THOMAS CAT
- 7 was duly sworn and testified as follows:

15.4 (a). Blank introductory statement for videotaped deposition.

THE VIDEOGRAPHER: This is the oral sworn deposition of [deponent's name], taken on behalf of [plf/dft] in the matter of [plaintiff] vs. [defendant], [case number], on [day and date], at [time], at [location of deposition]. The court reporter is [reporter name] of [firm name/address]. The videographer is [videographer's name] of [videographer's firm]. Present are [pf's attorney], representing the plaintiff, and [dft's attorney], representing the defendant. Also present is/are [names]. After the attorneys introduce themselves,** the court reporter will administer the oath.

MR. LAWYER: I am John Lawyer, here on behalf of the plaintiff, Teddy Bear. MS. ATTORNEY: Jane Attorney, on behalf of defendant Ginger Cat.

** The attorneys should introduce themselves by name so that viewers know which voice belongs to whom, because usually the attorneys will not be seen on the videotape.

15.4(b). Example of statement given at close of videotaped deposition

This is the end of the deposition of [deponent's name]. After the deposition is transcribed, Mr. Lawyer will take custody of the original transcript and exhibits. This deposition is concluded at [time and date].

15.4(c). Introductory statement for non-videotaped deposition, pursuant to FRCP 30(b)(4).

ORAL SWORN DEPOSITION of [WITNESS NAME], a defendant herein, taken on behalf of the plaintiff herein, on Tuesday, March 23, 2015, at 705 Pine Street, Greenfield, South Dakota, beginning at 10:30 a.m., before Name O'Reporter, Registered Professional Reporter and Notary Public, of Reporting Firm, Address

NOTE: FRCP 30(b)(4) requires this information to appear at the beginning of a deposition taken in a federal case: (a) deponent's name; (b) date, time, and place of deposition; (c) name and business address of the court reporter; (d) an identification of all persons present; and (e) the fact of the administration of the oath or affirmation to the deponent. This information may be listed on the title page or elsewhere at the beginning of the deposition. It does not all need to be contained in one paragraph. For example, the reporter's name and address may appear on a page footer, the fact of the administration of the oath is evident from the Examination setup, and so on.

15.5. Examples of Examination Setups (see also Sections 14.8, 17.11.8, and 23.5(a)):

Affirmation instead of oath:

WITNESS NAME

having affirmed that he would tell the truth, testified as follows:

EXAMINATION

Q. (BY MS. ATTORNEY) Would you state your name.

Two witnesses deposed at the same time:

WITNESS NAME and WITNESS NAME

having each been sworn to tell the truth, testified as follows:

EXAMINATION

MR. LAWYER: Please state your names for the record.

JAMES SMITH: James Smith.

LIZZIE SMITH: Lizzie Smith. You can call me Liz.

MR. LAWYER: Now, this is a rather unusual way of doing things, so first I want to lay

down a few ground rules...

* * *

NOTE: In the not much better situation where two attorneys on same side ask questions; put whoever starts as the Q and use colloquy for the other one.

Unsworn witness (it happens!)

WITNESS NAME

testified as follows:

- Q. (BY MR. LAWYER) Bobby, how old are you?
- A. Five.
- Q. Five? And do you know what it means to tell the truth, Bobby?
- A. Uh-huh.
- Q. If I told you there is a puppy dog on the table, is that the truth or a lie, Bobby?

15.6. Examination by Grand Jurors: (Note: If it's only one or two questions you don't need to set it up as an examination. Just treat it like regular colloquy.

EXAMINATION BY THE GRAND JURORS:

GRAND JUROR: So you weren't worried he might be there?

THE WITNESS: No. I had no clue.

GRAND JUROR: Didn't you see his car parked in your driveway?

THE WITNESS: It wasn't in the drive. It was on the street.

GRAND JUROR: I thought you said it was in your driveway.

GRAND JUROR: No, he said on the street.

GRAND JUROR: Okay, but on the street right in front of your house, right?

THE WITNESS: Right. But there's always cars in front of my house, so I didn't

pay no attention.

15.7. Deposition upon written interrogatories, using numbered questions:

WITNESS NAME

being duly sworn to tell the truth, was deposed upon written interrogatories read to her by the court reporter, as follows:

- Q1. Please state your name.
- A1. Tabby Cat.
- Q2. Please state your address.
- A2. 1234 Kitty Lane, Greenfield, South Dakota.
- Q4. Are you the custodian of the payroll records at Cat O'Nine Tails Fitness Center?
- A4. Yes. I've got the records they subpoenaed right here.
- Q5. Are you testifying in response to a subpoena that was served upon you on January 29?
- A5. Yes. I just said that. Do you have to read questions I've already answered? COURT REPORTER: I have to read the questions exactly as given to me.
- Q6. Are you the amanuensis of the records hereinbefore described?
- A6. What's that even mean?

COURT REPORTER: I am not allowed to interpret or explain the questions.

A6. Then I guess you better put that I have no idea.

15.8. Deposition certificate.

STATE OF SOUTH DAKOTA	.)
	:SS
COUNTY OF)

CERTIFICATE OF REPORTER

I, Reporter Name, Registered Professional Reporter and Notary Public, hereby certify that the deponent named on page 1 of this transcript was duly sworn prior to the taking of this deposition; that as of the time I affix my signature to this transcript, it contains a true and correct record of the proceedings so had; and that the witness waived/did not waive** the reading and signing of the deposition.

**for a federal deposition, use

...and that prior to the completion of the deposition the witness asked/did not ask to read and sign the transcript.

I further certify that I am not related by consanguinity or affinity within the fourth degree to any party, his attorney, or an employee of any of them; that I am not financially interested in this action; and that I am not the attorney or employee of any party.

To all of which I have affixed my signature this	day of	, 20
Reporter name, address, phone number		

15.9(a). Certificate of Transcriber (for recordings); Transcriber Notes:

STATE OF SOUTH DAKOTA)
:SS
COUNTY OF)
CERTIFICATE OF TRANSCRIBER
I, Reporter Name, Registered Professional Reporter and Notary Public, hereby certify that
I transcribed the [videotape/audiotape/digital] recording of the proceedings described on page 1 of
this transcript, and that to the best of my ability, knowledge, and belief, this transcript contains a
true and correct transcription of said recording.
I further certify that I am not related by consanguinity or affinity within the fourth degree to
any party, his attorney, or an employee of any of them; that I am not financially interested in this
action; and that I am not the attorney or employee of any party.
To all of which I have hereunto set my hand this day of, 20
Transcriber name, address, phone number

(The following notes are not required but may be placed at the beginning of the transcript, after appearances and index by way of explanation if the recording was not very good:)

TRANSCRIBER'S NOTES:

(Indiscernible) means something could be heard on the recording but could not be understood well enough for the transcriber to be certain what was said.

(Inaudible) means nothing could be heard on the recording, but based on context, the transcriber assumed something was said that was not picked up by the recording.

Occasionally the transcriber may have indicated skips in the recording when the recording counter skipped over several seconds or minutes. The transcriber may not have noticed all skips.

Dashes (--) indicate stutters, repeated words, or interruptions, but they are not an indication that something was said that could not be heard or understood.

Ellipses (...) may be used to indicate that a speaker trailed off into silence. They may be also used to indicate that the recording ran out while the speaker was still speaking or that the recording came on in the middle of a sentence, in which case there will be a parenthetical indicating the recording ran out during or was turned on in the middle of the spoken proceedings.

15.9(b) Certificate of Transcriber (for another reporter's notes):

STATE OF SOUTH DAKOTA)
:SS
COUNTY OF)
CERTIFICATE OF TRANSCRIBER
I, Reporter Name, Registered Professional Reporter and Notary Public, hereby certify that
I transcribed the stenographic notes of Other Reporter's Name of the proceedings described on
page 1 of this transcript, and that to the best of my ability, knowledge, and belief, this transcript
contains a true and correct transcription of the stenographic notes of the proceedings.
I further certify that I am not related by consanguinity or affinity within the fourth degree to
any party, his attorney, or an employee of any of them; that I am not financially interested in this
action; and that I am not the attorney or employee of any party.
To all of which I have hereunto set my hand this day of, 20
Transcriber name, address, phone number

15.10. Errata Sheet

DEPOSITION OF: WITNESS NAME, DATE

ERRATA SHEET

Indicate changes you want to make, including page and line number, the text as shown in the transcript, what you want to change it to, and the reason for the change. For example: Page X, Line Y, Smith to Smythe, incorrect spelling.

Page	Line	Change what	to what	Reason:
I have	read 1	ny deposition and hav	e noted any changes I	wish to make to it above.
Signe	d and o	dated this day o	of, 20	
WITI	NESS 1	NAME		
I witn	essed 1	the above signature on	the day of	, 20
(If wi	tnessec	d by a notary public:)	— My commission expire	s

15.11. Letter to witness to read and sign.

Date

Witness name and address

Re: Your deposition in Case Name/Number

Dear Witness Name:

Your deposition is ready for you to read and sign. Rather than risk losing the original in the mail, I have **sent you a file copy to be read and signed/**have sent your attorney a copy for you to read and sign/**request that you call me at [phone number] to make arrangements for a mutually convenient time for you to come read and sign your transcript at my office.

When reading and signing, please follow these instructions:

- 1. DO NOT WRITE ON THE TRANSCRIPT ITSELF. Write only on the Errata Sheet I have placed loosely in front of the transcript. Changes you wish to make to the form or substance of the deposition must be written on the Errata Sheet. If you need more room, you may use blank paper.
- 2. Sign and date the Errata Sheet [in the presence of a notary public], even if you make no changes. If you make no changes, please write "No changes" on the Errata Sheet.
- 3. Then return the **Errata Sheet/**transcript to me in the enclosed, stamped, self-addressed envelope.

If you decide you don't want to read and/or sign the transcript, please let me know that and the reason for your decision. According to the **South Dakota Codified Laws/**Federal Rules of Civil Procedure, you have **15/**30 days to read and sign. If you have any questions, please call me at [phone number].

Sincerely,

Reporter Name and Address

cc: Attorney Names

15.12. Notice of delivery of deposition after reading/signing or after time has passed.

NOTICE OF DELIVERY OF DEPOSITION

PLEASE ATTACH TO YOUR COPY OF THE DEPOSITION OF WITNESS NAME, TAKEN [DATE].

Re: I	Plaintiff Name vs. Defendant Name, Case Number
to proving to the [couns the tine]	riginal of the above deposition has been delivered to counsel, unsigned, pursuant visions of the Rules of Civil Procedure. On [date] said deposition was **mailed deponent with instructions to read and sign and return the deposition/**provided to sel's name], who had agreed to have the deponent read and sign, and as of this date ne for reading and and signing has passed and said deposition remains unsigned. this day of, 20
Repor	rter Name, RPR

15.13. Other examples of things that may require notification of counsel.

- (a) <u>Sending errata sheet to counsel:</u> Enclosed is the errata sheet from the deposition of Witness Name taken date for you to attach to your copy of the transcript. The original errata sheet has been attached to the original transcript, which has been delivered to Counsel Name.
- (b) Witness changed mind about reading/signing: On [date] Witness Name called my office to say that she had decided not to read and sign her deposition taken [date]. With this letter I am sending the unsigned original transcript to Counsel Name. Pursuant to instruction of counsel, the original exhibits were returned to the witness.
- (c) Exhibits missing/not provided: For your information, Witness Name has not sent me a copy of his Deposition Exhibit 5, the extra medical records he discussed, to be attached to his deposition transcript. As **15/**30 days have now passed, I am forwarding the original transcript to Counsel Name without Deposition Exhibit 5. If I receive the exhibit, I will forward it on to counsel.
- (d) Exhibits retained by reporter, but no transcript ordered: On [date] the deposition of Witness name was taken on behalf of plaintiff/defendant in the case of Plaintiff v. Defendant, Case No. XX. A transcript was never ordered, although I was instructed to take custody of Deposition Exhibits A through Z. Over a year has passed since that deposition. What would counsel like me to do with the original exhibits?

15.14. Errors found in transcript by counsel or by reporter.

Counselors:

I have discovered errors in the transcript of the deposition of Witness Name taken [date] in the case of Plaintiff v. Defendant, Case Number XX. On certain pages the word "me" was changed to the word "people" and I did not catch the errors before the transcript went out.

Enclosed are corrected pages for you to insert in your copy of the transcript/Attached to this email is a corrected electronic transcript. I did a word search on the other two depositions taken that date and this error does not occur in them.

Here is a list of the places where the error occurs. In each instance, the word "people" should be changed to "me":

Page 36, line 16 Page 38, lines 14 and 15 Page 39, line 2 Page 40, lines 19, 24, and 25 Page 41, line 5

I apologize for the inconvenience this has caused.

Counselors:

I have received a letter from Counsel Name advising that he believes there are errors in the transcript of the deposition of Witness Name taken [date] in the case of Plaintiff v. Defendant, Case Number XX. On certain pages I transcribed the word "solid" which Counsel Name believes should be the word "solvent."

As counsel is more familiar with the case and I may have misunderstood the terminology, if I do not receive any objections within ten days from the date of this letter I will make the changes Counsel Name is requesting below and forward **the amended pages/**a corrected electronic transcript to all counsel.

Page 36, line 16 Page 38, lines 14 and 15 Page 39, line 2 Page 40, lines 19, 24, and 25 Page 41, line 5

My apologies for any inconvenience this may cause.

Sincerely,

15.15. Sample Transcript Order Form (Freelance Work)

Caption of Case:	
Witness Name(s):	
Deposition Date:	
Ordering Party Infor	rmation:
Ordered by:	
Email:	
Phone Number:	
Law Firm:	
Mailing address:	
-	Check all that are applicable. If you only want an electronic version, per Copy"; if you only want a paper transcript, skip the electronic options)
Electronic options:	[].pdf with linked exhibits [] full-size pages [] 4:1 condensed pages
	[] ASCII
	[] Amicus/Summation format
	[] Microsoft Word
	[] Separately scanned exhibits
Paper options:	[] Full-size pages
	[] Condensed (4-to-a-side) pages
	[] copy of exhibits

15.16. Sample Invoices.

November 15, 2015 Invoice #111515-1

Attorney Name

Someplace in South Dakota, ZIP

Re: Case No. XX, Plaintiff v. Defendant

Deposition of Witness Name taken 11-7-15.

Original and one condensed copy delivered to you with exhibits.

25 pp x 3.50 is	87.50
Attendance	30.00
10 exhibits x .15	1.50
Postage	1.88
Pretax subtotal: 12	0.88

Pretax subtotal: 120.88

SD sales tax 4% 4.84; City tax 2% 2.42 7.26

Total due and owing: \$127.14

Please include copy of statement with payment. Thank you!

November 15, 2015 Invoice #111515-1

State's Attorney

Someplace in South Dakota, ZIP

Re: Case No. XX, Plaintiff v. Defendant

Grand Jury Testimony of Witness Name taken 11-7-15. Original transcript and one condensed copy delivered to you.

25 pp x 3.50 is 87.50 Attendance 30.00

No tax charge—government sale

Total due and owing: \$117.50

Please include copy of statement with payment. Thank you!

15.17a. Sample state voucher (instructions on next page).

_	Do no	or use this						OHEF	}	IE) [] Eng	renduure Corre	action			
	(2)	□ tray			л	[] Cash	Fransler				venue Correctio				
		[] Non			[t]						ind Ending Ad				
		[] Cas									ner Adjustment				
	[(.)	U Las	h Rece	ip!	18	LJ Appr	opriation Bi	udget Transfer		K C C I	iei Mujusimeni	· 			
				NCY USE							OFFICE ONLY			_	
Αp	phention	Area	V∈	endor Nur	nber	Invace	e IO	Vo	ucher Numb	ĐT.		Date			
	Date		Pur	chase Or	đer ID	Docume	ent ID		Delivery Date			Payment Due Date			
S T A	το		(Paye	e, Billed i	Agency)			FROM:	40	Pepartmant,	Billing Agency)			
_					FUNDING	INFORMA	TION	•					0	٠	UC
S	Сопіралу			Account		Ce	nler		Project		Debit / Credit	Other	1 D1		\$ C
9		Requ.	red		Jser	Required	User	Сомрану	Nu	mper	Amı	oun!	_	Code	RE
1 2											-	<u> </u>			
-											 	-	_		
4										"					_
5			\longrightarrow								ļ	i	_	_	
6		 -						\rightarrow			 	 į	\dashv	-	_
8		 	-									- i	\dashv	\dashv	
9						İ							\Box	\Box	
10		L		L			L			2 _			_		Ц.
										Total	<u> </u>				
1.4	lecture Br	nd afferm	under	the pena	illies of p e r	gury that th	is claim ha	s b oo n exam	ned by me.	and to the	Total best of my kn	rowledge	and i	helia	
,,,	all (hings	true and	correct				late			orization		····	- Da		
													.,,		
									Auti	ornation			Oa	10	_

15.17b. State Voucher Instructions.

15.17 part 2: Instructions for filling out state voucher:

- 1. In the Payee/Billed Agency box in the upper left, put your name and address. In the Date box put the date you are doing the billing. If you use Invoice Numbers in your bookkeeping system, you may include your invoice number in the box so designated. You can ignore the other boxes in that upper left section.
- 2. In the Department/Billing Agency box in the upper right, put the name of the attorney from the attorney general's office who ordered the transcript, as well as their billing address. Ignore the other boxes in the upper right—they will fill in the date they received the transcript, the number this voucher will be given in their bookkeeping system, and the date they're sending this voucher on to the state auditor for payment.

(Different people give different instructions for filling out the top part of the voucher, but whether you put your name in the Payee/Billed Agency on the left or the Department/Billing Agency on the right, someone will figure it out and send you your money.)

- 3. Skip the Funding Information section.
- 4. In the Description of Service, Product, or Transfer section, list each transcript separately, showing the number of pages and the per-page charge for each transcript, and putting the total cost for each over in the far right column:

Grand Jury Proceedings re Mark Jones O&1 sent to you (10 pp x 3.80) \$38.00 Grand Jury Proceedings re Mary Smith O&1 sent to you (20 pp x 3.40) 76.00 Postage to send transcripts to you: 4.60

- 5. Add up everything up and put that amount in the "Total" section at the bottom. Because this is billed to a government agency, you do not charge tax.
- 6. Sign on the Claimant line in the lower left, and put the date you sign.
- 7. Keep the bottom copy (the gold copy) for your records, and send the voucher to the attorney named in the Department/Billing Agency box above.
- 8. If you filled in for an official court reporter, the voucher needs to show the county in which you worked, the judge for whom you reported, the date, the time you spent reporting (when to when), and whether you took a lunch break.

15.18. Realtime Disclaimer.

This realtime transcript of proceedings, or any portion thereof, is being delivered unedited and uncertified by the court reporter. The user agrees not to disclose this realtime, unedited transcription in any form (written or electronic) to anyone who has no connection to this case. This is an unofficial transcription which should not be relied upon for purposes of verbatim citation of testimony.

This transcription has not been proofread. It is a draft transcript, not a certified transcript. As such, it may contain computer-generated mistranslations of stenotype code or electronic transmission errors, resulting in inaccurate or nonsensical symbols which cannot be deciphered by non-stenotypists. Corrections will be made in the preparation of the certified transcription, resulting in differences in content, page and line numbers, punctuation and formatting.

The user also agrees that upon receipt of the final, certified transcript, this instant, rough-draft form of transcript will be destroyed so that it is not accidentally used in place of the certified transcript.

15.19 South Dakota Unified Judicial System			
Court Reporter Guardian Program Agreement Form			
Official/Freelance Court Reporter (Circle one)			
Name:			
Address:			
City, State, Zip Code:			
Work Telephone:_()Home Telephone:_()_			
Email Address:			
I,, an official/freelance court reporter for the South Dakota (Circle one) Unified Judicial System, agree to abide by the requirements of the Court Reporter Guardian Program			
and hereby appoint as my Court Reporter Guardian who is			
familiar with my court reporting equipment, writing theory and location of notes. In the event that I			
should die, become incapacitated, or otherwise become unavailable, my Court Reporter Guardian, who I			
appointed above, will be responsible for my court reporting notes. By signature below, the Court			
Reporter Guardian agrees to transcribe these notes upon request by the Unified Judicial System.			
Court Reporter Guardian			
Name:			
Address:			
City, State, Zip Code:			
Work Telephone: ()Home Telephone: ()			
Email address:			

Date

Court Reporter Signature

15.19 COURT REPORTER GUARDIAN PROGRAM

Proposed by UJS Court Reporting Committee Adopted by SD Supreme Court Effective May 1, 2009

Every UJS official court reporter and any freelance court reporter contracted with the State of South Dakota will be required to submit the name, address, and telephone number of a fellow court reporter with similar equipment and writing theory as his/her "Reporter Guardian."

Each court reporter will be required, upon hire or contract, to complete and return the attached Court Reporter Guardian Program Agreement Form and return it to the State Court Administrator's Office. Thereafter, each reporter will be required to submit an updated completed form annually or sooner if his/her information changes.

In the event that an official court reporter or freelance court reporter contracted with the State should die, become incapacitated, or otherwise become unavailable, the "Reporter Guardian" will be responsible for that reporter's notes. The "Reporter Guardian" will be required to transcribe the reporter's notes upon request by the UJS.

Any official court reporter or freelance court reporter contracted with the State who is on computer is also required to provide a copy of his/her dictionary to the "Reporter Guardian" or provide the "Reporter Guardian" computer password access within 30 days of signing the Agreement Form. The official or freelance reporter is required to update his/her dictionary information and/or computer password access with the "Reporter Guardian" not less than annually.

15.20. FY 2016 State Contract with Freelance Reporters (page 1 of 2).

CONTRACT FOR COURT REPORTING SERVICES BETWEEN

Name:	
Address: City, State, Zip:	Unified Judicial System of South Dakota
Phone Number: Email:	

hereinafter referred to as Reporter

hereinafter referred to as LUS

The UJS hereby enters into a Contract for Court Reporter Service with the Reporter.

REPORTER.

- A. The Reporter's service on this contract may be provided from July 1, 2015 through June 30, 2016.
- B. In the performance of work, duties and obligations imposed by this Agreement, the Reporter is at all times acting as an Independent Contractor and not as an employee of UJS. A copy of the Reporter's current professional, local, state or other business licenses required to conduct the services stated herein, will be provided to UJS upon request. The Reporter shall not have any claim under this Agreement or otherwise against UJS for vacation, sick leave, retirement benefits, health benefits, social security or worker's compensation benefits. The Reporter shall be responsible for federal and state taxes. The Reporter shall perform their work in accordance with approved industry methods and standards of practice in the Reporter's profession.
- C. The Reporter is not required to accept a job assignment.
- D. The Reporter will provide the stenograph machine, machine paper and any supplies associated with the machine.
- E. The Reporter will perform Court Reporting or other duties required by the assigned Judge.
- F. The Reporter must include a W-9 tax form with this signed contract unless one has been submitted for a previous contract with the UJS.
- G. The Reporter will submit a bill for service detailing the hours of work, hours of travel and travel expenses for the agreed compensation. The Reporter will sign a voucher for the billed amount. The voucher must be approved by the Circuit Judge or Law Trained Magistrate Judge who the Reporter worked for and the Presiding Judge or his/her designee of the Circuit.
- H. The Reporter will submit to the Circuit Administrator an electronic copy of his/her notes of the court proceeding reported with the bill for service. No voucher for payment of services will be approved absent submission of these electronic notes.
- The Reporter agrees to become familiar with the requirements of SDCL 15-26A-51 regarding preparation of transcripts and further agrees to abide by those requirements.
- J. The Reporter acknowledges receipt of a copy of SDCL 15-26A-51 and copies of current reporting forms to be used for compliance with that appellate rule.
- K. The Reporter agrees to advise the UJS of any change of address for a period of one year subsequent to the completion of any work performed pursuant to this contract.
- Each reporter in the firm agrees to complete the attached "Reporter Guardian" form and return it to the State Court Administrator's Office with this contract.
- M. Each reporter agrees to notify the named "Reporter Guardian" of the location in which their notes are stored
- N. The Reporter's Employment ID number is
- Reporter shall perform the Reporter's work in accordance with approved industry methods and standards
 of practice in the Reporter's profession.
- P. Reporter shall retain notes from grand jury proceedings for 10 years and from all other court proceedings for 15 years.

2. UNIFIED JUDICIAL SYSTEM OF SOUTH DAKOTA.

- A. The UJS guarantees no employment of the Reporter's service during the period of this agreement. In the event the Reporter's services are used the UJS will pay \$30 per hour excluding travel time.
 - When the Reporter's services are used the Reporter will receive a minimum of four hours of work for the appearance.
 - If the Reporter is not notified 24 hours in advance of a cancelled appearance date the Reporter will be allowed four hours of work for the day the Reporter was scheduled.

Rev. 05/2015

15.20. FY 2016 State Contract with Freelance Reporters (page 2 of 2).

- B. The UJS will pay \$15 per hour for travel time from the Reporter's residence to the location of the job assignment if the mileage exceeds 10 miles one way.
- C. The Reporter's travel expenses will only be paid when the distance from the Reporter's residence to the locations of the job assignment exceeds 10 miles one way.
- D. If travel is required, the UJS will pay the Reporter's meals, lodging and mileage at rates set out in the UJS Travel and Subsistence Regulations, a copy thereof is acknowledged by Reporter. A receipt for lodging must be provided. Reporter will attempt at all times to obtain the lowest rate possible for hotel lodging.

3. INDEMNIFICATION CLAUSE.

The Reporter agrees to hold harmless and indemnify the State of South Dakota, its officers, agents and employees, from and against any and all actions, suits, damages, liability or other proceedings which may arise as the result of performing services hereunder. This section does not require the Reporter to be responsible for or defend against claims or damages arising solely from errors or omissions of the State, its officers, agents or employees. This indemnity obligation extends to but is not limited to all acts, omissions, and active and/or passive negligence by the Reporter.

Reporter shall hold the State of South Dakota, UJS, its officers, agents, servants and employees, harmless from liability of any nature or kind on account of use of any copyrighted or non-copyrighted composition, secret process, patented or un-patented invention articles or appliance furnished or used under this agreement.

Reporter agrees to defend, hold harmless and indemnify UJS for any and all liabilities associated with the use of any automobiles in relation to tasks associated with this Agreement.

- 4. AMENDMENT PROVISIONS. This agreement may be amended in writing upon agreement of both parties.
- TERMINATION PROVISIONS. This contract can be terminated at any time by the Reporter or UJS when written notice of termination is provided to the other party.
- <u>DISCRIMINATION</u>. The Reporter shall not discriminate against any individual based on race, color, religion, nationality, sex, age or disability.
- 7. GOVERNING LAW & VENUE. The Laws of the State of South Dakota shall govern this Agreement both as to interpretation and performance. Any lawsuit pertaining to or affecting this Agreement shall be venued in Circuit Court, Sixth Judicial Circuit, Hughes County, South Dakota. The provisions of this paragraph shall survive expiration or other termination of this Agreement regardless of the cause of such termination.
- 8. <u>CONFIDENTIALITY</u>. Confidential Information means any information concerning or relating to the property, business and affairs of UJS that are furnished to Reporter. Reporter and the UJS agree that any and all Confidential Information will be held in confidence and will not be disclosed to any third party without the consent of UJS. Reporter shall treat UJS Confidential Information as confidential within Reporter's office, and shall disclose it therein only on a need-to-know basis.

The Reporter understands and acknowledges that any use, disclosure or misappropriation of the UJS's Confidential Information in violation of this Agreement will cause the UJS irreparable harm, the amount of which may be difficult to ascertain. Reporter and UJS agree that UJS shall have the right to an Order restraining any actual or threatened use, disclosure or misappropriation of its' Confidential Information and to apply for such other relief, as the UJS shall deem appropriate. Such right is to be in addition to all of the remedies otherwise available to the UJS at law or in equity.

- <u>SEVERABILITY PROVISION</u>. In the event that any court of competent jurisdiction shall hold any provision of
 this agreement unenforceable or invalid, such holding shall not invalidate or render unenforceable any other
 provision hereof.
- 10. ENTIRE AGREEMENT & MODIFICATION. This Agreement and all documents incorporated by reference supersedes all previous Agreements either oral or in writing and constitutes the entire understanding of the parties hereto. No changes, amendments or alterations shall be effective unless in writing and signed by both parties.

In witness hereto the parties signify their agreement by signatures affixed below;				
Court Reporter	Date	UJS State Court Administrator	Date	

Rev. 05/2015

15.21 (a). Sample page using NCRA format.

GEORGE WITNESS 2 was duly sworn and testified as follows: 3 EXAMINATION 4 (BY MR. ATTORNEY) Is your true and correct name 5 George Witness? 6 Α. Yes. 7 Okay. Mr. Witness, you previously executed an 8 affidavit on the 14th day of April, 2010. I'm going to hand 9 that to you and ask you if you will review that. 10 Α. Okay. 11 Q. All right. My purpose here today is to ask you 12 some questions about some of the things you mentioned in the 13 affidavit. Α. Okay. 14 15 MS. LAWYER: Do you have a copy of that for me 16 to look at as well? 17 MR. ATTORNEY: Yes. Let the record show I am 18 handing Ms. Lawyer a copy of the affidavit. MS. LAWYER: Thank you. 19 20 (BY MR. ATTORNEY) All right. You're a member of 21 the Odd Fellows? 22 Α. No, not anymore. 23 You previously were? Q. 24 Α. Yeah. 25 And you have served as a state officer, correct?

15.21 (b). Transcript page using Federal format.

- 1 Q. This is Sample 15.21, showing the federal format.
- 2 A. The federal official court reporters manual sets these
- 3 | guidelines: 1 3/4" from left, 3/8" from right; Q & A to start
- 4 | flush to left margin; text starts 5 spaces from left margin
- 5 (not five spaces from Q&A); colloquy/paragraphs 10 spaces in,
- 6 and type is 10 characters to the inch, margin lines left and
- 7 | right. Parentheticals start no more than 15 spaces from the
- 8 | left-hand margin.
- 9 This is what a page looks like for federal court.
- 10 | Q. And so you moved to Sioux City in 1988, correct?
- 11 **A.** Yes.
- 12 | Q. And did you stay, then, in the position of production
- 13 | manager from '88 to '93 in assembly and manufacturing, or did
- 14 you switch in that time to a different division?
- 15 A. No, that was still in assembly manufacturing.
- MR. JONES: Did you say "assembly"?
- 17 THE WITNESS: Yes.
- 18 MS. SMITH: Jim, have you seen this yet? I just got
- 19 | it by fax this morning.
- 20 Let's mark this.
- 21 (Exhibit 1 marked.)
- 22 | Q. (By Ms. Smith) Go ahead and look at that, Ms. Bates.
- 23 Marked as Exhibit 1 is your resume, correct?
- 24 A. Yes.
- 25 | Q. And that's a document you would have prepared?

15.22. Ethics Opinion stating lawyers are responsible for services they request.

State Bar of South Dakota

Ethics Opinion 80-6

January 19, 1981

- Subject: Lawyer's responsibility for requested services
- Summary: Lawyer who orders service for client is responsible for payment unless provider is advised in writing in advance.

The State Bar Ethics Committee has considered the subject of indebtedness incurred for professional services on behalf of clients by lawyers and the committee unanimously agreed to issued Ethics opinion No. 80-6 which is as follows:

"It is professional misconduct for an attorney to deny responsibility for the payment of compensation for services rendered by doctors, engineers, accountants, other attorneys, or other persons, if the attorney has ordered or requested the services without informing the provider of the service, by express written statement at the time of the order or request, that he will not be responsible for payment."

January 19, 1981

Max Gruenwald Chair, Ethics Committee Subject: Lawyer's responsibility for requested services

Sammary: Lawyer who orders service for client is responsible for payment unless the attorney by express written statement at the time the services are contracted for disclaims.

The State Bar Ethics Committee has considered the subject of indebtedness incurred for professional services on behalf of clients by lawyers and the

responsibility for payment.

committee unanimously agreed to issued Ethics opinion No. 80-6 which is as follows:

"It is professional misconduct for an attorney to deny responsibility for the payment of compensation for services rendered by doctors,

engineers, accountants, other attorneys, or other persons, if the attorney has ordered or requested the services without informing the provider of the service, by express written statement at the time of the order or request, that he will not be responsible for payment."

Max Gruenwald Chair, Ethics Committee

DISCIPLINARY BOARD NOTE

In 1998, the Disciplinary Board processed 108 complaints. Of the several general areas in which the Disciplinary Board receives complaints, one deserves special comment, since it seems many lawyers are not precisely aware of the correct rule. This involves the situation where an attorney contracts for services on behalf of the client and in furtherance of work or litigation being done on behalf of the client. The question sometimes arises whether the attorney or the client is responsible for paying the provider of the services.

On this subject, the State Bar Ethics Committee has previously issued Ethics Opinion 80-6, which is reproduced above. This Opinion is consistent with the position which the Disciplinary Board has taken in the past and which the Disciplinary Board will take in the future. There is, of course, no doubt that the lawyer has recourse against the client for these services. However, as between the person rendering the services and the attorney, the attorney is responsible for payment unless the attorney by express written statement at the time the services are contracted for disclaims responsibility for payment.

It is our hope that the foregoing will clarify for the members of the Bar the position of the Disciplinary Board on this subject. It is the intention of the Disciplinary Board to adhere to this Opinion in the future as to any complaints which are filed with the Disciplinary Board.

Richard L. Travis Chair, Disciplinary Board

Ethics Opinions (1995 to present) are available at the State Bar Website Member Services: http://www.sdbar.org/members/ethics/default.htm

15.23 Collection letters.

Dear Attorney Name:

While doing my sales taxes I came across the enclosed bill which my records show is now more than 60 days overdue. Please check your records, and if you have not paid it, please remit payment by return mail. If you show it was paid, please let me know when it was paid and the date on which the check cleared. Thank you for your prompt attention to this matter.

Sincerely,

Dear Counselor:

I have received no response from you regarding my request for payment of the enclosed invoice, which is now six months overdue. According to the South Dakota Bar Association's Ethics Opinion 80-6 (copy enclosed), a lawyer who orders services for a client is responsible for payment for those services "unless the attorney, by express written statement at the time the services are contracted for, disclaims responsibility for payment."

Please remit payment by return mail. If I am mistaken and you have already paid this bill, please send a copy of the cancelled check so I can correct my records. If you are experiencing some sort of problem which prevents you from paying the bill, please contact me, and perhaps we can work out some sort of arrangement, such as an installment payment plan.

Sincerely,

Dear Counselor:

I have received no response from you regarding my request for payment of the enclosed invoice, which is now eight months overdue. According to the South Dakota Bar Association's Ethics Opinion 80-6, a lawyer who orders services for a client is responsible for payment for those services "unless the attorney, by express written statement at the time the services are contracted for, disclaims responsibility for payment."

Enclosed is a copy of the small claims action I will be obliged to file unless you remit payment by return mail. If I am mistaken and you have already paid this bill, please send a copy of the cancelled check so I can correct my records.

Sincerely,

Section 15.24. Email from SD DRR re tax questions:

-----Original Message-----

From: Riley, Jo

Sent: Friday, June 09, 2006 2:00 PM

To: Meyer, Mary Anne

Subject: FW: sales tax questions

Section 15.24: Email from SD DRR. Note: Websites in this email are out of date. This email is included only for purposes of reference should someone from the DRR ask where we got our information from.

Mary Anne, I made some changes and modifications on your information. Our corrections and suggestions are in blue. If you have any further questions, please do not hesitate to contact me. Thank you for making this effort to inform your association.

You must charge sales tax on appearance fees and transcripts delivered within the state of South Dakota. In order to sell anything in the State of South Dakota, you must have a sales tax license. To get one, go on line at www.state.sd.us/drr; or contact the South Dakota Department of Revenue & Regulation (SD DRR) Business Tax Division, 445 E. Capitol Ave., Pierre, SD 57501-3100 (phone 1-800-829-9188 or 605-773-3311in the Pierre area). You can also get a list of SD cities and city sales tax at the DRR Wel.

list of SD cities and city sales tax at the DRH We scrolling down to Current Municipal Tax Rates.

Some contact info in this 2006 email is out of date—see Section 3 and/or double-check online

Some cities have more than one tax rate. To match a street address with the correct tax rate, go to www.state.sd.us/drr2/GIS/BusinessTax/taxmatch.htm.

If you are moving your business into South Dakota from another state, you may also be liable for use tax on equipment and supplies purchased in another state and brought into South Dakota. Consult the Sales Tax Guide provided by the DRR for more information. It is on line at the Department's Web site under the Publications link.

Site's format has changed also.

You must charge municipal (city) sales tax as well as state sales tax. The municipal tax to charge is usually the tax of the city in which the delivery of the transcript is made, not where the proceedings were reported or transcribed. (If no transcript is ordered but an appearance fee is charged, the municipal tax is the tax of the city where the proceedings were conducted).

No tax is charged to government entities, such as state's attorneys, court-appointed attorneys IF you are billing the state/county and not the attorney directly, tribal authorities, school boards, or city councils, to name a few. However, you will need proof that your payment is being received directly from a governmental body. Be sure to (a) bill the governmental body directly and (b) keep evidence (check stub or envelope with County Auditor's name and address on it, photocopy of check showing it's drawn on school board's account, etc.) to prove you were paid directly by the governmental body **OR** obtain a properly completed exemption certificate signed by an authorized individual from the governmental entity.

If your bill includes photocopied exhibits, long-distance telephone fees for telephone depositions done at your office, an appearance fee, or anything else, such as delivery fees (*including postage*, according to the Sales Tax Guide issued by the SD DRR), you must charge sales tax on those as well.

If the transcript is being delivered to an out-of-state address, you do not need to charge sales tax. If the transcript is being delivered to an in-state address, but the bill is being sent to an out-of-state-address, you do need to charge sales tax, because tax is based on where the transcript is delivered, not where the bill is sent. If the bill is for an appearance fee only, charge sales tax even to an out-of-state attorney. Base the municipal tax in that situation on the place where you appeared. However, if you are charging the out-of-state attorney for the transcript and

appearance fee and delivering it to an out-of-state address, you don't need to charge tax on the appearance fee if it is "bundled" in with the fee for the transcript. in that situation.

As explained by a senior revenue agent at the DRR, According to the Department of Revenue and Regulation, if the bill is for tangible items sent to an out-of-state address, don't charge tax on those tangible items and don't charge tax on fees for services if those fees are bundled in with the bill for the tangible items. The out-of-state attorney may be liable for use tax if the deposition is meant for use in a South Dakota case, but out-of-state attorneys practicing in South Dakota are required to have a tax license, so they are responsible for paying their own use tax. The court reporter does not bill for use tax.

If you pay to have exhibits photocopied, the copy business will charge you sales tax. If you then charge a little extra for the copies in addition to the original cost plus tax that the copy business charged, you have to charge sales tax on the amount you're charging your client for the copies. For example: Kinko's in Yankton charged you 10 cents a page for 10 exhibits, which is \$1.00, plus the 4% state and 2% municipal tax, so you paid Kinko's \$1.06. If you bill the attorney 15 cents a page for the 10 exhibits, you must also bill the attorney 4% state tax on \$1.50 plus whatever the municipal tax is for the city where the exhibits are being delivered.

If you aren't charging the attorney more for the exhibits than the copy business charged you, you can either: (a) charge sales tax on the original-cost-plus-sales-tax because you're bundling all your charges together on the bill; or (b) you can give the copy business a signed Exemption Certificate so they will not charge you sales tax on your copies. This is purchasing your copies as a sale for resale and you will then bill the attorney for sales tax on those exhibits. Contact the DRR at 800-829-9188 for an Exemption Certificate or go to their website at www.state.sd.us/drr to download a copy of an Exemption Certificate.

Transcripts and other tangible items picked up at your office are subject to sales tax at your office location.

Contrary to claims of attorneys from Oregon, some of whom have declared that under Oregon law they don't have to pay sales tax *anywhere*, if those attorneys take depositions or do other work in South Dakota, they are subject to South Dakota's sales tax laws.

Municipal sales taxes change periodically (January 1 and July 1 only), so make sure you read updates the Department of Revenue & Regulation will send you.

SAMPLE BILL:

To: Attorney Name
Attorney Address
Yankton, South Dakota 57069

Deposition of Joe Smith reported 2-2-06 in Vermillion, SD Fee for transcript: 40 pages x \$3.00 is \$120 Appearance fee: 25 Exhibits (10 pp x 10 cents/page) 1 Postage: 4 \$150 Pretax subtotal: SD sales tax (4%) 6 Yankton municipal sales tax (2%) 3 Total bill: \$159

Jo S. Riley 🕺

Business Education Coordinator

SD Department of Revenue 445 E. Capitol Avenue Pierre, SD 57501 (605) 773-5445

-----Original Message-----From: Meyer, Mary Anne

Sent: Wednesday, June 07, 2006 1:20 PM

To: DRR - Business Tax **Subject:** sales tax questions

Hi. Last year the South Dakota Court Reporters Association had someone from the Department of Revenue come speak with us about charging sales tax. I found her presentation to be very helpful.

This year I was asked to help put together a manual for new court reporters to help them learn proper procedures for reporting in South Dakota, and I thought it would be a good idea to include information on sales tax.

Would you please read the information below to make sure I've got it right? Make whatever corrections or suggestions you think are needed. I was asked to present the manual at our June seminar, so if I could get this information back before June 15 so I have time to print everything out, that would be great.

Thank you very much!

Sincerely,

Mary Anne Meyer Official Court Reporter 605,677,6757

You must charge sales tax on appearance fees and transcripts delivered within the state of South Dakota. In order to sell anything in the State of South Dakota, you must have a sales tax license. To get one, go on line at www.state.sd.us/drr; or contact the South Dakota Department of Revenue & Regulation (SD DRR) Business Tax Division, 445 E. Capitol Ave., Pierre, SD 57501-3100 (phone 1-800-829-9188 or 605-773-3311in the Pierre area). You can also get a list of SD cities and city sales tax at the DRR Web site by clicking on Municipal Taxes and scrolling down to Current Municipal Tax Rates.

The remainder of this email was deleted to save space in the manual, but it's the unedited version of the information edited by Jo Riley in the top part of this email.

SECTION 16. THE OFFICIAL COURT REPORTER

The official court reporter is an officer of the court. The official court reporter has an obligation to be fair and impartial toward each participant in all aspects of reported proceedings; to act at all times with honesty and integrity; to guard against not only the fact but also the appearance of impropriety; to promote justice and the effective operation of the judicial system; and to preserve the confidentiality and ensure the security of information, oral or written, entrusted to the official court reporter in the performance of his or her duties.

16.1. Accessing the UJS Intranet. There are many rules and policies affecting official court reporters that will not be included in the SDCRA Court Reporters Manual. Those rules and policies may be found in the UJS Employee Handbook and UJS Personnel Rules, which may be accessed on the UJS Intranet, along with other resources for UJS employees.

As of 1/20/15, to access the Intranet, go to the South Dakota UJS website, click on UJS Intranet, and type in the same user name and password you use to get into Outlook and Odyssey.

To read the Employee Handbook, click on Human Resources in the list on the left, then click on Employee Handbook on the right, then click on UJS Employee Handbook. Farther down the list on the right you can also find the Personnel Rules.

UJS policies are under General Information.

The User Manual for Odyssey is under Odyssey.

16.2. What constitutes "official work." According to Rule 10.2(A) of the UJS Personnel Rules (revised February 2014) "Official work is the recording and/or transcribing of those court proceedings authorized by the presiding circuit judge. Court reporters may use normal office hours to prepare transcripts of official UJS court proceedings, not to exceed eight hours in one workday."

The UJS position description of a court reporter's duties may be found on the UJS Intranet under the Human Resources Tab, Position Descriptions. Those duties may include, but are not limited to, marking exhibits; reading back the record as requested; maintaining original and backup files of court proceedings; providing short excerpts of the record for judges, law clerks, court services officers, court clerks, etc., at no charge when court personnel have questions regarding what transpired or the exact wording of a judge's ruling; comparing the record to orders prepared by attorneys to ensure accuracy; scheduling the judge's calendar if requested; arranging for DDN and ITV conferences as necessary; preparing correspondence; answering the telephone and taking messages; typing, filing, and mailing pretrial orders; preparing the judge's travel voucher; and other work as assigned, depending upon the circuit/judge.

16.3. Official reporters doing extra-judicial work.

- **16.3.1. Outside employment generally.** UJS Personnel Rule 9.3 says UJS employees may take outside employment if it doesn't conflict with their UJS work and if they get approval from their supervisor by following the steps laid out in Rule 10.3, which may be found on the UJS Intranet
- 16.3.2. Reporting Grand Jury proceedings is considered official court work. Rule 10.2(B) of the UJS Personnel Rules states that official reporters "may record and transcribe grand jury proceedings at the discretion of the presiding circuit judge. If the presiding circuit judge approves such work, the recording and/or transcribing of grand jury proceedings is official work." Grand jury work may be recorded and transcribed during normal work hours without the official reporter taking annual leave. However, it also means that an appearance fee cannot be charged for reporting grand jury proceedings during normal work hours. The Personnel Rules do not say anything about what to do if the grand jury proceedings run past 5 p.m. Grand jury work is usually county work, not UJS work, so the official may not claim overtime from the UJS, but may charge the county an appearance fee for any reporting done after normal office hours. The transcript pages should be billed at the official statutory rate.

16.3.3. Officials doing freelance reporting. Officials should not take freelance work if their official work is not current. Rule 10.3(B)(3) defines a court reporter's work as "current" if the court reporter has no appeal transcript unprepared within the initial time fixed for the preparation by rule of the Supreme Court, no transcript (official or freelance) unprepared thirty days after it was requested or ordered, and fewer than 750 unprepared transcript pages for all appeals, depositions, judicial and extra-judicial proceedings.

Rule 10.2(C) of the UJS Personnel Rules (rev. Feb. 2014) states that "Freelance', 'outside' or 'depositional' work is work that does not involve the recording and/or transcribing of official UJS court proceedings." It further specifies that "Court reporters may not perform freelance work during normal office hours without taking annual leave. Additionally, the preparation of transcripts from such work may not be performed during normal office hours."

16.3.4. Special considerations when performing work for other state entities. Rule 10.2(C) says, "Freelance work does include recording and/or transcribing other UJS hearings such as Board of Bar Examiners' hearings and examinations, grievance hearings under the UJS Personnel Rules, and proceedings of other state entities, such as the South Dakota Retirement Board."

According to this rule, "Court Reporters who are performing freelance work for the UJS must report this work in advance to the Director of Budget and Finance so their work is properly recorded on TKS [the state's Time Keeping System, often referred to as the "time sheets"] so their work is properly paid according to Internal Revenue Service requirements. Court reporters who are performing work for other state entities will have their work recorded on TKS by the hiring entity. As with other freelance work, the court reporter is responsible for negotiating his or her own rate of compensation for this work with the hiring entity."

16.4. Reimbursement for travel and expenses related to official court reporting. If you travel for any work-related purpose, such as attending the Annual Judicial Conference or reporting away from your station of origin, you can get reimbursement for certain expenses if you submit a travel voucher to your court administrator. Original receipts must be attached to the voucher for lodging and miscellaneous expenses, such as parking, airline tickets, etc. Agendas for meetings and seminars must also be attached to show that the travel was work-related. Mileage is paid from station of origin to work location or from your home address to the work location, whichever is shorter.

Travel vouchers should be submitted monthly. The Budget Office in Pierre has the discretion to not reimburse expenses submitted after 60 days, so get the vouchers in promptly, within 30 days if possible so the circuit administrator has time to check the voucher before forwarding it to the state. Travel voucher forms can be found on the UJS Intranet or may be obtained from the court administrator.

When making reservations, check to make sure you are getting state rates. Not all motels give them, and some offer them only at certain times of year. You will have to pay the difference if you can't get state rates. Any in-state work-related lodging can be direct-billed if the State has an account with that hotel. To utilize direct billing, contact your Circuit Administration.

If you use a state vehicle, you may also need to submit a Training Travel Log showing how many actual miles you drove the vehicle so that Court Administration can compare it to the bill they receive from Fleet Services. Ask them for a form.

Reimbursement rates effective July 1, 2015, are: .42/mile; \$55/night lodging Sept 1st through May 31st; \$70/night June 1st through August 31st; \$6 for breakfast, \$11 for lunch, \$15 for supper. You may claim breakfast if you leave your duty station before 5:31 a.m. and return after 7:59 a.m.; lunch if you leave before 11:31 a.m. and return after 7:59 p.m.; supper, leave before 5:31 p.m. and return after 7:59 p.m.

- **16.5. Impartiality.** A court reporter must not only remain impartial but must also maintain the appearance of being impartial. It is important to treat each side of the case in the same courteous way.
 - **16.5.1.** Familiarity with parties and witnesses. If you are in trial and a close relative, good

friend, or a neighbor is called as a witness, alert the judge before the witness takes the witness stand. You may want to switch assignments with another reporter so that there is no appearance of impropriety or bias on your part. If you are unable to switch with another reporter, the attorneys or judge may want to put something on the record about your relationship to the witness and the fact that all sides are aware of it and stipulate that you may still do the reporting.

16.5.2. Offering transcripts to all sides. If a court reporter is asked to transcribe a portion of proceedings but to keep the transcript request from the opposing side, the reporter should advise the person requesting the transcript that according to UJS Policy 3-PJ-10, a reporter requested to transcribe an excerpted portion of testimony for one party to an action must engage in a two-part disclosure process to preserve the reporter's impartiality:

First, the reporter must notify the requesting attorney of the reporter's obligation to inform the other party that the reporter has received a request for an excerpt and that the reporter must offer the other party the opportunity to order excerpts, including the same excerpts ordered by the requesting attorney. Second, assuming the requesting attorney still wants an excerpt, the reporter must disclose to the other party that a request for an excerpt of the proceeding was made and that such other party has the right to request transcript excerpts that it may require, including those ordered by the requesting attorney. If specifically requested, the reporter must then deliver the excerpts the requesting attorney ordered to the other party. Failure to follow these disclosure practices would place the reporter into a situation that would compromise the reporter's impartiality and violate the National Court Reporters Association's Code of Professional Ethics, Provision No. 1.

NCRA Advisory Opinion 22 adds:

This two-step disclosure process applies, but is not limited to, requests for uncertified rough drafts, certified deposition transcripts, and excerpted or certified courtroom transcripts.

If you are asked to provide an excerpt of proceedings, inform the requesting party that you will be offering a copy to the other side. If the requesting party then withdraws the request, do not mention the request to the opposing party.

- **16.5.3. Do not give legal advice.** Be careful in your efforts to be helpful. If a pro se litigant is asking questions of you about the law, you should tell that person that it would be improper for you to give legal advice. Suggest that the person get a lawyer. You can also refer the person to the UJS website "Self-Help Center," which has various online forms for the pro se litigant.
- **16.6. Trial prep for court reporters.** Thanks to Odyssey, it is now possible for official court reporters to read case files prior to reporting proceedings in those cases even if the case is filed in a different county. This allows the reporter to make a list of proper names and unusual terminology and develop briefs for faster writing and transcribing, not to mention cleaner realtime. You can also print out any witness lists or exhibit lists for easy reference. Skim the lawyers' briefs for important case names or phrases that you may want to steno brief (for example, "product disparagement" [PRAIRMT]).
- **16.7. Courtroom procedures.** Courtroom procedures vary from judge to judge. If you are a new reporter, or if you are working with a judge and/or clerk of court you haven't worked with before, check to see how they usually handle the following things:
- **16.7.1. "Please rise for the Court."** When it is time for the record to start, usually the court attendant, clerk, or court reporter will say, "All rise, please," or words to that effect. (Check to see if you

are the one who is expected to say this. Otherwise you may end up saying "Please rise" just as the clerk is saying "Rise, please"). Everyone, including the court reporter, should stand while the judge takes the bench. The court reporter should also stand to show respect for the judicial position. However, in some situations the judge may prefer to keep things informal; for example, if the judge is hearing a matter via ITV, the judge may prefer to skip the "all rise" instruction.

Some judges want someone to say "Please rise" as they leave the bench; others do not. Many attorneys will rise whether told to or not as the judge steps down. Sometimes a deputy or other person unexpectedly will say "Please rise" as the judge steps down. The court reporter's first duty is to the record, so if you are still writing on your machine, stay seated until you have taken care of writing and saving the record to the computer, then rise.

- **16.7.2. "Please rise for the jury."** It is customary for the judge, court attendant, clerk, or court reporter to say "All rise for the jury" when a jury is entering and exiting the courtroom. The court reporter should rise unless it is necessary to stay seated to preserve the record.
- **16.7.3. Administering an oath/affirmation.** In some courts the judge administers the oath to a witness; in some the clerk of courts does it; in some the court reporter administers the oath. An oath may also need to be administered to an interpreter. Section 2 of this manual sets out the form of oath and affirmation contained in the South Dakota Codified Laws. Remember that under current South Dakota law a notary public may not swear a witness over the telephone, although judges, grand jury forepersons, and other persons authorized to administer oaths may do so over the phone. But the witness must be in the presence of the notary public or appearing via an interactive audiovisual device in order for a notary public to administer the oath. (See SDCL 15-5A-1)

Indicate in your notes that an oath or affirmation was administered. You do not need to transcribe the entire oath or affirmation unless something unusual happens, such as a lecture by the witness about whether the oath was properly administered, or the rare witness who refuses to take either an oath or affirmation and won't even promise to tell the truth.

- **16.7.4. Marking exhibits.** In some courts the clerk of courts marks and keeps track of the exhibits. In others, the court reporter does it. See Section 18 for more information about marking exhibits.
- **16.8. Retention of notes.** Transcripts of some proceedings are ordered months and even years after the record is made. Be sure to have sufficient backups of your note files. It is wise to periodically migrate your backup files to different backup media as technology changes so when older backup media can no longer be read by updated equipment, the electronic files will still be accessible.

Official court notes and official audio recordings of legal proceedings need to be retained at least 15 years after the date of hearing, pursuant to the UJS Records Retention and Destruction Schedule (rev. 4/02). Official audio recordings mean FTR and Court Smart recordings, not a court reporter's personal audio recordings. Supreme Court IP Rules 2004-03 provides that "The copy of the electronic recording shall not constitute an official record of the proceedings and shall not be deemed a public record."

UJS Policy 6-SC-09 (Adopted 12/17/09) states, "The South Dakota UJS system provides computerized storage on UJS remote servers for all notes of state court proceedings whether reported by official reporters employed by the UJS or by freelance reporters who contract with the UJS. This storage service is meant to provide security for the court record. Compliance with this service is mandatory. The UJS will continue to use transcription services by the reporters who produced the notes, or where the reporter is unavailable, the assigned guardian under the UJS reporter guardian program. This storage service is not to be used as the primary backup system for reporter notes. UJS Circuit Administrators are responsible for monitoring that notes for all court proceedings reported by a court reporter, whether an employee of the UJS or a freelance reporter on contract with the UJS, are stored within this system."

To upload your notes into the UJS Court Reporter File Upload program:

- 1. Transfer your notes to a flash drive.
- 2. Insert flash drive into one of the state computer's USB ports.
- 3. Click on the Court Reporter File Upload Icon on your Desktop screen, or click on Start in the lower left of the Desktop, and the icon should come up there. If it doesn't, click on All Programs and look for Court Reporter Upload.
- 4. An Upload Application screen should appear with your name already in the Name field. If it's not your name, click on the down arrow of the Name field to find your name.
- 5. Click on Select Files to bring up the list of files on your flash drive.
- 6. Select the files you want to upload, then click on Open in the lower left of the screen
- 7. Click on Save. This brings up another menu with a file name comprised of your name and the date you're saving your files. Click on Save. It won't look like anything is happening, but the files are now being saved. This may take up to a minute, so be patient.
- 8. When the Selected Files screen is blank, click X to exit. You should get an email confirming the upload almost immediately.
- 9. Remove your flash drive from the USB port.

16.9. The Court Reporter Guardian Program. Court reporters who report official court proceedings, including freelance court reporters who contract to work in court, are required to designate a guardian for their stenographic notes. The guardian is a person who agrees to transcribe the court reporter's notes in case of death or disability. The guardian should be familiar with the reporter's software and should have access to an updated copy of the court reporter's master CAT dictionary. They should know where the reporter's files are kept and how to access them, including knowledge of the reporter's user names and passwords, or at least knowledge of who has those things so the guardian can get them in order to transcribe the deceased/disabled reporter's notes. The UJS provides forms for court reporters to fill out stating who their guardian is.

Reporters will often make up briefs on the fly. If you have decided to type "klebsiella oxytoca" as KLEB/KLEB, be sure to leave an indication somewhere in your notes and on your dope sheets or calendar sheets so that when the transcript is prepared at a later date you or your guardian will know what the brief is for. Be sure to save any job dictionaries you have created, which should have your briefs as well. See Section 15.19 for a Court Reporter Guardian Program Agreement Form.

16.10. Court Reporter Annual Training. Court reporter annual training is provided by the state on an annual basis in conjunction with the Fall Judicial Conference, usually held around the first weekend of October. This training is provided at no charge to official reporters, and the state pays for meals, lodging, and travel expenses. The UJS applies for and NCRA gives continuing education points for these sessions. All official court reporters not attending the annual training session must take annual leave unless they are not attending because they are needed for court business, such as a jury trial that goes longer than expected and laps over into the conference days.

Other training is also available to officials. The UJS will reimburse officials for their registration fee, meals, mileage, and lodging when attending the SDCRA spring conference, usually held around the third weekend in June in conjunction with the SD Bar Association Annual Convention. SDCRA applies for and NCRA gives CEUs for this convention as well. Officials who do not attend the June seminar need not take vacation leave if they choose to spend those days working at the courthouse instead.

Also, UJS Policy 1-PJ-08 states that "Once every three years, each circuit may include in their training budget funding for one official court reporter to attend out-of-state training." You need to apply to your circuit court administrator for this funding, usually prior to the budget year in which you hope to travel to out-of-state training, and will need to fill out a form describing the training and the estimated costs (lodging, seminar fees, etc.) involved. The circuit will probably not approve the entire amount but may pay the allowable out-of-state lodging and meals plus at least part of the registration fees. They will only provide this funding once every three years, and only to one reporter within that three years.

SECTION 17. REPORTING AND TRANSCRIBING OFFICIAL COURT PROCEEDINGS

17.1. What needs to be reported. SDCL 15-15-1 says, "Objections, rulings, proceedings, and remarks to be noted by the court reporter. When a court reporter is making a record of the proceedings of the court in any trial, motion, or proceeding of any kind before the court, he shall note all objections to the evidence and rulings thereon and all motions, stipulations, applications, and similar proceedings relevant to the matter involved and all rulings and remarks of the court thereon."

Reporters disagree among themselves about whether certain portions of the record need to be reported or not. Some report closing arguments; others do so only when asked; others do so only in criminal, not in civil cases. Some report the reading of the trial indictment or information, and others do not. (Many state's attorneys read indictments and informations so fast that it is clear they do not expect the reporter to report them.) Some reporters report jury instructions because they know of times where an instruction has been misread or completely skipped during the reading; others do not report the jury instructions, but stay in the courtroom in case an objection is raised during the reading.

When in doubt about whether something needs to be reported, ask your judge. If you don't have time to ask, it's best to report it. You can always take something out if you reported it and didn't need to, but if you need it and didn't report it, you won't be able to put it back in. Because not all courts do things the same way, attorneys may expect you to be reporting something because that's how it's done where they usually practice. Some reporters say they leave the room to make it obvious they are not reporting, but sometimes attorneys are so caught up in the proceedings that they don't notice the reporter is gone. The reporter should have someone state on the record when the reporter will not be reporting something so the attorneys are not unpleasantly surprised later.

In federal court, everything is reported.

In felony cases, report everything, including opening and closing arguments and jury voir dire.

If part of the trial process is not reported, it is important to include a parenthetical showing that it nonetheless took place. For instance, write in your notes and include in the transcript parentheticals such as the following:

(The Trial Information/Indictment was read.)
(The jury was selected and duly sworn.)
(The final jury instructions were read to the jury.)

See Section 17.11 for more parentheticals.

17.1.1. Opening statements. The only thing the statutes specifically exempt reporters from reporting are opening statements. According to SDCL 15-14-14, "The court reporter need not make stenographic report of the opening statements of counsel unless the court shall direct, nor if made shall he include same in the transcript unless requested by the party ordering the transcript."

Even if the reporting of the opening statement has been waived, the reporter needs to stay in the courtroom in case an objection is made during the opening statement, because the reporter will have to report the objection. This manual recommends reporting the opening statements so that if an objection is made, the record shows what led up to the objection. But you should not transcribe opening statements unless the attorney asks you to.

17.1.2. Reading of the Trial Information or Indictment. In a recent poll of 20 official court reporters, 17 responded. Of those 17, 12 say they do not report the reading of the trial information or indictment and just include a parenthetical in the record showing it was read. Three reporters said that if

amendments or corrections are made to the indictment or information, they report that. Two reporters report the reading, and of those two, one includes it in the transcript.

- 17.1.3. Closing arguments must be reported. Nothing in the statutes exempts a court reporter from reporting closing arguments, and of the 17 reporters who responded to the poll mentioned above, 15 said they always report closing arguments and two said they report them if counsel doesn't waive the reporting. The editor of this manual notes that the statutes specifically state opening statements need not be reported, but the statutes are silent as to the reporting of closing arguments, which would seem to indicate that the legislature expects closings to be reported.
- **17.1.4. Jury voir dire**. Nothing in the statutes indicates that jury voir dire need not be reported. Always report them in criminal cases, and report them in civil cases unless the attorneys waive the reporting..
- **17.2. Discussions off the record.** In court, only the judge can instruct the reporter to go off the record. Indicate "discussion off the record" in your notes and stop writing until you are told to go back on the record. Sometimes no one thinks to tell the reporter to go back on the record. If you think you should go on the record, ask, "Is this on the record?" If you ever have any doubt about whether something should be on the record, keep writing. You can take it out later and insert "Discussion off the record" if it turns out it wasn't on the record.
- **17.3. Bench** (**sidebar**) **conferences.** Prior to the commencement of a jury trial, you should discuss with the judge how he or she wishes to handle the reporting of bench discussions. The judge may or may not want them to be reported.

17.4. Reporting the Reading of Depositions and/or Playing of Video or Audio Recordings.

Often in a trial, instead of a witness appearing in person, a deposition will be read into the record or shown to the jury by videotape. Usually those are not "re-reported" by the official reporter unless someone specifically asks it to be done. Have the attorneys or judge state on the record that you do not need to report the reading so there is no question later about whether the attorneys expected you to. If you do not report the reading, include a parenthetical to the effect of (Plaintiff's Exhibit 23, the deposition of John Doe, was read to the jury).

Excerpts of depositions read into the record for other purposes, such as impeachment of a witness, should be reported. Lawyers reading excerpts of transcripts should (but don't always) indicate page and line numbers of those portions read. The lawyer should also indicate "Question" and "Answer," but often will forget, and it isn't always possible to tell where a question ends and an answer begins. Ask for a copy of the deposition so that you can put the quotation marks and the Q's and A's in the right places when preparing the transcript.

When video or audio recordings are played as exhibits, the official reporter does not report them but includes a parenthetical such as (Defendant's Exhibit C, an audiotape, was played to the jury). Have the judge state on the record beforehand that the recordings will not be reported by the court reporter.

17.5. Reporting Jury Voir Dire. In criminal trials, the reporting of jury voir dire is required and cannot be waived. In civil trials, usually the jury voir dire is reported, but can be waived by stipulation of all counsel. If the reporting of jury voir dire is waived, it should be done on the record.

Prior to the jury selection process commencing, the prospective jury panel will be sworn. You should include a parenthetical in the transcript such as:

(The prospective jury panel was sworn by the clerk.)

When reporting jury voir dire, try to get the attorneys to use each potential juror's name when questioning that juror so that you can properly identify the juror in the transcript by their name, such as "JUROR ANDERSON:" or "MR. ANDERSON." You should also try to prepare a seating chart with the

potential jurors' names on it for your own reference.

One reason it is important to show the names of the jurors is in case of a *Batson* challenge (from the case of *Batson v. Kentucky*, 475 US 79 (1986). The *Batson* challenge may be used in both criminal and civil cases and is an objection to the validity of a peremptory challenge on grounds that the other party used it to exclude a potential juror based on race, ethnicity, or sex; if the challenge is sustained, it may lead to a new trial. Identifying the prospective jurors by name helps the court to determine if there are any differences in the way in which jurors who are members of a particular group are questioned, which may lead to an inference that discrimination has occurred.

However, it is ultimately the responsibility of the lawyer to make the record as to which juror(s) answered which questions. If you are not able to identify a juror that has spoken, it is acceptable to identify the juror in the transcript as "A JUROR." In situations where jurors are asked to raise their hands and several of them do so, but you are not able to identify all of them before they put their hands down, you may use a parenthetical such as (Several jurors raise their hands).

17.6. Reporting telephone/teleconference/ITV/DDN proceedings. When proceedings are conducted by telephone or ITV, it can be difficult to hear or understand. By the time the reporter's request for a repeat is heard, the speaker is usually two or three sentences beyond the word in question because the reporter does not have the advantage of being near a microphone.

It may be helpful for your judge to lay down some ground rules:

- a. Wait till one person is finished speaking, and count to 2 before starting to talk, because there is a delay while the words are transmitted over the line.
- b. When more than one person appears by telephone or is out of range of the ITV camera, each person should identify himself/herself before starting to talk.
- c. Participants should turn off their microphones until it is their turn to speak, so that they don't accidentally drown out another speaker because they coughed or rustled some paper or poured some water.

Under current South Dakota law, a notary public (thus, a court reporter) may not swear a witness over the telephone, but may swear in a witness appearing via an interactive audiovisual device in order to receive the oath. (See SDCL 15-5A-1)

- **17.7. Reporting jury questions.** After jurors have retired for deliberations, they may have questions of the judge or desire additional information. Any information the judge gives them must be given in the presence of, or after notice to, the plaintiff's attorney and the defendant or defense counsel, and must be taken down by the court reporter. (See SDCL 23A-25-8) The court reporter must be available throughout jury deliberations in case any questions should arise.
- **17.8. Don't hesitate to stop speakers and ask them to repeat themselves.** It is important to maintain control of the record. If witnesses or attorneys start talking on top of each other or too fast for you to get it all down, stop them and ask them to slow down and repeat themselves. Do not hesitate to take control of the situation. Better to feel foolish at the time you stop them so you get the words right than to look incompetent later because you can't make an intelligible transcript.
- **17.9. Hard-to-understand witnesses.** If you have a witness with an extremely heavy accent, you might have to ask him/her to repeat almost every answer several times. If you still can't understand what the witness is saying, you can turn to counsel and say, "I'm sorry, Counsel. I just don't understand the answer." The attorneys have usually been working on the case for months and perhaps have gotten used to the accent. They can probably clarify for you what the witness said.

17.10. Parentheticals. The court reporter is not supposed to act as a witness, but sometimes must include parentheticals for the record to be clear. For instance, if a witness says, "Maybe about this far," and spreads his arms, do not try to estimate how far apart his arms are. Just use the parenthetical (Indicating). It is the responsibility of the judge and lawyers to put on the record any more detailed description. You don't want to be called to the witness stand later and be grilled about why your guesstimate was, say, three feet and not four, or 36 inches and not 22. Keep your parentheticals short and sweet, and leave it to counsel to fill in the details.

It is important to show in the record who was present for what parts of the proceedings, especially in criminal cases where an appeal may turn on whether something took place in the presence of the jury and/or defendant. Sample parentheticals:

(In open court at 9:00 a.m. with the Court, counsel, and parties present.) (In closed court at 9:00 a.m., with the Court, counsel, defendant, and interpreter present.) (The following record was made outside the presence of the jury.) (The following record was made in chambers, with all counsel and the defendant present.) (Hearing recessed at 10:30 a.m.) (Hearing resumed at 10:45 a.m.) (Discussion was held off the record.) (The Trial Information was read.) (The videotaped deposition of Dr. John Doe was shown to the jury.) (Defendant's Exhibits 101 and 102 were published to the jury.) (Cell phone interruption.) (Fire alarm sounds.) (An unidentified man entered the courtroom.) **for example, if someone enters a closed proceeding (Persons in the audience leave the courtroom.) **such as, after it is announced witnesses are sequestered (Indicates.) (Complies.) (The jurors respond.) (No audible response.) (Recess from 3:02 p.m. to 3:20 p.m., 5-15-15.) (The record was read back as requested.) (The jury was selected and sworn.) (The interpreter was sworn.) (The jury left the courtroom at 3:15 p.m.) (The jury returned to the courtroom at 3:30 p.m.) (All parties were duly sworn by the Court.) (The bailiffs were sworn by the Court.) (Plaintiff's Exhibits 1 through 18 were marked for identification.) (Defendant's Exhibit C received.) (Opening statements were given by counsel for the state and counsel for the defendant.) (Closing arguments were given by counsel, which were reported but not transcribed.) (The final jury instructions were read to the jury.) (Trial recessed at 4:30 p.m. on the 1st day of August, 2002.) (Trial concluded at 4:30 p.m. on the 10th day of May, 2001.)

17.11. Transcribing from electronic recordings.

17.11.1. (Inaudible), (indiscernible), (unintelligible).

"Inaudible" means something could not be heard (perceived by the ear).

"Indiscernible" means "incapable of being discerned or detected: not recognizable as distinct." "Unintelligible" means "incapable of being understood or comprehended."

Each of these words gets used in transcripts to indicate that someone said something on the recording, but the transcriber couldn't figure out what was said. (No audible response) is used when the transcriber thinks something might have been said, but if so, it couldn't be heard.

One of the advantages to having a court reporter reporting proceedings is that the court reporter can ask people to repeat things, to slow down, and to speak up so that there is no need to use these terms in the transcript. If an (inaudible) or (indiscernible) or (unintelligible) is used in a transcript of proceedings reported by a live reporter, it should be followed by a statement in the record indicating that an attempt was made to have the word or words clarified, such as in this example:

A. We bid (inaudible).

COURT REPORTER: I didn't hear anything after "We bid." Could you please repeat?

THE WITNESS: Sorry. I'll take it off speaker phone. Is this better?

COURT REPORTER: Yes.

A. I said we bid \$500 and they didn't accept it.

This manual recommends the following:

(Inaudible) means nothing can be heard, even though one would assume something was said:

THE COURT: Counselor, to what page in the deposition are you referring?

MR. SMITH: (Inaudible.) THE COURT: Thank you.

(Indiscernible) or (Unintelligible) may be used to indicate something can be heard on the recording, but it cannot be understood by the transcriber:

THE COURT: Counselor, to what page in the deposition are you referring?

MR. SMITH: Page (unintelligible).

THE COURT: Thank you.

Some reporters prefer "indiscernible" to "unintelligible" because they worry that readers will think "unintelligible" implies "unintelligent."

Sometimes the attorney follows up with something like "I see you are shaking your head. Does that mean no?" Even if the attorney is describing a movement or gesture as having been made, if you, as the transcriber, did not personally see the witness yourself, you should not describe any gestures or movements in parentheticals.

Q. Was the car red? You are shaking your head. Does that mean "no"?

17.11.2. Reconstructing the record. A Florida manual for court transcribers states, "Occasionally when transcribing [from a recording], there may be a word or words that cannot be heard or are garbled. Make every effort to transcribe it, but do not guess..."

But what constitutes "every effort" when trying to make out an unclear portion of a recording? If after a reasonable effort you cannot understand what was said, move on. If you have time after you have finished the transcript, go back to those portions and listen to the recording again. You may be able to understand the words once you have heard the entire context of the matter.

However, you, as the transcriber, should not make guesses, not even a "best" or an "educated"

guess. The transcript is supposed to show what was actually said, and if you make guesses, educated or not, readers might assume that what you typed was what was actually said even though you can't certify that those words are verbatim.

An Indiana court handbook says, "In the event lengthy passages are inaudible, a court reporter should confer with the judge first, and in event that permission is granted, a court reporter may then consult with the attorneys. An attempt should be made to reconstruct the missing passage to assist appellate review."

If a transcript is "reconstructed" like this, the certificate of transcriber should show this is what happened so the reader is not misled into thinking it is a verbatim transcript; for example, the certificate might need a statement to the effect that "Due to the poor quality of the audio recording, the transcriber relied on help from the judge and counsel for the parties to reconstruct the trial record."

17.11.3. What constitutes "verbatim"? In some state court reporter manuals, transcribers are instructed that "the transcript is to be verbatim and contain all words and other verbal expressions uttered during the proceeding...All grammatical errors, changes of thought, contractions...are to be transcribed as spoken. In the interest of readability, however, false starts, stutters, ums and uhs, and other verbal tics are not normally included in transcripts, but such verbalizations are to be transcribed whenever their exclusion could change a statement's meaning." There is similar language in the federal court reporters manual.

To the transcriber's ears, a spoken statement may sound something like this:

"Um, yer, uh, g-gonna --gonna hafta ax duh heada duh --duh dahvision, cuz yer axing me about s-sumtheen I, uh, n-n-never seen d-done."

But in a court transcript, it should be transcribed as:

"You're going to --going to have to ask the head of the --the division, because you're asking me about something I never seen done."

Do not try to mimic accents. Use standard spellings where possible, and as a general rule, leave out ums and uhs. Bad grammar should not be changed. Slang words and made-up words should be transcribed as spoken: beautifulest, aggrigitated, defugalty. No [sic] is needed. Attorneys realize people use words like these, and they will not think you are making a mistake.

What constitutes a "verbatim" record is an ongoing issue in the field of court reporting and transcription, and there is not room in this manual to go into a long dissertation on the topic. Suffice it to say that the court transcript is not a screenplay. The very act of putting the spoken word into print unavoidably removes subtle tones of voice, body language, accents, pitch, and other communication clues from the conversation. Even professional linguists disagree on how best to show in print the way something was actually spoken.

There are exceptions to every rule. One exception to the rules above is a situation where someone is speaking with a pronounced stutter and that stutter is claimed to be the result of some injury or stress, resulting in the lawsuit; another is when the inclusion of the stutter is necessary for context:

- Q. Was it Jamie or Susanna that first figured out something was wrong?
- A. I was told that Su-su-su-su-
- Q. That's all right. Do you just want to refer to her as the clerk?
- A. Yes. Thanks. The clerk was the one that found the bullets on the floor.

17.11.4. More on ums and uhs. Regarding ums and uhs specifically, although some people think that ums and uhs may be evidence of someone trying to lie, not all professional linguists agree.

Indeed, some linguistic studies show otherwise, and those studies also show that transcribers tend to hear and transcribe more uhs and ums in the speech of people the transcriber perceives to be less intelligent, less confident, or less "powerful" than other speakers, even when there is no difference in the number of ums or uhs spoken by other speakers in the same conversation. This can affect how the reader of the transcript judges the honesty or intelligence of the respective speakers. For this reason, this manual advises against trying to include ums and uhs in transcripts. If you insist on including them, then you must include them for judges and lawyers as well as witnesses and parties so that you do not inadvertently give the impression that a witness, for example, is verbally stumbling around while the lawyer smoothly and competently interrogates him.

There are exceptions to every rule. If an um or uh is necessary for the clarity of the record, include it:

- Q. When were you married?
- A. Um...
- Q. You seem to be stuck on that "um" there. Should I just wait till I depose your wife?

17.11.5. Yes and no and variations thereon:

"Uh-huh," according to the Merriam Webster Collegiate Dictionary, Eleventh Edition, is the correct spelling for the utterance meaning "yes," and "uh-uh" is the correct spelling for the utterance meaning "no." Some reporters use "huh-uh" for "no," regarding it as more easily differentiated from the affirmative "uh-huh." It is not recommended that indications such as (yes) or (no) be written after uh-huh, huh-uh, etc. Sometimes those sounds mean "I am listening, so keep talking," or "Oh, you think so, do you?" or "Is that so?" and it is not for the reporter to interpret what the witness means.

"Yeah" is the correct spelling of the word meaning "yes" when it is pronounced "yah" or "yeh" or "yuh."

"Yea" is the correct spelling for the word "yes" when it is pronounced "yay"; this is an archaic word that occurs in phrases such as "yea or nay" and "It was yea big" and Biblical verses such as "Yea, though I walk through the valley of death..."

"Yay" is the correct spelling for the word meaning "yippie" or "hurrah" and is used as expression of happiness or excitement. It is, for example, the sound one makes cheering at a football game or when indicating pleasure upon learning one has just won a free trip to Disneyland.

Nodding is an up-and-down movement. Shaking is a side-to-side movement. One dictionary defines it as "moving to and fro with jerky movements." In our society, when one nods one's head up and down it is commonly taken to indicate "yes"; shaking one's head from side to side is commonly taken to indicate "no." The federal court reporter's manual shows (Nods head up and down) and (Shakes head from side to side) as acceptable parentheticals when head movements are given as answers. The parenthetical (No audible response) followed by the court reporter saying "I didn't get a verbal response" is also acceptable.

17.11.6. [Sic]. When people use nonstandard words, it is not necessary to use [sic]. The attorneys will not think the court reporter is to blame for the speech patterns of the witness. Nonstandard words like honestest or beautifuler do not need a [sic]. The [sic] designation should be reserved for times when someone has misspoken in such a way that it creates a substantive error and no one corrects it later in the transcript. For example, if for seven pages the witness says he only soldered pipe 118, and then ten pages later he says, "I keep telling you I only soldered pipe 119," you may want to [sic] that so readers realize it isn't a typo. But if the attorney keeps referring to the defendant as the witness's husband and she corrects him and tells him the defendant is the witness's brother, but the attorney keeps saying "husband" throughout the transcript, it is not necessary to clutter the record with [sic] at each incorrect reference. Use

[sic] at the first instance only. After that, it will be apparent from the record that the attorney is misspeaking. Another example might be where the witness says her neighbor "incinerated" she was a thief; the reporter knows the witness means "insinuated," but must put what the witness said, so it is wise to [sic] the first instance of the misused word to show that the reporter is not responsible for the error, that it is actually what the witness said. But [sic] should be used sparingly, and it isn't needed at all in most transcripts.

17.11.7. Readbacks. Usually when a readback is requested it is very close in time to when the original question was asked, and the reporter indicates the readback with a parenthetical such as (The last question was read back) or (Record read as requested).

However, when asked to read back a part of the record that occurred several minutes earlier, it is easier for the reader if that portion is reprinted in the transcript at the point where the readback was requested, so the reader doesn't have to go back and look for it:

THE COURT: I'll ask the court reporter to go back to the question where the adhesive band was first mentioned and read it back for me. The question and the answer, please.

COURT REPORTER: Question, "You don't know if there was an adhesion or not, do you?"

Answer, "In the literature, cecal bascules are almost always, virtually always, associated with an adhesive band."

If the requested readback is lengthy, instead of copying the entire readback into the transcript, a parenthetical may be used to show where the readback begins and ends:

(The record contained on page 23, line 5, through page 25, line 2, was read back.)

17.11.8. Examination setups. The first party's attorney to ask questions of a witness is set up as Direct Examination. Everyone else starts with Cross-Examination. So if Plaintiff #1 asks questions, that's DX. If Plaintiff #2 asks questions, that's CX, even though they're both plaintiffs, because not all the plaintiffs will necessarily have the same claims or issues. If there are a number of parties and one attorney elects not to do Cross-Examination on the first go-round but then asks questions during what is Recross-Examination for everyone else, that attorney is set up as doing Cross-Examination because it's the first cross for that side.

Below are some common examination scenarios about which the editor has fielded questions in the recent past. Different experienced reporters may do things differently, however, and the editor has not heard of any complaints by attorneys as to how things have been done.

a. Hostile/Adverse witness. If an attorney says a witness (usually a party) is called as a hostile or adverse witness, the examinations still start out with DX, then CX, but in the witness setup put (ADVERSE) after the witness's name:

MARCUS WELBY (ADVERSE) was duly sworn and testified as follows:

DIRECT EXAMINATION

After this adverse direct, the other side has the option of just asking questions related to the adverse examination or conducting a full examination as if the witness had been called for their side in the first place. If the other side does not specify whether they are doing cross-examination or testimony in their own case, set the examination up as Cross-Examination and let the judge and counsel worry about whether it's cross or direct later. If the other side specifies they are doing examination in their own case, set it up as

Direct Examination:

MR. QUINCY: That's all I have for Mr. Welby for now.

MS. KILDARE: If it's all right with the Court, counsel and I have agreed I can conduct my

examination now, as long as he's already on the stand.

THE COURT: That's fine with the Court.

MARCUS WELBY

being previously duly sworn, further testified as follows: DIRECT EXAMINATION

Q (BY MS. KILDARE)

And when Mr. Quincy asks questions after Ms. Kildare's DX, Mr. Quincy is doing Cross-Examination.

b. Examination by the Court. If the Court asks just a few questions, you can put that in colloquy. If the Court conducts a lengthy examination, set it up as

EXAMINATION Q (BY THE COURT)

c. Voir Dire of a witness. If an attorney asks to voir dire a witness prior to making an objection, if it is just a few questions you can do it in colloquy, but if it is a lengthy examination you can set it up as VOIR DIRE (you don't need the word "Examination" because Voir Dire *means* Examination, "a preliminary examination of a witness or juror by a judge or counsel").

VOIR DIRE

Q (BY MR. SANDERS:)

17.12. Content of Record. SDCL 15-15-9 has been amended (effective July 1, 2015) to read as follows:

The record of any hearing, court trial or jury trial conducted by or on behalf of the Unified Judicial System shall consist of the transcript prepared by an official court reporter or court recorder or freelance reporter on contract with the Unified Judicial System, the exhibits offered in evidence and jury instructions. This rule shall not apply to child support referee hearings.

The reporter shall transcribe and certify such parts of the record of the proceedings as may be required by any rule or order of the court. Upon the request of any party to any proceeding which has been so recorded, who has agreed to pay any applicable fee for such transcription, the reporter shall file an electronic transcript with the clerk of court upon completion and transmit a paper or electronic copy to the requesting party. The court may request that an additional paper copy of the transcript be filed with the clerk of court.

17.13. All transcripts to be sealed for 90 days upon filing to allow redactions.

17.13.1. Ninety-day waiting period and access to transcript. A new rule to be designated at SDCL Chapter 15-15, Rule 15-04, was adopted on March 12, 2015, and becomes effective July 1, 2015, as follows:

The clerk of court shall file the transcript in the court record. The transcript shall be sealed for a period of ninety days from the date filed unless otherwise ordered by the court. During this time period, any copy of such

transcript shall be obtained from the court reporter or transcriber at the rate provided by existing law.

Following the expiration of such period of time, unless otherwise sealed or declared confidential by court order or existing law, the filed transcript will be available for public inspection and copying through the clerk of courts office or through any other means of electronic court record access. Reproduction of the transcript may be provided on the same terms and conditions as any other document in the court record.

17.13.2. Redaction of transcripts during 90-day waiting period. A new rule to be designated at SDCL Chapter 15-15, Rule 15-05, was adopted on March 12, 2015, and becomes effective July 1, 2015, as follows:

A request to prohibit public access to certain information in a transcript shall be governed by SDCL 15-15A-13. The court may order that any transcript be filed under seal or may require the redaction of information contained in the transcript for good cause shown. In the event of redaction, an unredacted version shall also be filed with the court under seal. During the ninety-day period, or for such period of time as may be extended by the court pursuant to Section 2, the parties to the case shall review the transcript to identify any items contained therein that should not be accessible to the public pursuant to court order or existing law. If such information exists, the parties shall move for the court to have that information protected in the public record. It shall not be the responsibility of the reporter when preparing a transcript to redact information unless a request has been made in advance to redact specific information by the parties or the court.

SECTION 18. EXHIBITS

- **18.1. Exhibits received.** An exhibit that is marked and then offered and received into evidence is allowed to be considered by the fact-finder of a case (the judge or the jury) as evidence in the matter. In a jury trial, an exhibit that is received will go back into the jury room for the use of the jury in deliberations. A clerk may be in the courtroom to be in charge of the exhibits once they have been received into evidence, but if a clerk is not present, the court reporter takes charge of the exhibits. The reporter should keep a list of what exhibits are offered and whether they were rejected or received into evidence so that at the end of a jury trial it is clear which exhibits should go to the jury and which should not. It could be grounds for a mistrial if evidence which was not received is viewed by the jury during deliberations. All exhibits should be accounted for before the attorneys leave the courtroom so that no one accidentally stuffs an offered exhibit into a briefcase and walks away with it. If you keep a list, you can say, "We are missing Exhibit 14, which is a letter dated 4-19-14," and the attorneys will know what to look for.
- **18.2. Offer-of-proof exhibits.** An offer of proof is a way for a lawyer to put on the record why evidence which has been refused should have been allowed in the record. This evidence is not presented in front of the jury. Exhibits presented as part of an offer of proof do not go to the jury but do get filed with the clerk. Be sure to keep these exhibits separate so that they are not accidentally sent back to the jury room.
- **18.3. Exhibits not received.** Exhibits are sometimes offered but then objected to and not received into evidence. These exhibits do not go to the jury. The judge should write "Refused" on the exhibit to make this clear. The offered but refused exhibits should go to the clerk, because the failure of the court to receive those exhibits could be an issue on appeal. Keep them separate from exhibits going to the jury.
- **18.4. Depositions marked as exhibits.** Depositions read into the record in lieu of testimony are often marked as exhibits but do not go to the jury because they would overemphasize the deposition testimony as opposed to live testimony.
- **18.5.** More on exhibits. There may be occasions when weapons and ammunition are received into evidence. For safety concerns, usually judges will allow either the gun or the bullets in the jury room for the jury to examine, but not both of them at the same time.

When marking exhibits, particularly photographs, be careful not to cover up anything important. If you're unsure, ask the attorney who is offering the exhibit where he would like the sticker placed.

Do not use dark exhibit stickers (especially red, brown, orange, and dark green) to mark exhibits. When they are scanned into Odyssey it is impossible to read the exhibit number because it all blends together. Stick to white, yellow, and blue stickers. Try to put the sticker at the top of the exhibit. That way when the exhibit is pulled up on Odyssey, the sticker can be read right away; the reader does not have to scroll down the page looking for it. This is very helpful because often exhibits are scanned in in groups and a judge has to scroll page by page trying to find the exhibit needed. The process is quicker if the sticker is at the top of the page.

Freelancers who subbed in court and would like to check a quotation against an exhibit may call an official reporter, who can pull the exhibit up in Odyssey and read it for them.

SECTION 19. TRANSCRIPT PREPARATION

19.1. Request for transcript. (Order for transcript.)

- 19.1.1. Excerpts of transcripts may be ordered. Not every record an official reporter makes will be transcribed, and not every party will want to order a copy if an original transcript is ordered. Sometimes only a partial transcript or excerpt of proceedings will be ordered, which is acceptable as long as the request is reasonable. (A request that the reporter go through a 200-page transcript looking for any reference to the plaintiff's wife and only transcribe those questions and answers is unreasonable, for example, because it means that the reporter would have to check for the wife by first name, by "Mrs." or "Ms." last name, by references to "your wife" or "my wife," possibly by "mother" or nickname, etc.)
- **19.1.2.** Contact the other side. According to UJS Policy 3-PJ-10 and NCRA Advisory Opinion 22, if you are asked to provide an excerpt of proceedings, you need to inform the requesting party that you will be offering a copy to the other side. (See Section 16.5.2.) If the transcript has been ordered on appeal, the appellant pays for the original and copies to each side. You do not have to contact the other side to see if they want a copy; you just make a copy for them automatically.
- **19.1.3.** "Rush" transcripts, excerpts, and other special services. Per Footnote 1 to SDCL 15-26A-51, "Statute does not require reporters to furnish portions of transcripts during trial on a party's arbitrary time table, though they may do so." Official court reporters are not obliged to provide rush, expedited, overnight, or daily transcripts, although they may do so if they choose. If you do provide such services, the same services must be offered to opposing counsel as well.
- **19.1.4. Transcript ordered for non-appeal purposes**. For non-appeal transcripts, bill the ordering party for the original and one copy to the ordering party alone. You should contact the other side to ask if they would like a copy, and if they request a copy, they get billed for it.

A non-appeal transcript can be ordered formally through a prepared order or letter or informally through a telephone call or verbal request in court. A non-appeal transcript might be ordered by attorneys, interested parties or the media (in certain circumstances). See Section 21 regarding confidentiality to determine who may or may not be authorized to receive a copy of any given transcript. Requesting payment in advance for the transcript may be advisable, especially when dealing with pro se parties.

There is no statutory deadline for delivery of non-appeal transcripts, but Rule 10.3(B)(3) of the UJS Employee Manual indicates that the UJS expects that if officials accept freelance work, they should deliver the transcripts no later than 30 days from time of reporting, so it is reasonable to assume non-appeal official transcripts should be delivered in 30 days or less as well. Most reporters do their best to deliver transcripts within two weeks.

- 19.1.5. Transcripts for appeal purposes. If a transcript of proceedings held in <u>circuit</u> court is requested on appeal, you will receive a written "Order for Transcript" from the appellant within 10 days of the filing of the notice of appeal. If a transcript is ordered of proceedings held in <u>magistrate</u> court or before another body, such as the Board of Mental Health, you probably will not receive the "Order for Transcript" form, and in those types of cases it is not necessary because those appeals are not being made to the Supreme Court. Procedure varies from place to place, but in some courts if a defendant is indigent a court order is required before a transcript will be paid for by the county auditor. Check local practice.
- **a. Appeals from Circuit to Supreme Court**. At the time of ordering, a party must make satisfactory arrangements with the reporter for payment or prepayment of the costs of the transcript and all necessary copies. (For a sample letter requiring prepayment, see Section 23.9.) The reporter should indicate at the foot of the Order for Transcript the date the order was received, the date prepayment was received if such payment was required, and the date on which he or she expects to have the transcript

completed. If the transcript is already finished, indicate "completed" and the date it was completed in the blank space. (You should still send a PDF file of those completed transcripts to the SCClerkBriefs email. See Section 19.2.4.) Once the Order for Transcript has been endorsed, file a copy with the trial clerk and the original with the Clerk of the Supreme Court. As of February 2015 you may send the endorsement to:

Clerk of the Supreme Court 500 East Capitol Pierre, SD 57501-5070

OR: Shirley.Jameson-Fergel@ujs.state.sd.us

DO NOT send these endorsements to the SCCLerkBriefs email address. Only send them to Supreme Court Clerk Shirley Jameson-Fergel (or, at this writing, also Deputy Clerk Laura Graves).

Please only use one method to send the endorsement to the clerk.

The transcript shall be completed within 45 days after receipt of the order or, if prepayment was requested, within 45 days after receipt of such prepayment. According to SDCL 15-26A-51, "If the reporter cannot complete the transcript within the prescribed time, he shall request an extension of time from the Clerk of the Supreme Court, and the action of the Clerk of the Supreme Court shall be entered on the record and the parties notified." See Section 23.8 for a sample "Request for Transcript Extension" form.

b. Appeals from Magistrate Court to Circuit Court are handled differently. According to SDCL 15-38-33 (Rule 5(b)) "...the appellant shall petition the circuit court for an order that a transcript of the verbatim record of the proceedings be prepared." The court reporter does not sign any endorsement of receipt of the transcript order, nor file any form stating when the transcript has been filed. The court reporter has 30 days to file the transcript, not 45, and the transcript is filed with the circuit court clerk only, not with the Supreme Court. So DO NOT send a PDF file to the SCClerkBriefs email address, and DO NOT send an endorsement of transcript order to the Supreme Court clerk.

19.2. Appeals from Circuit Court to the Supreme Court: Step-by-step.

- 1. Endorsing the "Order for Transcript."
 - a. First, decide if you want prepayment. If you aren't going to request prepayment, go to (b) below. If you do request prepayment, do not sign the endorsement yet, but send the appellant's attorney a letter requesting prepayment, with a copy to the Supreme Court clerk. The appellant has 10 days in which to send you the prepayment. Your 45 days in which to complete the transcript starts the day you receive prepayment.
 - b. Fill in your name and the date on which you received the Order for Transcript (or prepayment, if requested). If that date is more than two or three days after the date on which the attorney made the order, indicate on the endorsement why there is such a time difference; for example, vacation, illness, stuck in a lengthy trial away from usual station and unable to check mail, requested prepayment, etc.
 - c. Fill in your estimated date of completion. You have 45 days in which to complete the transcript. If you have already transcribed and delivered the transcript, simply indicate "already completed" and the date you filed it.

- d. Sign and date the endorsement.
- e. Make copies/scans of the original endorsed order. Mail the signed original (or scan it and send by email) to the Supreme Court clerk; send one copy to the trial clerk (the clerk of the county in which the case is filed); and send one copy to the ordering attorney for counsel's file.
- 2. Prepare the transcript according to the SDCL guidelines in Form 3 in the Appendix of Forms (see Section 19.6). Paper transcripts are no longer filed (unless you are the rare reporter who still has not gone to computerized transcription). To sign the transcript, simply put /s/ followed by your typewritten name in the signature line of the certificate. Prepare the transcript to be filed in regular-size pages, not mini/condensed.
- 3. When the transcript is completed, e-file the transcript with the trial clerk of court. File a regular-size transcript with an attached word index. Use PDF format if at all possible, ASCII format if you cannot make a PDF file. File a paper transcript only as a last resort, because the clerk will have to scan it in to Odyssey and scanned transcripts cannot be word-searched. If the transcript was completed before you received the transcript order, you should still send a PDF of the transcript to the SCClerkBriefs email listed in 4 below.
 - a. Page 27 of the online Odyssey user manual (on 5-13-15) says files should be named like this:

 $County Case number_Transcript_date or volume.$

So a two-day trial that took place in Yankton County might be file-named as follows:

```
66CRI14000046_Transcript_Vol1 or 66CIV14000046_Transcript_021115
66CRI14000046_Transcript_Vol2 or 66CIV14000046_Transcript_021215
66CRI14-46_Transcript_Vol2 or 66CIV14-46_Transcript_021215
```

Note: In the above example, 66 is Yankton County; CRI is the 3-letter case type (criminal case); 14000046 is the 8-digit file number. When official reporters were originally trained on Odyssey, cases were always supposed to have an 8-digit file number. So 14-1 would be typed in as 14000001; 14-12 as 14000012; 14-123 as 14000123, 14-1234 as 14001234, etc. Hyphens may now be used in place of the leading zeros. If necessary, the clerk can rename the transcript file before including it in the Odyssey file. A list of county codes may be found at Section 24.3.

- b. If the transcript needs special handling (for example, if it is a sealed transcript) include that information in the email and also in the file name so the clerk is alerted to that fact: 66CIV14-46_Transcript_SEALED021215
- c. File regular-size transcripts. They are a lot easier to read on screen, especially for those Justices using small screens, such as on a tablet or iPad.
- 4. Email the appeal transcript to SCClerkBriefs@ujs.state.sd.us. You may do this by separate email or by copying them on the email you send to the circuit clerk. If you have received a letter indicating the Supreme Court Appeal Number, include that appeal number in your email for the Supreme Court clerk's reference.

5. Send or deliver copies of the original transcript to the attorneys for each party to the appeal. Before sending the transcript, call to see if the attorney prefers regular or condensed size and whether they want a paper or electronic copy or both.

In criminal and abuse and neglect cases, a copy of the original transcript must be sent to the Attorney General's Office rather than to the State's Attorney's Office.

**NOTE: The editor of this manual talked to the AG's office on 1/12/15 and was advised that the AG's office still wants PAPER copies, although they appreciate getting PDFs in addition to those paper copies. Some Assistant AGs prefer regular size; others prefer condensed. To see which attorney is assigned to your case and which type of transcript they prefer, please call (605) 773-3215. A PDF transcript may be emailed to ATGService@state.sd.us. The postal address is:

Attorney General's Office 1302 E. Hwy 14 Ste. #1 Pierre, SD 57501

6. Fill out the "Court Reporters' Reporting Form" section entitled "Filing and Transmittal of Completed Transcript" (see sample at 23.7) and send it to the Clerk of the Supreme Court. As of February 2015 you may mail or email the endorsement to:

Clerk of the Supreme Court 500 East Capitol Pierre, SD 57501-5070 Shirley.Jameson-Fergel@ujs.state.sd.us

Send a copy of this form to appellant's counsel so they have a record of what date the transcript is officially delivered to counsel, as the time for filing their briefs starts running on that date.

**Note: where it says "number of pages in transcript(s)," include the title page(s) and certificate(s), but do not include the word index(es) in the page count.

- 7. Bill using appropriate forms or invoices. Section 23.10 has examples of invoices.
- **19.3. Request for Extension**. SDCL 15-26A-51 says, "If the reporter cannot complete the transcript within the prescribed time, he shall request an extension of time from the clerk of the Supreme Court, and the action of the clerk of the Supreme Court shall be entered on the record and the parties notified. In the event of the failure of the reporter to file the transcript within the time allowed, the clerk of the Supreme Court shall take such steps as may be directed by the Chief Justice of the Supreme Court."
- **19.4. Do not prepare a transcript unless one has been ordered**. UJS Policy 2-PJ-97 (Revised 4/12/02) states that "Transcripts of arraignments and sentences will be prepared as a routine matter only in capital cases."

In the past, a few court reporters routinely transcribed arraignments and sentences even when the transcripts had not been ordered. Then the presiding judges have made it policy that arraignments, sentences, and juvenile proceedings should only be transcribed when a judge determined that the court reporter's notes were "insufficient to maintain the record of the proceedings." As a result, now some court reporters will only transcribe these matters after they have received a judge's written order authorizing the transcription. But the point of the policy was to stop court reporters from transcribing and billing for transcripts that were not actually ordered. Anyone can order a transcript of proceedings of any kind that were conducted in open court, and a court order authorizing the transcript is not required. Confidential matters, such as juvenile proceedings, also need a court order. See Section 21 for more information about confidential proceedings.

- **19.5. Who can order a transcript.** Anyone can order a transcript of an <u>open, public</u> official court proceeding. If the ordering person is not an attorney, it is advisable to get payment in advance before starting the transcript. For closed hearings, confidential proceedings, or proceedings ordered sealed by the court, the ordering person needs to get a court order first. An indigent person may need a court order, depending upon the circumstances. See also Section 19.13.2 and Section 21.
- **19.6. Transcript Preparation.** The SDCL Appendix of Forms, Form 3 (which, on 1/12/15, is found in Volume 10A of the South Dakota Codified Laws, right after SDCL 15-26A-93), sets out the format for all transcripts prepared for appeal purposes. The same format should be used for all transcripts of official court proceedings. See Section 23.1, 23.2, and 23.5 for pages done in the statutory format.
 - 1. Appeal transcripts shall consist of volumes of 250 pages or less, prepared on 8 ½ x 11 white opaque paper with 25 prenumbered, double-spaced lines per page.
 - Editor's note: You may begin each new day as a separate volume or combine proceedings from different days in the same transcript. If one day's proceedings require more than 250 pages, find a logical break point before the end of page 250 and start a new volume. You do not have to go to line 25 of page 250 before starting a new volume.
 - 2. Each page shall have ruled margins with ¾" top and bottom margins, a 1 ½" left margin, and a ½" right margin. ** Editor's note: See exception re title page in #5(a).
 - 3. The transcript shall be typed using pica type with 10 characters per inch; questions shall start with a "Q" flush at the left margin, with two spaces between "Q" and the text of the question; answers shall start with an "A" flush at the left margin with two spaces between "A" and the beginning of the text of the answer; colloquy, such as "THE COURT," "MR. JONES," etc., shall start three spaces from the left margin.

Editor's Note: Pica is a monospaced font, which means every character takes up the exact same amount of horizontal space, regardless of whether it is a wide letter, like D, or a narrow letter, like I. Few computers come with Pica font as an option, but it can be downloaded from online. Most computer fonts are proportional, which means wide letters take up more space than narrow letters. Because the number characters per inch varies, you may get more or fewer characters per line depending upon what letters are contained in that line. For example:

Wide vs. Narrow letters in Courier New (monospace) in size 13 font

Infiltrate
Additional
Wonderment
Mammograms
one inch

Wide vs. Narrow letters in Bookman Old Style (proportional) in size 14 font:

Infiltrate Additional Wonderment Mammograms *one_inch* Even though the words above are the same number of letters, in a proportional font they take up different amounts of space. To ensure you are getting the required ten characters per inch, no more, no less, choose a monospace font such as Consolas, Courier, DejaVu Sans Mono, or Lucida Console. On most computers, Courier New comes closest to the old IBM Pica font on which the rule was based. See Section 23.14 for examples of other monospace fonts.

- 4. The pages shall be consecutively numbered throughout the entire transcript (not according to volume) located at the bottom center of each page.
- 5. Each volume shall be securely bound with a protective cover upon which or through which the following shall appear: (a) a 1 ½" blank space at the top of the page; (b) the trial court name, location and case number; (c) the case name; (d) the type of proceeding; (e) the date of the proceeding reported in that volume; (f) the name of the judge before whom the proceedings occurred; (g) appearances; (h) the volume number and the pages included in the volume.
 - Editor's note: The information required under this rule should still appear on the title page, but when a transcript is filed electronically the protective cover is not needed on the original. The 1 ½" blank space at the top of the title page is probably intended as space on which the clerk's filing stamp may be placed without covering up any information.
- 6. An index of witnesses, motions, and exhibits shall follow the cover page of the first volume of each transcript; each major event of the proceeding shall be listed separately and identified by the transcript page number at which it begins.
- **19.7. Title Page.** The first page of the transcript is the title page. It includes the caption of the case, a heading stating what the transcript contains (for example, "Hearing on Motion to Suppress"), a paragraph stating where and when the proceedings occurred and before which judge, the appearances, and the reporter's name and address. For space purposes, the appearances can be put on the second page instead.
- **19.7.1.** Use Arabic numerals for volume numbers. This manual recommends using Arabic, not Roman, numerals to indicate volume numbers: Volume 1 of 5, Pages 1 through 235, October 1, 2002, etc. SDCL Appendix of Forms, Form 3, uses Arabic numerals in their title page example.
- **19.7.2.** Use initials in juvenile cases. SDCL 15-26A-63.1 reads, "Pursuant to § 26-7A-112, initials shall appear on appeal record documents in the place of the child and the child's parents, guardian, or custodian who are parties to the action..." So when appeal transcripts are ordered in abuse and neglect cases, the title pages should show only the initials in place of the names of the child(ren), the child(ren)'s parents, guardian(s) or custodian(s) who are parties to the action.
- **19.7.3. Appearances.** To save space, it is not necessary to include the attorney's firm name in the appearance, and you don't need both a street address and a PO Box; you may use one or the other. It is handy but not required to include the attorney's phone number in the appearance in case you need to call for information—no wasting time looking it up in the directory. If a party appears pro se, you don't need to include the party's address. You can show their appearance something like this:

For the Petitioner: PETITIONER NAME, appearing pro se

or

PETITIONER NAME
Appearing pro se.

- **19.8.** Index. An index of witnesses, motions, and exhibits shall follow the cover page of the first volume of each transcript. This index should include all volumes of the transcript, not just the volume to which it is attached. Each major event of the proceeding shall be listed separately and identified by the transcript page number at which it begins. List the page numbers where exhibits are offered and received. It is often helpful to the litigants to have a more detailed index giving a description of each exhibit along with the page numbers referencing where they are marked, offered and received. (See Section 23.2 for examples of indexes.)
- **19.9. Certificate of Court Reporter.** At the end of every transcript, attach a "Certificate of Court Reporter." SDCL 15-26A-52 says, "The reporter shall certify the correctness of the original and all copies of the transcript." A sample certificate page is found in Section 23.3.
- **19.10. Transcribing from electronic recording devices.** If you transcribe an official court proceeding from an FTR or CourtSmart recording as opposed to reporting live, UJS I.P. Rule 2015-02 states that "The person who transcribed the proceedings shall certify the transcript of the proceedings as follows:"

I hereby certify that the transcript of proceedings in the above-entitled action is a true and accurate transcript based on the electronic recording.

If you transcribe other proceedings from an electronic recording, your certificate should be changed to read "Certificate of Transcriber" and the wording should be amended to show that the recording is transcribed to the best of the reporter's knowledge and ability. Some reporters do not believe they should put any certificate on a transcript from a recording, because they do not feel they can certify as to its accuracy. However, in some courts if no certificate is attached to the transcript the transcriber may be called to testify that he or she was the person who did the transcription and that it was done to the best of the transcriber's ability. The certificate may prevent this hassle. See also Section 23.4.

19.11. Signing transcripts does not require your notarial stamp.

- **19.11.1. Signature.** The original paper transcript should always contain an original signature. When the original transcript is in electronic format only, official court reporters have been told to use /s/ followed by the reporter's typewritten name.
- **19.11.2. Do not notarize your own signature.** SDCL 1-26-32.4 indicates that "The reporter or agency shall certify the correctness of the original <u>and all copies of the transcript.</u>" Neither SDCL 15-6-30(f) nor SDCL 1-26-32.4, which govern reporters' certificates on transcripts, requires that the reporter place his or her notarial seal on the certificate. According to the Office of the Secretary of State, a reporter who signs a certificate of reporter and then places his or her notarial stamp thereon is effectively notarizing his or her own signature, which is against the law.
- **19.12. Filing original transcripts.** All certified original transcripts of official court proceedings, whether done for appeal purposes or not, should be filed with the trial clerk. Originals must be in a regular-size page format. Transcripts are to be filed in electronic format as described in Section 19.2.3, except that if they are not filed for appeal purposes, they are not sent to the SCClerkBriefs email address.

19.13. Fee for transcripts.

19.13.1. Transcript fee. According to SDCL 15-15-7, a reporter shall charge \$3.00 per page of the original transcript and \$.40 per page for each additional copy of the transcript. Prepayment may be requested pursuant to SDCL 15-26A-51.

- **19.13.2.** Who to bill. (You may request prepayment in civil cases and in criminal cases where defense counsel orders the transcript and is privately retained. If you request prepayment for an appeal transcript, request it in writing and send a copy to the Clerk of the Supreme Court for their records.)
 - **19.13.2.a. For civil non-appeal** transcripts, bill the ordering party for the original and one copy to the ordering party alone. They only have to pay for their own copy. In accordance with UJS Policy 3-PJ-10, contact the other side to ask if they would like a copy, and if they request a copy, they get billed for it. The original transcript gets filed with the clerk of the circuit court but is not sent to the Supreme Court. Do NOT send it to the SCBriefs email address. You may request prepayment.
 - **b. For civil appeal** transcripts, bill the appealing party (appellant) for the original and one copy for each party involved in the action. But see also point "i" below if only part of the transcript is ordered. You may request prepayment.

Habeas corpus cases are technically civil cases, but the county pays for the transcripts if the plaintiff (usually a confined prisoner or mental health patient) is indigent.

- **c.** For criminal non-appeal transcripts ordered by the state's attorney, bill the state's attorney directly. Some state's attorneys require that you submit your invoice with a county voucher (county voucher forms vary from county to county); others are fine with just your usual invoice, which they will in turn attach to a voucher they will submit. In some counties you may be asked to submit your bill directly to the auditor, in which case you will need to also fill out a county voucher. If you do need to fill out a county voucher, simply put the same information as you would on a regular invoice, sign the voucher in the appropriate spot, and submit it to the state's attorney to authorize and submit to the auditor. If you have a court order for a transcript, attach that too. No sales tax should be charged to the county.
- **d.** For criminal non-appeal transcripts ordered by the Attorney General's office, submit your invoice to the ordering attorney or their assistant with the transcript. The AG's office can pay via direct deposit using the state payroll system.
- **e.** For criminal non-appeal transcripts ordered by an indigent defendant, the defendant may or may not need a court order before the county will pay for it. SDCL 23A-32-1 says transcripts are prepared when necessary to protect an indigent defendant's rights. Preliminary hearing transcripts can be requested for appeal purposes without a court order in some counties, because if it is not for appeal purposes usually an indigent defendant will need a court order.
- f. For criminal non-appeal transcripts ordered by privately retained defense counsel, or by anyone other than someone from the state's attorney's office or attorney general's office, bill that person directly for their original and one. Call the prosecuting attorney to see if they want one too, and if they do, bill them for their copy. (If the ordering party is not defense counsel or the prosecuting attorney, call the attorney for the defendant as well.) You may request prepayment.
- **g.** For criminal appeal transcripts, if the state is appealing, the Attorney General's office gets the copy and pays for all transcripts, because the state's attorney is not involved at the appeal stage. If an indigent defendant appeals, the county pays for the original and one copy to each side. If the defendant is not indigent, the defendant or defense counsel is responsible for payment for the original and one copy to each side. If the attorney orders the transcript, the attorney is responsible for payment (see Section 15.22). If the defendant personally orders the transcript, the defendant is responsible for payment. You may request prepayment pursuant to SDCL 15-26A-51.

Preliminary hearings transcripts are usually treated like criminal appeal transcripts for billing purposes, because they are generally ordered for purposes of appeal from magistrate court to circuit court. They do not get filed with the Clerk of the Supreme Court, but the ordering party pays for a copy to the other side. However, if the preliminary hearing is ordered by someone not a party to the case (perhaps because it contains information relevant to a related

civil case), the ordering party does not have to purchase copies for anyone else. In that situation you may call the actual parties to see if they want a copy, and if they do, they will have to pay for it themselves (or an indigent party may ask for a court order to have the county pay for it).

- (19.13.2. cont.) h. Confidential proceedings, juvenile cases, adoption cases, mental illness cases, other closed proceedings. Only the parties involved may order a transcript in confidential or closed proceedings, and there should also be a court order allowing it.
- i. When only portions of proceedings are ordered. It is permissible to order only portions of a transcript when an attorney does not believe all parts of a proceeding are relevant to an appeal. If the appellant only orders portions of a transcript on appeal, the appellee has the option of designating additional parts of the proceedings to be transcribed. SDCL 15-26A-50 says, "Unless within ten days after service of such designation the appellant has ordered such parts and has so notified the appellee, the appellee may within the following ten days either order the parts or move in the circuit court for an order requiring the appellant to do so." If the appellant only orders parts of a proceeding to be transcribed, the appellee has the option of ordering other portions and needs to bring a motion before the court to order appellant to pay for those additional portions. If the motion is not brought, or if it is brought but not granted, then the appellee is responsible for paying for the original and all copies of those additional portions. You may request prepayment.
- **j.** Requests for the reporter to verify the record. Sometimes a reporter is asked to check the record to see what was actually said during the proceedings; for example, the state's attorney and the court services officer may disagree as to what a judge ordered as part of a sentence. These short checks by the reporter do not require a formal transcript and may be answered in an email or by a phone call ("Yes, the judge ordered the drug patch. No, the judge did not order a fine.") Do not charge for doing this.
- **k. Grand jury transcripts**. Only the prosecuting attorney may order transcripts of grand jury proceedings. These transcripts are not public record. SDCL Chapter 23A-5 does not say how the transcript is to be handled.

SDCL 23A-5-16 says that disclosure of matters occurring before a grand jury may be made to prosecuting attorneys, but no one else. Therefore, in some counties the original transcripts of grand jury proceedings are delivered to the prosecuting attorney.

The UJS Clerks Procedure Manual used to say, "Several days after the hearing the court reporter may file a SEALED transcript with the clerk. This transcript is SEALED, without being read, in a manila envelope and labeled, 'Transcript Hearing before the Grand Jury on [date]." The Clerks Procedure Manual online in Odyssey as of 1/20/2015 says simply that the sealed transcript from the court reporter may be maintained in the grand jury file. Based on this, in some counties the original transcripts are delivered IN SEALED ENVELOPES to the clerk.

Check with local court reporters to see how things are handled in their county. The county or state pays for the transcripts, in accordance with Sections "c" and "d" above.

- **19.13.3. Transcripts ordered by a trial court are not billable.** Do not charge for realtime, rough drafts, or transcripts done for the judge or law clerk for the judge's use. See Section 23.11.
- **19.14. Playing "bill collector."** If you have difficulty collecting from an attorney, you may provide them with a copy of Ethics Opinion 80-6, which details a lawyer's responsibility for paying for transcripts ordered. See Section 22.14 for a copy of Ethics Opinion 80-6. See also Section 15.23 for examples of collection letters.
- **19.15.** The Court Reporter Transcript Compensation/Certification form is obsolete and should no longer required in order for the court reporter to get paid by a county auditor, but some long-time auditors may insist on having you do the form anyway. If you need a copy, see Section 23.12.

SECTION 20. REALTIME/ROUGH DRAFTS/DAILY COPY

20.1. Realtime Equipment. Official reporters are encouraged, though not required, to provide realtime reporting services. Reporters are responsible for purchasing and maintaining their own hardware and software to perform realtime, including a realtime cable connection extending to the judge's bench. UJS Policy 3-SC-09 Revised 9/3/09 states, "UJS will provide wireless transmitter, receivers, and UJS standardized realtime software to provide realtime capability for judge and ADA-requested systems."

Attorneys desiring realtime are required to supply and know how to operate and troubleshoot their own laptop computers and software.

- **20.2. Realtime Training.** UJS policy 4-SC-09 (Revised 9/3/09) states, "UJS will provide reimbursement to court reporters for test fee for successful realtime testing that results in certification status (CRR) with the National Court Reporters Association."
- **20.3. Salary Differential.** Per UJS Policy 3-SC-03/5-SC-09 (Revised 9/3/09), a 5% salary differential will be paid to reporters passing NCRA's Certified Realtime Reporter examination.
- **20.4. Realtime Rates.** There are no South Dakota statutory rates for providing realtime. Some official reporters use the federal rules regarding realtime transcript rates as a guideline. See Section 12.8 of this manual for the federal rates applicable on 1/20/15.
- **20.5. Providing Rough Drafts.** It is common to provide a rough draft to the judge or law clerk to use when drafting orders, opinions, rulings, etc. Do not charge a judge or law clerk for a rough transcript. However, it is important they understand that it is for their personal use only and cannot be given to attorneys, the media, litigants or any other party. Indicate that it is an uncertified rough draft. This can be done with the use of headers or footers and a rough draft disclaimer inserted at the beginning, or by inserting throughout the transcript the words "uncertified rough draft." Do not put a title page or certificate page or index on a rough draft transcript.
- **20.6. Realtime Disclaimer**. Here is a sample Realtime Disclaimer. Another version is at Section 15.18.

Disclaimer: this realtime text feed is unedited and uncertified and may contain untranslated words, a note made by the reporter, a misspelled proper name, and/or word combinations that do not make sense. All such entries will be corrected on the final certified transcript.

Due to the need to correct entries prior to certification, this realtime draft can be used only for the purposes of annotating counsel's notes and cannot be used or cited in any court proceedings or to distribute to other parties to the case who have not purchased a transcript copy.

Consent: by opting for this realtime rough draft service, you have agreed: (1) to purchase the transcript at the agreed-upon rate; (2) not to furnish this transcript, either in whole or in part, on disk or hard copy, or by any other means, to anyone else, including any party or counsel to the case or to any media sources.

20.7. Daily Copy. Daily copy is a service where a transcript of proceedings is delivered to counsel within a few hours of adjournment and prior to the normal opening hour of court on the following morning, whether or not it actually is a court day. You can provide this service if you would like, but you are not required to do so.

Because daily copy requires working during every break and over the lunch hour and into the evening, it is best to hire a proofreader or scopist to help with the task, and also find another reporter to help report the case, where one of you reports in the morning and the other in the afternoon, for example. It is customary to charge extra for daily copy and expedited transcripts.

If a person is indigent (a judge has ruled he or she is entitled to court-appointed counsel because of insufficient income), you will need to get the approval of the judge assigned to the case first. In some circuits you may be required to also get the approval of the court administrator.

20.8. Some suggestions on daily copy procedure. Thanks to computers, daily copy can be done by a solo reporter, but it is very stressful and best split between at least two reporters.

- 1. As far in advance as possible prior to the trial, all reporters involved should look over the case file, make a master dope sheet of terms/names that will be coming up, come up with brief forms, and build a job dictionary. This makes as clean a rough draft as possible. When possible, the reporter(s) not reporting the first session should sit in to become familiar with terminology, participants and their speech patterns, etc.
- 2. For a two-man team, the first reporter reports the morning, the second reporter the afternoon, then swap the next day (although this isn't necessary, if both reporters agree one always does a.m. and the other always does p.m.). For a three-man team, swap out reporters every 30 minutes to 2 hours. If you have the equipment and know-how, you can feed your realtime to a scopist in another room. If you are doing your own scoping, you don't need to wait for a break to swap out reporters. You can set up all steno machines/computers beforehand. When the second reporter comes in at the designated time, at a logical point in the testimony the first reporter lifts his hands in a signal to the other reporter to start writing. Then the first reporter removes his disk without interrupting court (explain this to the judge so he/she doesn't try to stop things during the swap-out), gets up and quietly walks out.
- 3. The reporters keep a running dope sheet/exhibit list/witness list on the reporter's desk so the next reporter can see what exhibit number to start with, doesn't have to stop and ask for a spelling the first reporter already has, and knows which witness they're on and in which examination: John Smith DX by Bruggan CX by Morrisey etc. Always put a note re exhibits that says "You START with Exhibit ____" so there is no confusion whether the last number written down was the last one marked or the number the next person was supposed to start with.
- 4. The certificate should have signature lines for each reporter that reported proceedings contained in that transcript.

SECTION 21. CONFIDENTIALITY.

21.1. Confidential Proceedings. The following proceedings are confidential:

- (a) Grand Jury proceedings. Grand jury proceedings are confidential and closed to the public. The Attorney General's office or the State's Attorney's office may order a copy of the transcript without a court order. If defense counsel is requesting a copy of the grand jury proceedings, they need to get a court order (see SDCL 23A-5-16), or defense counsel can ask the State's Attorney to order the transcript for them. The transcript should not be delivered directly to the defense attorney unless the defense attorney has obtained a court order permitting that. Instead, deliver the transcripts to the state's attorney's office, who may provide them to defense counsel without a court order.
- **(b) Adoption proceedings**. Adoption hearings are confidential and closed to the public. Only the parties involved can get a copy of the transcript, and they must get a court order to do so.
- SDCL 25-6-15 says, in part, "The files and records of the court in adoption proceedings are not open to inspection... No person having charge of any adoption records may disclose the names of any parents, or parents by adoption, or any other matter, appearing in such records, or furnish certified copies of any such records, except upon order of the court..."
- (c) Juvenile proceedings. SDCL 26-7A-36 says, "All hearings in actions under this chapter and chapter 26-8A, 26-8B, or 26-8C are closed unless the court finds compelling reasons to require otherwise." These include A&N (abuse and neglect) cases, CHINS (child in need of supervision) cases, and juvenile delinquency cases. Only the parties involved in these proceedings may order a transcript, and they must get a court order to do so.

Re abuse and neglect cases, SDCL 26-7A-112 says, "Initials shall appear on the appeal record documents in place of the names of the child and the child's parents, guardian, or custodian who are parties to the action."

Juvenile proceedings are filed under seal, so if these names appear in the transcript it isn't available to the public, but it is important to use initials on the title page so names aren't available to the casual glance if someone leaves their transcript on top of their desk. It is easier for the court, attorneys, and law clerks to do their research with the actual names on the transcript.

Please refer to 21.2 for more information about using initials in place of names.

- **NOTE 1: SDCL 26-7A-36 says that "all pleadings and hearings shall be open and a matter of public record if a juvenile is summoned into court for an offense which if committed by an adult would constitute a crime of violence as defined in subdivision 22-1-2(9) or a crime involving a drug offense in violation of §22-42-2 or 22-42-3, and at the time of the offense the juvenile was 16 years of age or older." If you have questions, ask your judge if this applies to your transcript.
- **NOTE 2: Use initials in place of names of child <u>victims</u> in adult proceedings as well. See Section 21.2.
- **NOTE 3: Judges may also order that other names be changed to initials, such siblings of the child or other relatives when those names might reveal who the child is. Ask the judge.
- (d) Involuntary commitments, forced medication, Electroconvulsive Therapy (ECT), and mental illness hearings. Only the parties involved can get a copy of the transcript, and you should get a court order first. These hearings are closed to the public.
- (e) **Records in chambers**. If the judge has a record made in chambers, that record may or may not be confidential. Ask the judge before putting that part of the record into the transcript.

- (f) Any sealed record. A court order is required in order for a transcript to be made of sealed or confidential proceedings. If a transcript or portions thereof are confidential or sealed, note that on the title page and in the electronic file name.
- (g) Jury voir dire to be transcribed and filed separately. A new rule to be designated at SDCL Chapter 15-15, Rule 15-06, was adopted on March 12, 2015, and becomes effective July 1, 2015, as follows:

In order to protect the confidentiality of private juror information, the transcript of any voir dire proceeding shall not be made available to the public except through inspection at the courthouse unless otherwise ordered by the court.

21.2 Using initials to maintain confidentiality. See also 21.1(c) regarding initials in juvenile cases. A new section is being added to SDCL 15-15A-8, Rule 15-07, was adopted on March 12, 2015, and becomes effective July 1, 2015, as follows:

The following information in a court record is not accessible to the public: The name of any minor child alleged to be the victim of a crime in any adult criminal proceeding.

A similar new section is being added to SDCL 15-15A-9, Rule 15-08, was adopted on March 12, 2015, and becomes effective July 1, 2015, as follows:

Names of any minor child alleged to be the victim of a crime in any adult criminal proceeding shall appear as initials only. The names shall be provided on a separate Confidential Information Form.

According to the UJS Director of Policy and Legal Services, in response to an email on 5-5-15 requesting clarification about this new rule, if you make a transcript of proceedings in an <u>adult criminal</u> case, you should use initials in place of the name of a minor child alleged to be a victim of a crime, because the adult criminal file is open to the public. You do not need to use initials in a transcript of a <u>juvenile</u> court proceeding, because the entire file (and thus the transcript) is considered confidential and is not open to the public. In other types of cases, such as protection order cases or divorce cases, do not use initials unless specifically ordered to do so by the judge, even if those cases are related to an adult criminal file.

A judge may also order the use of initials for names of individuals such as a rape victim or a confidential informant. Judges may also order that other names be changed to initials as well—siblings of a child victim, for example, or grandparents or other relatives when the names of those individuals might reveal who the child is. Ask the judge if you have questions about whether to use initials or not. The judge will need to put the order for initials on the record. If an attorney slips up and uses the name when the judge has ordered initials be used, in the transcript show only the initials, even when it looks funny because the attorney apologizes for using the full name immediately after:

Q. Did you see L.S. – I mean, sorry, L.S. later that night?

If individuals have the same initials, have the judge or counsel put on the record how those individuals should be identified for clarity; for example, perhaps middle initials may also be used, or the word "Mother" in place of the mother's initials, or JJ1 and JJ2 if the alleged victims are Jamie Jo Jones and Jenny Jean Johnson, respectively. The name each set of initials represents will be listed on the separate

Confidential Information Form described above as Rule 15-08.

- **21.3.** Indicate in the transcript whether proceedings are conducted in open or closed court. If court proceedings are confidential and/or conducted in closed court, that should be noted on the record. If the entire proceedings are confidential, that should be indicated on the title page if the proceedings are transcribed. If portions of the proceedings are conducted in closed court and filed under separate cover, that should be shown by parentheticals. If a portion of proceedings is ordered sealed and placed in a separate transcript, put a parenthetical such as (Confidential proceedings had which were transcribed and filed under separate cover).
- **21.4. Filing and delivering confidential transcripts**. Confidential paper transcripts should be stamped "Confidential" and placed in a sealed document also stamped "Confidential." Some reporters include language such as "Pursuant to SDCL 26-8A-13, the enclosed transcripts are CONFIDENTIAL documents" or "SEALED TRANSCRIPT TO BE OPENED ONLY UPON ORDER OF THE COURT."

The electronic file name should include the word CONFIDENTIAL or SEALED in the file name so that the lawyer does not inadvertently send the file to someone not authorized to read it, and so the clerk knows not to place the electronic file in public view in Odyssey.

- **21.5. Attorneys' Eyes Only.** If a portion of the proceedings are designated 'Attorneys' Eyes Only,' separate that portion from the remainder of the transcript, use a header that reads 'Attorneys' Eyes Only,' and bind and seal the original and copies of that portion separately. Give the electronic file a name that makes clear it is ATTYEYESONLY. (Note: sources say Attorneys' Eyes, Attorney's Eyes, and Attorney Eyes Only are each correct, so just pick one and be consistent.)
- **21.6**. **Do not talk about confidential matters**. As an official, you will hear things in chambers or in the courtroom that should be kept confidential. Be careful not to disclose conversations with your judge or the nature of personal typing for your judge with others.

SECTION 22. INTERPRETED PROCEEDINGS. (A sample transcript of interpreted proceedings is at 22.8.)

- **22.1.** The location of the interpreter. When working with an interpreter, be considerate of the interpreter's needs. Like the reporter, the interpreter needs to be in a location where he or she is not looking into bright lights, where the noise of people in the hall or the fan kicking in will not interfere with the interpreter's ability to hear everyone who is speaking, and where there are no microphones, books, lamps, computers, or other visual distractions that may make it difficult to see the faces of the persons whose words the interpreter needs to translate. Some interpreters like to read over the shoulder of a court reporter doing realtime because it can eliminate the need to ask speakers to repeat mumbled or missed words.
- **22.2. SDCL 19-14-4.1. Form for oath/affirmation of interpreter**. "I, _____, do solemnly swear (or affirm) that I will justly, truly, and impartially interpret, to the best of my skill and judgment, and will make a true interpretation to any party or witness, the oath or affirmation administered in all matters; the questions which may be asked and the answers that shall be given to such questions, and all statements relative to any [court proceedings, probation activities, or any other proceeding] now under consideration in which I am employed to interpret, [so help me God] [under the pains and penalties of perjury]." Some reporters use: "Do you swear or affirm that you will interpret from English into [Spanish] and from [Spanish] into English all proceedings of record, truthfully and accurately, to the best of your ability?"
- **22.3.** The witness is sworn through the interpreter. After the interpreter is sworn, the oath should be administered to the witness through the interpreter. It is good manners to look directly at the witness, not at the interpreter, while you are administering the oath to the witness.
- **22.4. Show the interpreter's name on the title page.** The interpreter's name should appear on the title page, with the indication "Interpreter" following the name. If the interpreter speaks in colloquy during the deposition, however, show "INTERPRETER" or "THE INTERPRETER" and not the interpreter's name.
- **22.5.** Transcribing interpreted testimony. A sample transcript may be found at Section 22.8.
 - (a) Witness setup. Indicate that the witness is testifying through the interpreter:

YOSHI YAMAGUCHI,

was duly sworn through the interpreter and testified through the interpreter, as follows:

- (b) Use Q&A format where possible. An interpreter should translate the questions and answers verbatim, in the first person. Some will do this nearly simultaneously as the witness is speaking, but in most cases the attorney will ask a complete sentence or question and wait for the interpreter to interpret. For a cleaner record, some attorneys and judges will ask the witness to answer only in the witness's first language, even if the witness speaks some English. The testimony should be transcribed in usual format, with no further indication that the testimony is being interpreted.
- (c) Use colloquy if interpreter speaks in third person. The attorneys should ask the interpreter to translate in the first person. However, inexperienced interpreters may still slip into third person, so the reporter needs to be prepared to transcribe it in this way. This manual strongly recommends that all interpreted proceedings be audio-recorded in case questions arise as to whether the interpreter did or did not translate a question before making some kind of response, and also in case questions arise as to whether the witness did or did not wait for a question to be translated before responding:
 - Q. Is your brother among these men in this photograph?

INTERPRETER: He says it was his cousin.

Q. Is your cousin the one with the beard?
INTERPRETER (before translating): That's him. Yeah, I know that guy.

- (d) When the witness speaks some English. When the witness speaks some English but has an interpreter on hand for occasional help, the attorneys may still ask the witness to answer only in his/her native language, which makes transcription much easier. If the witness uses both English and another language when responding, the record should show whether the witness answers in English or through the interpreter. The record should also indicate those times when the witness answered before the interpreter translated the question, because sometimes the witness's ability to understand the English language is at issue in the case. For an example, see the sample on pages 105 and 107.
- (e) When the interpreter speaks as the interpreter. There will be times when the interpreter will need clarification from the lawyer before proceeding or when the interpreter will be speaking for himself/herself. When that happens, show the interpreter speaking in colloquy:
 - Q. Do you know who finished the baseboards?

 INTERPRETER: Do you mean "finished" as in "completed" or "finished" as in "varnished"?
 - Q. Do you know who varnished the baseboards?
 - A. The guy from Sam's Carpentry.

 INTERPRETER: Could we take a break? I need to make a phone call.
- (f) Writing other languages. Sometimes short answers may be given in the witness's language and not interpreted because the interpreter assumes everyone present understands. Most of us know, for example, that "sf" means "yes" in Spanish or that "nyet" means "no" in Russian. Sometimes the reporter may face the challenge of writing bits and pieces of other languages. When individual foreign words or phrases are used, try to get the spellings at the time. If that is not possible, or if complete sentences are spoken, it is appropriate to indicate (speaking Spanish), for example, and not worry about what was said in the non-English language. See examples on page 105, lines 10-11, and 107, lines 17-19.
- **22.6.** The reporter as interpreter for the Deaf or hard-of-hearing. A court reporter should avoid serving at the same time as both the court reporter for the proceedings and as an interpreter, by means of realtime, for a party who is Deaf or hard-of-hearing, because the court reporter is not supposed to include in the official record things which a CART provider or captioner may be expected to include for the benefit of a Deaf or hard-of-hearing person. It is acceptable, however, for a court reporter to allow a Deaf or hard-of-hearing person to read the reporter's realtime in court as long as everyone understands the court reporter is not an official interpreter.
- **22.7. Special considerations when dealing with interpreters.** Some hints commonly given to people dealing with interpreters include the following:
 - a) Establish that good communication exists among the interpreter and the persons for whom the interpretation is being performed *before* getting down to the actual business at hand.
 - b) When communicating through an interpreter, face the person whose words are being interpreted, not the interpreter, unless you intend to be speaking to the interpreter directly. Use good eye contact and be very careful of your facial expression and tone of voice, because the person who does not speak your language will rely heavily on tone of voice and visual cues and may misinterpret careless body language.

- c) Don't stand with your back to a bright light.
- d) Always use first-person tense when speaking through an interpreter.
- e) Rephrase what you are saying if the person does not understand. Repeat as often as necessary. Avoid telling the person, "Never mind, it's not important," because that can cause frustration on both sides of the conversation.
- f) Speak clearly, without mumbling, in a normal tone of voice, perhaps a little more slowly than usual to make it easier for the interpreter. Don't shout.
- g) Remember that body language may also cause communication problems. Nodding the head up and down, for example, may not mean "yes" to someone from another culture. Pointing and certain gestures, such as the American "okay" sign --making an "o" with index finger and thumb with the other three fingers pointing up—means "worthless" in France, and is a sexual insult in Russia, Brazil, Turkey, and other countries in the Mediterranean region. Rely on words, not gestures, whenever possible, so as to avoid inadvertently giving offense.

Online, various organizations for the Deaf Community offer the following further advice to court personnel dealing with the Deaf and hard-of-hearing:

- h) Maintain eye contact and don't cover your mouth with your hands when speaking with a Deaf or hard-of-hearing person. Many hearing-impaired persons learn to read lips, and facial expressions are an important part of communication in the Deaf culture. However, do not assume that all Deaf persons can read lips.
- i) Not all Deaf persons can read well enough to be able to use realtime/captioning effectively. Remember that it is hard to learn to read a language when you cannot "sound out" the words; and keep in mind that reading disabilities, such as dyslexia, exist even among persons who can hear. Also, American Sign language has its own syntax, which is different from spoken English, so a Deaf person who can read is essentially having to translate the captioned spoken word in order to understand it. Advise court and counsel to speak a bit more slowly and to be precise with their words if they are relying on a realtime translation. Also keep in mind that if the Deaf person is being asked to respond by writing or typing, as opposed to speaking through a Sign interpreter, the written messages may not be clear to someone accustomed to the syntax of spoken English.
- j) Not all hard-of-hearing persons can use an assistive listening system. There may be feedback problems between the system and the person's hearing aids. There may be certain sounds or frequencies to which the hard-of-hearing person is totally deaf. Do not assume that a person who is hard-of-hearing is deliberately causing problems if he or she says an assistive listening device is not helping.
- k) Sign language relies heavily on visual cues to convey emotions, emphasis, and meaning. Don't be surprised by forceful gestures, grunting or other vocalizations, or what may appear to be exaggerated facial expressions or body language on the part of persons who are Signing.

22.8. Sample of interpreted proceedings. (See next page)

22.8. Sample of interpreted proceedings (page 1 of 4)

- 1 THE COURT: You may call your next witness.
- 2 MS. STARZL: We would call Tokoyo Sato. I should note
- 3 for the record, Your Honor, that Ms. Sato will be testifying
- 4 through an interpreter, as we discussed in chambers.
- 5 (The interpreter was duly sworn.)
- 6 THE COURT: Members of the jury, our next witness does
- 7 not speak English. Do not allow the fact that any witness
- 8 requires an interpreter when testifying influence you in any
- 9 way. Treat the testimony of this witness the same way you
- 10 would treat the testimony of any witness. This witness
- 11 speaks Japanese. If any of you jurors understands the
- 12 Japanese language, you should still consider only the
- 13 testimony as it comes through the interpreter in English.
- 14 If you think the interpreter has made a mistake, then you
- 15 must ignore what you think is a mistake and base your
- 16 deliberations solely on the official interpretation.
- I would instruct the witness that the interpreter is
- 18 here only to interpret the questions asked and the answers
- 19 you will give. The interpreter is not permitted to give
- 20 advice. If a question is confusing to you, you should tell
- 21 that to the attorney, and the attorney will try to make it
- 22 more clear. If you need to have a question repeated for any
- 23 reason, you may ask the attorney to do so through the
- 24 interpreter. Please wait until the entire question has been
- 25 interpreted in your language before you start to answer.

(Sample of interpreted proceedings page 2 of 4)

- Finally, although you probably understand and speak a
- 2 little English, I would ask that you try to stick only to
- 3 your native language, because when this is typed up by the
- 4 court reporter, it may be confusing to a reader to see you
- 5 answering both in English and through the interpreter.
- 6 You may proceed.
- 7 (The witness was duly sworn through the interpreter.)
- 8 MS. STARZL: Please the court, members of the jury,
- 9 counsel.
- 10 TOKOYO SATO
- 11 being first duly sworn through the interpreter, testified
- 12 through the interpreter, as follows:
- 13 DIRECT EXAMINATION
- 14 Q. (BY MS. STARZL) Would you state your name, please.
- 15 A. Tokoyo Sato.
- 16 Q. Where do you live?
- 17 A. Des Moines, Iowa.
- 18 Q. How long have you lived here?
- 19 A. Eleven years.
- 20 Q. What is your occupation?
- 21 THE INTERPRETER: She's what you'd call a CEO.
- 22 THE COURT: Madam Interpreter, as we discussed in
- 23 chambers, it is important that you interpret everything in
- 24 the first person, please, so we have the answers exactly as
- 25 if they were coming from the witness herself in English.

(Sample of interpreted proceedings page 3 of 4)

- 1 THE INTERPRETER: Sorry, Your Honor.
- 2 A. I am a CEO. I manage Sato Metals, American branch.
- 3 Q. (BY MS. STARZL) How long have you been CEO of Sato
- 4 Metals, American branch?
- 5 A. Twelve years. My husband started the American branch
- 6 about fifteen years ago, then put me in charge twelve years
- 7 ago, and finally requested that I move here eleven years
- 8 ago so I could more efficiently manage the company.
- 9 Q. What is the business of Sato Metals?
- 10 THE INTERPRETER: Excuse me. I must check this word,
- 11 "seisakusha."
- 12 A. We are a manufacturer of metal bearings.
- 13 Q. (BY MS. STARZL) Does your company manufacture metal
- 14 bearings for VanderTeig Tractors?
- 15 A. Yes. (In English) Much work for VanderTeig, many
- 16 years.
- 17 Q. Have you manufactured metal bearings for VanderTeig
- 18 Tractors during the entire time you have been CEO?
- 19 A. (In English, prior to translation of question) Yes.
- 20 THE COURT: I'll remind you again, Ms. Sato, to try to
- 21 confine your answers to Japanese, and not to answer before
- 22 the attorney is finished asking the question.
- 23 O. (BY MS. STARZL) What are these bearings made of? What
- 24 kind of metal?
- 25 A. (Through interpreter) Iron. (In English) Steel.

(Sample of interpreted proceedings page 4 of 4)

- 1 THE INTERPRETER: Steel, excuse me. I misspoke.
- 2 THE WITNESS: (In English) Steel. Steel.
- 3 Q. (BY MS. STARZL) Are these bearings coated in any
- 4 special way?
- 5 A. They are smoothed out and then fired in a special way
- 6 so they do not get hot so fast during use, and they are, of
- 7 course, supposed to be oiled regularly.
- 8 Q. And do they come with instructions that they need to
- 9 be oiled regularly?
- 10 A. Yes.
- MS. STARZL: That's all the questions I have.
- 12 CROSS-EXAMINATION
- 13 O. (BY MR. BERN) (Speaking Japanese.)
- 14 A. (Speaking Japanese.)
- 15 THE INTERPRETER: (Speaking Japanese.)
- 16 THE COURT: That's enough of that, now.
- 17 O. (BY MR. BERN) Sorry. I know the court reporter can't
- 18 kaku Japanese, but I couldn't resist the nozomi to see if I
- 19 could still hanasu Nihongo.
- Ms. Sato, could you tell me, please, when the bearings
- 21 in question were finished?
- 22 A. They were completed in February. (Speaking Japanese.)
- THE INTERPRETER: Excuse me, Your Honor, but she is
- 24 asking me if she could have a glass of water, please.
- THE COURT: We'll have the bailiff bring her some.

SECTION 23. Sample Pages for Official Proceedings; Some Official Forms; Appendix

- 23.1 (a). Title page from SDCL15-26A-93 showing statutory format (Jury Trial appeal)
- 23.1 (b). Title page, pro se parties
- 23.1 (c). Title page, juvenile proceedings
- 23.2 (a). Index page from SDCL15-26A-93 showing statutory format (Jury Trial appeal)
- 23.2 (b). Index page, motions hearing
- 23.3. Certificate of Reporter for official court proceedings
- 23.4. Certificate of Transcriber for official court proceedings
- 23.5. Transcript page in SDCL 15-26A-93 statutory format
- 23.6. Transcript order form with court reporter's acknowledgment of receipt of order
- 23.7. Court Reporter Reporting Form (Filing and Transmittal of Completed Transcript)
- 23.8. Request for Extension of Time to complete transcript
- 23.9. Letter requesting prepayment
- 23.10(a) and (b). Sample invoices (see also Section 15.16)
- 23.11. UJS Memorandum that reporters should not charge for transcripts made for judge
- 23.12. Court Reporter Transcript Compensation/Certification Form (obsolete)
- 23.13. Example of transcript with portion of deposition read into the record
- 23.14. Samples of monospace fonts

APPENDIX

- 24.1. List of some South Dakota words and phrases and suggested brief forms
- 24.2. List of SD County Codes for Odyssey
- 24.3. List of some SD Statutes affecting court reporters
- 24.4. List of some Federal Rules affecting court reporters

1	STATE OF SOUTH DA	KOTA)		IN CIRCU	IT COURT
	COUNTY OF MINNEHA	НА):SS)	SECOND	JUDICIAL	CIRCUIT
3	JOHN C. DOE,)		‡ 78 - 1	
5	Plaintiff, vs.)	CIVIL JU	CRIPT OF JRY TRIAL EEDINGS	
6	RICHARD P. ROE,			Volume Pages 1 to	e 1 of 2 o 120; 6-7	
8	Defendant.) (P	ages 121 t	250, 6-	-8-77)
9	BEFORE:	THE HONOR	ABLE J	AMES M. WI	INSTON	
10 11		Circuit Ju Sioux Fall June 7, 8,	ls, So	uth Dakota	a, on	
12 13 14	APPEARANCES:	For Plaint	tiff:	Attorney 455 Summi	at Law	Dak.
15		For Defend	dant:	Linton ar	nd Lawler	
16 17					s at Law ale Avenue lls, So. I	
18						
19 20						
21						
22						
23 24						
25						

23.1 (b). Title page, pro se parties

```
STATE OF SOUTH DAKOTA
                                )
                                               IN CIRCUIT COURT
                                ):SS
   COUNTY OF XXXXXXXXX
 2
                                     XXXXXXX JUDICIAL CIRCUIT
                                )
 3
   PETITIONER NAME,
 4
                                ) TPO 15-08
        Petitioner,
 5
                                   PROTECTION ORDER HEARING
   vs.
 6
   RESPONDENT NAME,
 7
        Respondent.
 8
 9
        BEFORE: THE HONORABLE XXXXX XXXXXXXX, Circuit Court
   Judge, at XXXXXXX XXXX, South Dakota, on March 16, 2015.
10
11
   APPEARANCES
   PETITIONER NAME, Petitioner
12
13
        Appearing pro se;
   RESPONDENT NAME, Respondent
14
        Appearing pro se.
15
16
17
18
19
20
21
22
23
24
25
```

23.1(c). Title page – Juvenile

```
1 STATE OF SOUTH DAKOTA )
                                            IN CIRCUIT COURT
                        :SS
 2 COUNTY OF DAVISON
                                     FIRST JUDICIAL CIRCUIT
                       )
3
  The People of the State of )
   South Dakota, in the Interests) JUV 2012-58
   of C. W.,
 4
5
       Minor Child,
                                ) ADJUDICATORY HEARING
                               ) Volume 1 of 3
6 and Concerning
                                ) Pages 1 through 182
   K.Z., T.W., B.P., and B.J.,
8
       Respondents.
9
        BEFORE: THE HONORABLE JUDGE NAME, Circuit Judge, at
10
   Mitchell, South Dakota, on February 6 and 7, 2013.
11
12
   APPEARANCES
  For the State: JAMES MISKIMINS, State's Attorney
13
                        1015 South Miller Avenue
14
                        Mitchell, South Dakota 57301
15 For the Minor Child: DAVA A. WERMERS, Attorney at Law
                        PO Box 434
16
                        Mitchell, South Dakota 57301
17
  For Respondent K.Z: CHRIS A. NIPE, Attorney at Law
                        PO Box 396
18
                        Mitchell, South Dakota 57301
19 For Respondent T.W: DOUGLAS N. PAPENDICK, Attorney at Law
                        PO Box 954
20
                        Mitchell, South Dakota 57301
21 For Respondent B.P: LYNN A. MORAN, Attorney at Law
                        609 South Isadore Street
22
                       Mitchell, South Dakota 57301
  Appearing Pro Se: MR. B. J., Respondent in JUV 12-57
23
24
25
```

23.2 (a). Index page from SDCL15-26A-93 in statutory format (Jury Trial

1		IND	EX				
2	WITNESSES:	Direct	Cross	Redirec	t F	Recross	3
3	For the Plaintiff:	2.4	0.0	110		115	
4	John C. Doe Ralph R. Schultz	34 116	80 122	112 125		115 130	
_	Frank H. Hunt	131		148			
5	James E. Larson Burton B. Sears	150 187	186 210	213			
6							
7	For the Defendant: Michael R. Gillen	220	231	240		248	
,	Edward L. Renfer			260		240	
8	Susan M. Vangen			305			
9	Richard P. Roe	328	259	377		382	
	MOTIONS AND STIPULATION	NS:			Made	Ruled	On
10	Motion by Defendar				214	216	
11	Stipulation, unava Todd K. Onnen	allabili	ty of Wi	tness	217	218	
12	EXHIBITS:		Marked	Offere	d	Ruled	On
10	#1 Revolver, Smith and	Wesson		56		58	
13	#2 Photograph #3 Photograph		74 156	98 184		98 185	
14	#4 Blueprint		251	253		253	
15	#5 Deposition (Todd K.	Onnen)	217	382		384	
16	VERDICT: Page 475						
17							
18							
19							
20							
21							
22							
23							
24							
25							

23.2 (b). Index page from nontrial

1	INDEX TO PROCEEDINGS
2	PLAINTIFFS' MOTIONS IN LIMINE
3	PMOL#1 re government assistance granted7
4	PMOL#2 re undisclosed opinions granted11
5	PMOL#3 re third-party fault granted13
6	PMOL#4 re noncompliance/negligence granted16
7	PMOL#5 re best judgment questions granted17
8	PMOL#6 re fault of other physicians granted18
9	PMOL#7 Sequestration of witnesses granted18
10	PMOL re scheduling delay granted40
11	DEFENDANTS' MOTIONS IN LIMINE
12	DMOL#1 re malpractice/liability insurance granted20
13	DMOL#2 re testimony by experts re insurance granted24
14	DMOL#3 re evidence re other lawsuits, etc., granted27
15	DMOL#4 re Dr. Defendant Name granted28
16	DMOL#5 re staff other than Dr. Defendant granted28
17	DMOL#6 re alleged improper policies, etc., granted .33
18	DMOL#11 re Dr. Defendant's finances, etc., granted36
19	DMOL#7 re testimony as to causation granted49
20	DMOL#8 re cause of stroke and death denied52
21	DMOL#9 re pain and suffering denied54
22	DMOL#10 re XXX XXXXX being a pastor granted54
23	DMOL#12 re Golden Rule argument granted55
24	DMOL#13 re no discussion of settlement, granted56
25	DMOL#14 re interactions with staff granted59

23.3. Certificate of Reporter for official court proceedings (SDCL 15-26A-52).

CERTIFICATE OF REPORTER

I, Reporter Name, Registered Professional Reporter, Notary Public in and for the State of South Dakota, hereby certify that I was present for and reported the proceedings as described on page 1 herein, and that this transcript contains a true and correct record of the proceedings so had To all of which I have hereunto set my hand this day of, 20
/s/ Reporter Name REPORTER NAME, RDR
23.4(a). Certificate of Transcriber for electronic recordings of official court proceedings (IP Rule 2015-02).
CERTIFICATE OF TRANSCRIBER
I hereby certify that the transcript of proceedings in the above-entitled action is a true and accurate transcript based on the electronic recording.
/s/ Reporter Name REPORTER NAME, RDR
23.4(c). Generic certificate of Transcriber for electronic recordings.
CERTIFICATE OF TRANSCRIBER
I, Reporter Name, Registered Professional Reporter, hereby certify that I transcribed from a digital audio recording the proceedings as described on page 1 herein, and that to the best of my knowledge and belief, this transcript contains a true and correct record of the contents of the recording so described. To all of which I have hereunto set my hand this day of, 20
/s/ Reporter Name REPORTER NAME, RDR

23.5 (a). Transcript page in SDCL 15-26A-93 statutory format

```
CROSS-EXAMINATION
 1
   Q (BY MR. SUMMER) Okay. With respect to this stocking cap,
 2
 3
   is State's Exhibit Number 13 similar to the ones you sell?
   A They look exactly like the ones he bought. He bought
   three of them.
 5
 6
   Q Okay. Go through it again. It could be one that is
 7
   similar to that as opposed to the actual one.
 8
      MR. LINTON: That's argumentative, Your Honor.
9
      THE COURT: Well, he's already answered the question
   previously.
10
11
      MR. SUMMER: What was his answer?
12
      THE COURT: I believe he said it could be.
      MR. LINTON: Wait just a minute, Your Honor. I move that
13
   answer be stricken.
14
15
      THE COURT: If in fact he answered the question, it will
16
   be stricken. Any further questions, Mr. Summer?
      MR. SUMMER: No, Your Honor.
17
                      REDIRECT EXAMINATION
18
19
   Q (BY MR. LINTON) Sir, I'm going to hand you again State's
   Exhibit 13, and will you examine it and tell the court what
20
21
   differences if any you see between this particular exhibit
   and the stocking cap you sold on January 7, 1977.
22
23
   A Well, just the way it is laying here, it's open and the
24
   ones that we have on display are folded up like this. But
   other than that I don't really see any difference.
```

23.5 (b). Transcript page in SDCL 15-26A-93 statutory format

£5.

Sample 15.20 is a page in the format for appeal 2 transcripts as set out in SDCL 15-26A-93(A). 3 A Is it required for depositions? Q No. Appeal transcripts shall consist of volumes of 250 5 pages or less, using 8 1/2" x 11" white opaque paper with 25 prenumbered, double-spaced lines per page. 6 A Each page shall have a page box, 3/4" top and bottom 7 8 margins, a 1.5" left margin, and a 1/2" right margin. 9 Q Use 10 characters per inch; questions and answers shall start with the Q or A flush at the left margin, with two 11 spaces between the Q or A and the text of the question;

colloquy shall start three spaces from the left margin.

1.5" 13

15

16

17

18

19

20

22

23

24

MS. JONES: The pages shall be consecutively numbered throughout the entire transcript (not according to volume), page number located at the bottom center of each page. The title page of each volume shall include a 1.5" blank space at the top of the page; the trial court name, location and case number; the case name; the type of proceeding; the date of the proceeding reported in that volume; the name of the judge before whom the proceedings occurred; appearances; the volume number and the pages included in the volume. An index of witnesses, motions, and exhibits shall follow the cover page of the first volume of each transcript; each major event of the proceeding shall be listed separately and identified by the transcript page number at which it begins.

.75

57

23.6. Transcript order form.

(Attachment to Supreme Court R	ule 95-3)	
STATE OF SOUTH DAKOTA 'OUNTY OF)ss	IN CIRCUIT COURTJUDICIAL CIRCUIT
vs.)	ORDER FOR TRANSCRIPT(S)
TO: COURT REPORTER(S)		 :
Pursuant to SDCL 15 hereby orders a transcrip as indicated below:	-26A-48, <u>1</u> pt(s) of <u>r</u>	(name(s) of party/parties placing order) proceedings in the above-entitled action
DATE OF PROCEEDING	✓ TYPE	OF PROCEEDING NAME OF REPORTER
with the trial clerk on timely made within ten da I also certify that order has been transmitte proceedings; that a copy service has been made upo attached certificate of se	Clos Cour Jury Moti Open Sent Voir (Other y I hereb ys of said pursuant d to each has been n all par rvice. of	ignment ing Statements t Trial Trial On Hearing(s) ing Statements encing Dire er-Specify) y certify that notice of appeal was file and that this order is ifiling date. to SDCL 15-26A-48, an original of this Court Reporter who took the requested filed with the trial clerk; and that ties to the action as reflected in the
cc		cy placing order) TER'S ENDORSEMENT
I, for transcript on	, ì	nereby acknowledge receipt of this order . My anticipated date of the noted by the reporter on the reverse
	Court	Reporter
original of this order must be Reporter is involved, duplicate be filed with the trial clerk a the action or upon each party i	transmitted originals nd a copy i f unreprese	of the filing of the notice of appeal the to the Court Reporter. If more than one should be transmitted to each. One copy is to s to be served upon counsel for each party to nted by counsel. A certificate of service should ach copy thereof. See SDCL 15-26A-48.)

23.7. Court Reporter Reporting Form (Filing and Transmittal of

COURT REPORTERS' REPORTING FORM

SECTION I

ENDORSEMENT OF TRANSCRIPT ORDERS

Pursuant to SDCL 15-26A-51, please be sure to endorse the following information at the foot of each order for an appellate transcript before transmitting the ORIGINAL order to the office of the Clerk of the Supreme Court:

- 1. The date on which you received the order.
 - (a) If there is a substantial discrepancy between the date of the order and date you received it, e.g., you were on vacation, or postmark is substantially later than the date of the order, please explain.
- 2. The date on which you expect to have the transcript completed.

SIGN THE ORDER AS SO ENDORSED.

SECTION II

FILING AND TRANSMITTAL OF COMPLETED TRANSCRIPT

Complete this section and transmit it to the Clerk of the Supreme Court when you have prepared an appellate transcript, filed the original with the trial clerk, and transmitted copies to attorneys for each party to the appeal separately represented, and directly to any parties not represented pursuant to SDCL 15-26A-52.

Title of Action:
County File Number
Supreme Court Appeal Number:
Date Transcript Completed:
Date Transcript Filed (emailed to clerk):
Date Transcript Transmitted to Appellant:
(by First Class mail; email; hand delivery)
Date Transcript Transmitted to Other Parties:
(by First Class mail; email; hand delivery)
Number of Pages in Transcript(s):
Date: Signed:

Note: do not include word index pages in the page count.

UJS 056 Rev 08/08

23.8. Request for Extension of Time for Transcript

REQUEST FOR TRANSCRIPT EXTENSION

This section should be completed and transmitted to the Clerk of
the Supreme Court when a transcript has not been completed within
forty-five (45) days as required by Rule 10, subsection 2(c):
TITLE OF ACTION: #
VENUE OF ACTION (County):
I hereby request an extension of days to complete the
transcript in the above entitled action.
PRESENT STATUS OF TRANSCRIPT
Projected length of transcript: pages
Completed to date: pages
Reason for extension request:
Reporter's Signature
Assigned Judge's or Administrator's Signature

(This portion of form for use by the Clerk of the Supreme Court)
Your request for an extension of time within which to complete
the transcript in the above entitled action is:
Granted to and including
Denied.
Clerk of the Supreme Court
_
By Deputy

Form UJS-057 Rev. 08/2008

23.9. Letters re prepayment

23.9(a): Letter requesting prepayment for appeal transcript

Attorney Name, Esq. Address

Re: CIV 14-1414 Plaintiff v. Defendant

Dear Attorney:

I have received your order for transcript of the jury trial held November 1 through 4, 2014, in the above case. Pursuant to SDCL 15-26A-51 I am requesting prepayment of the estimated cost of the transcript, \$XXXX. The statute provides that you have ten days within which to make prepayment.

Upon timely receipt of the prepayment, I will endorse the order for transcript, transmit it to the Supreme Court, and begin transcribing the trial.

Sincerely,

Reporter Name and Address

cc: Clerk of the Supreme Court

23.9(b): Letter regarding split prepayment for non-appeal transcript

Plaintiff's Attorney Name Address

Defendant's Attorney Name Address

Re: Case number and name

Counselors:

On June 2 I received a request to transcribe the hearing held May 14, 2014, in the above matter and sent a letter requesting prepayment for the transcript. On June 17 I received and deposited plaintiff's share of the prepayment. On July 2 I sent a letter telling counsel that defendant had not paid her share. She still has not done so.

If I have not received defendant's share of the prepayment by August 4, 2014, I will refund plaintiff's prepayment and close my file on this matter.

Sincerely

,

23.10 (a). Sample invoice with tax



Report Name, RPR PO Box ABC Sometown, SD 57ZIP

Phone Number

Invoice No.

247

			IN	VOICE =
Name Address City Phone	Attorney Name PO Box 123456 Sioux Falls State SD ZIP 57101		Date Order No. Rep FOB	11/16/2006
Qty	Description		Unit Price	TOTAL
18	For the original and one copy of the partial transcrip of the Judge's Ruling from the Motion hearing held of 1/26/06 before Judge Tiede. 18 pages @ \$3.40/page		\$3.40	\$61.20
			SubTotal	\$61.20
/	yment Details		ing & Handling	\$0.00
0	Cash	Taxes	State	\$2.45
0	Check Credit Card		City TOTAL	\$1.18 \$64.82
Name CC#			ice Use Only	

Thank you

23.10(b). Sample invoice, no tax charged

REPORTER NAME, RPR
REPORTER ADDRESS
Reporter Telephone
Reporter Email
++++

December 23, 2014 Invoice No.122314-1

Clay County State's Attorney 211 West Main Street, Second Floor Vermillion, South Dakota 57069

Re: Clay County CRI 08-xxx State v. Defendant Name

Transcript of Defendant's motion to correct illegal sentence heard 12-11-14 before Judge Gering. Original filed with clerk, copy sent to State's Attorney, copy sent to court-appointed counsel Attorney Name.

Transcript fee (25 pp x 3.80 statutory rates) = \$95 no tax - govt

Please include copy of statement with payment. Thank you!

23.11. UJS Memorandum that reporters should not charge for transcripts made for judge



State of South Dakota

Unified Judicial System

Office of the State Court Administrator

Teleposase (505) 773-1624 FAX: (605) 773-5627

530 East Capital 1904-502-15-5024

MEMORANDUM

TO:

Circuit Court Administrators

FROM:

Di Hansen

State Court Administrator

CTO

DATE:

November 2, 2005

RE:

Civil Transcripts

At your last meeting on October 5, 2005, you requested clarification from this office regarding payment to court reporters for civil manacripts ordered by a mai judge. Three of you who were at the meeting indicated that your reporters either do civil manacripts ordered by a judge free of charge to they foll the counties. The ownersus among the Circuit Administrators at the meeting was that court reporters about door be charging for these types of transcripts.

The positionrel the SCAO is that unless ordered to the Supreme Court, civil transcripts will not be paid from LTS funds.

DJH:taa cc: lanet Borchard

The mission of the Office of the State Court Administrator is to provide quality court-related support services to the Unified Judicial System, other government entities, and the public

23.12. Court Reporter Transcript Compensation/Certification Form (obsolete)

12-90	
COURT REPORTER TRANSCRI	PT COMPENSATION/CERTIFICATION FORM
STATE OF SOUTH BAKOTA) COUNTY OF)	IN CIRCUIT COURTJUDICIAL CIRCUIT
)	CASE FILE NO.
Plaintiff,) vs.) Defendant,)	CERTIFICATION
COURT REPO	RTER CERTIFICATION
I hereby certify that I have prepared () Preliminary Hearing () Appeal to the Supreme Court () Grand Jury () Other	the transcript for the above entitled:
Date transcript completed://	
The actual cost for this transcript is:	· •
Original - Actual Number of Pages:	per page \$
Copies copies of original =	total copies # per copy . \$
	L:
Date Court Rep	orter
********	*************
/ERIFICATION BY CLERK OF COURTS	VERIFICATION OF ORDERING PARTY
Thereby verify the above entitled cranscript was prepared, and that the number of pages contained in the original of the same has been viled with this office.	I hereby verify that a transcript was ordered by me in the above captioned matter and a copy of the same was delivered to me, as requested, this date.
Date:	Date:
LERK OF COURTS	ATTORNEY AT LAW OR ORDERING PARTY

23.13. Example of deposition excerpts read into record.

```
Q Do you recall these questions being asked of you and you
   giving these answers? Question, "In your interrogatory --"
   page 100, line 7. "In your interrogatories -- this might be
 3
   a duplication, and this is from Mr. Baker's lawyer at the
 5
   time."
      "Uh-huh."
 6
      "I just want to be clear. In 42 I asked you for the
 7
   intended use, and you said 'Plaintiff wished to use this
   building as a storage area.' That is consistent with your
10
   testimony today, right?"
   A Yes.
11
12
   Q Your answer was, "I believe so, and then future use of
13
   the building, like for a shop area and repair facility or
14
   whatever I wanted to use it for."
      "And then Interrogatory 48 says, quote, 'In addition, the
15
   building is unusable as it now sits."
16
17
      Answer that you gave, "For what I intended the building
   to be built for, yes."
18
19
      "Are you zoned in an area where you can do commercial?"
20
      Answer, "Yes, I am."
21
      Question, "Were you going to run a business out of
22
   there?"
      Answer, "Probably someday when I retire."
23
24
      Is that what you indicated under oath over a year ago?
25
   A. Same as my testimony today.
```

23.14. Samples of monospace fonts

```
Examples of Available Monospace Computer Fonts that meet the
 1
    requirement of 10 characters per inch:
 2
    Courier New 13
 3
                       Thank you, Your Honor. I won't repeat my
 4
         MR. BUTLER:
 5
    arguments, but will try to comment a bit on the state's
    response and then point out a couple of additional
                                                ONE INCH
    citations.
 7
 8
   Consolas Font size 14
 9
         MR. BUTLER: Thank you, Your Honor. I won't repeat my
10
    arguments, but will try to comment a bit on the state's
11
   response and then point out a couple of additional
12
                                              10 chanders, one inch
   citations.
13
14
   DejaVu Sans Mono Font size 14
15
         MR. BUTLER: Thank you, Your Honor. I won't repeat my
16
   arguments, but will try to comment a bit on the state's
17
    response and then point out a couple of additional
18
                                                one Inch
   citations.
19
20
   Lucida Console 16:
21
         MR. BUTLER: Thank you, Your Honor. I won't repeat my
22
   arguments, but will try to comment a bit on the state's
23
   response and then point out a couple of additional,
24
                                                 one inch
   citations.
25
```

SECTION 24. APPENDIX

24.1. Some South Dakota words and phrases.

Frequently mentioned court cases:

Fuerstenberg v. Fuerstenberg case, 1999 SD 35, 591 NW2d 798 (child custody) - (sounds like

firstenberg); the court follows the Fuerstenberg factors when deciding custody

Kuhmo Tire Co. v. Carmichael, 526 US 137 (a 1999 case) (KOO-moe)

State of SD vs. Blakney case (pronounced black-nee and blake-nee), 2014 SD 46, re whether court can delegate authority to probation officers to impose conditions not specifically mentioned by the court on the defendant

McNeely case (Missouri vs. McNeely) re is a search warrant needed for a blood draw Daubert v. Merrell Dow Pharmaceuticals case, 509 US 579, a 1993 case (general acceptance in the scientific community no longer required) (correctly pronounced DAW-bert, but you'll hear doh-BAIR as well)

Some SD court terminology:

IMPACT program: Individualized and Mobile Program of Assertive Community Treatment

ICWA (IK-wah): Indian Child Welfare Act

SCRAM program

JIPPS: Juvenile Intensive Probation Program Something-or-other

SESDAC (Southeast South Dakota Action Council? no one ever says the full name)

PBT (Preliminary Breath Test)\

DDN (teleconferencing services – Dakota Digital Network)

VOA (Volunteers of America)

QDRO (Qualified Domestic Relations Order – pronounced KWA-droh)

Mike Durfee State Prison (Springfield prison)

Jameson Unit, South Dakota State Penitentiary

STAR Academy (juvenile detention)

Yankton Trusty Unit, although you may see it sometimes spelled Trustee because people forget what a trusty is and that it is a noun as well as an adjective...

SDCL **SDEL** South Dakota Codified Law(s) SKLAU(Z) South Dakota law(s) SDLAU(Z)South Dakota Supreme Court **SDROURT** Supreme Court **SKROURT** State of South Dakota **STODZ** state's attorney STOERN deputy state's attorney **DOERN** special assistant attorney general **SPEJ**

Indian Child Welfare Act KHAK/KHAK

24/7 TW*N 24/7 program TWAM

A few Native American terms:

(note: some Native American words will have more than one correct spelling in English)

Native American = NAIRM

Sinte Gleska University (sin-tay-glesh-ka) (means "Spotted Tail")

Oglala Sioux Tribe (compare to town of Ogallala, Nebraska)

The term "oyate" means "people" as in "nation" (oh-YAH-tay)

The term "tiyospaye" means "band" or "clan" or "community" (tee-OESH-shpa-yay)

"Mitakuye oyasin" means "We are all related" or "All my relations" (me-TA-ku-yay o-YAH-sin)

Pilamaya (also pidamaya, pinamaya) means "thank you" and also "please"

canupa (cha-NOOM-pa) means "peace pipe" (may also be spelled *chanupa*)

tatanka = buffalo/bison

wakan = holy

Wakan Tanka = Great Spirit or Great Mystery

washte (was-TAY) means "that's good"

wacipi (wah-CHEE-pee) means "dance" and "powwow"

wicasa (we-SHA-sah) means "man" or "human"

Paha Sapa means "Black Hills"

Mato Paha means "Bear Butte"

Sioux tribes/linguistic groups: Lakota, Nakota, Dakota (LAUKT, NAUKT, DAUKT)

Lakota bands: Oglala, Sicangu (pronounced she-KAHN-gu, or Brule, "Burnt Thighs"),

Itazipcola (or Sans Arcs, "without bows"), Mnikowoju (or Minneconjou or Miniconjou),

Oohenunpa (Two Kettles), Sihasapa, Hunkpapa

Dakota Sioux (Santee Sioux) bands: Mdewakantonwon, Wahpeton, Wahpekute, Sisseton Nakota bands: Ihanktonwan (Yankton or Yanktonai), Upper Yankton, and Lower Yankton

Reservations: Yankton, Crow Creek, Cheyenne River Sioux, Flandreau, Lower Brule, Pine Ridge, Rosebud, Standing Rock, Sisseton-Wahpeton, Lake Traverse, Turtle Mountain

Cheyenne = SHAO*IN

Pine Ridge= PR*IJ

Standing Rock= STRO*K

Sisseton-Wahpeton = SW*AP or SWAP/SWAP

Oglala = GLOL

Oglala Sioux = GLAOL

Oglala Sioux Tribe = GLAOLTS

Rosebud = R-B

Rosebud Sioux = RAOB

Rosebud Sioux Tribe = RAOBTS

tribal court = TROURT

Indian child = YAOILD

Indian tribe = YAOIB

enrollment = NOERMT or NOEMT

quantum = KWUM

blood quantum: BLUM

membership: MOIRP

tribal membership: TROIRP

More South Dakota terms:

Queen of Peace (hospital in Mitchell)

Avera (a regional medical system)

Sacred Heart (hospital in Yankton)

Avera Sacred Heart

VART

VART

Lewis & ClarkLARK/LARKAvera McKennanVAIRKUniversity of South DakotaYAOUFRDZ

USD S*UD
Aberdeen BRAOEN
Aberdeen, South Dakota BRAOENDZ
Pierre (pronounced "peer" not "pee-YAIR") PAO*ER

Sioux Falls SAOFLS or SFAULS

Sioux Falls, South Dakota SAOFLDZ Vermillion **VERM** Vermillion, South Dakota **VERMDZ** Yankton **YAONT** Yankton, South Dakota **YANDZ** Rapid City R-S Rapid City, South Dakota R-PDZ State of South Dakota STAIFDZ

Black Hills BLILS or BLALS

Badlands BLANDZ
Game, Fish & Parks GARKZ
Missouri River MIFR

East River (the part of SD that's east of the Missouri River)

West River (the part that's west)

chislic: cubed grilled meat, sometimes on a stick

kolache: (kuh-LAH-chee) sweet puffy bun-size roll with center filling of fruit, poppy seed, or

custard

kuchen (KOO-kin): the official state dessert – pastry with a sweet dough base and fruit or custard

filling

wasna: dried ground buffalo and berries, high in protein. The Tanka Bar is based on wasna.

24.2 County Codes for case file numbers for Odyssey.

COU	NTY CODES '09	47 Mellet	to.	Fall River	22
01	Aurora	48 Miner	ie	Faulk	23
02	Beadle	49 Minnel	haha	Grant	70
03	Bennett	50 Moody			25
04	Bon Homme			Gregory	26
05	Brookings	51 Pennin 52 Perkins	_	Haakon	27
06	Brown		5	Hamlin	28
07	Brule		_	Hand	29
08	Buffalo			Hanson	30
09	Butte			Harding	31
10	Campbell	57 Ogiala Lakota	(formerly Shannon)	Hughes	32
11	Charles Mix	58 Stanley		Hutchinson	33
12	Clark	59 Sully		Hyde	34
13	Clay	60 Todd		Jackson	35
14	Codington	61 Tripp		Jerauld	36
15	Corson	62 Turner		Jones	37
16	Custer	63 Union		Kingsbury	38
17	Davison	64 Walwo	rth	Lake	39
18	Day	65 (no county		Lawrence	40
19	Deuel	66 Yankto	n	Lincoln	41
20	Dewey	67 Ziebach	ı	Lyman	42
21	Douglas	68 Rapid (City	Marshall	43
22	Edmunds	69 Sioux F	ails	McCook	44
23	Fall River	70 Faulk		McPherson	45
23	(formerly Faulk)	71 Spink		Meade	46
25	Grant	Aurora	01	Mellette	47
26	Gregory	Beadle	02	Miner	48
27	Haakon	Bennett	03	Minnehaha	49
28	Hamlim	Bon Homme	04	Moody	50
29	Hand	Brookings	05	Pennington	51
30	Hanson	Brown	06	Perkins	52
31	Harding	Brule	07	Potter	53
32	Hughes	Buffalo	08	Rapid City	68
33	Hutchinson	Butte	09	Roberts	54
34	Hyde	Campbell	10	Sanhorn Oglala Lakota	55
35	Jackson	Charles Mix	11		56
36	Jerauld	Clark	12	Sioux Falls	69
37	Jones	Clay	13	Spink	71
38	Kingsbury	Codington	14	Stanley	58
39	Lake	Corson	15	Sully	59
40	Lawrence	Custer	16	Todd	60
41	Lincoln	Davison	17	Tripp	61
42	Lyman	Day	18	Turner	62
43	Marshall	Deuel	19	Union	63
44	McCook	Dewey	20	Walworth	64
45	McPherson	Douglas	21	Yankton	66
46	Meade	Edmunds	22	Zeibach	67

24.3. South Dakota Statutes related to court reporting.

** Note: These are the statute numbers as of 5-11-15. This is not a complete list.

1-26-32.2	Ordering of transcript [for administrative hearings, such as work comp]
1-26-32.3	Payment for costs of transcript [for administrative hearings, such as work comp]
1-26-32.4	Copies of transcript, filing of original [work comp]
15-5A	Interactive audiovisual device use in court proceedings
15-6-5(g)	Documents not to be filedDepositions
15-6-28(a)	Taking of depositions within the U.S.
15-6-28(c)	Disqualification to take deposition
15-6-30	Depositions upon oral examinations
15-6-30(b)(7)	Telephonic depositions, location of witness
15-6-30(c)	Objections to qualifications of officer
15-6-30(e)	Reading and signing of depositions
15-6-30(f)	Certification and filing of depositions
15-6-31(b)	Depositions upon written questions
15-6-32(d)	Effect of errors and irregularities in depositions
15-13-4	Fees, expense, and compensation of shorthand reporter [in referee hearings]
15-14-14	Stenographic report of opening statements not required
15-15-1	Objections, rulings, proceedings, and remarks to be noted by court reporter
15-15-7	Official transcript rates
15-26A-48	Order for transcript of proceedings on appeal
15-26A-51	Costs of transcript, endorsement of order by reporter, extension of time for transcript
15-26A-52	Form of transcript, number of copies, certification
18-1-11	Notarizing without appearance by parties as misdemeanor.
18-1-12.1	Acting without commission a violation.
18-1-13	Removal from office if convicted of misdemeanor or felony.
18-3-1	Officers authorized to administer oaths.
19-14-3.1	Form of oath for witness
19-14-4.1	Form of oath for interpreter
23A-5-11.1	Recording of testimony of witness before grand jury
27A-11A-2	Stenographic record of proceedings in mental health hearings, retention of notes
27B-7-39.2	Attendance of court reporter at hearings of county review board, retention of notes
SDCI Annon	div of Forms Form 3 (which on 1/12/15 is found in Volume 10A of the South

SDCL Appendix of Forms, Form 3 (which, on 1/12/15, is found in Volume 10A of the South Dakota Codified Laws, right after SDCL 15-26A-93): statutory format for appeal transcripts.

24.4. Federal Rules related to court reporting.

** Note: These are the rule numbers as of 12-1-14. This is not a complete list.

28	Persons before whom depositions may be taken		
28(c)	Disqualification for interest		
30(b)(4)	Deposition taken by remote means (telephone, audiovisual, etc.)		
30(b)(5)	Duties of officer taking depositions; on-the-record statement to be made		
30(c)(2)	Objections to qualifications of officer		
30(c)(3)	Depositions through written questions		
30(e)	Reading and signing of depositions		
30(f)(1)	Certification and filing of depositions		
30(f)(3)	Copies of transcript of recording; retention of stenographic notes		
31(b)	Depositions upon written questions: delivery to officer, officer's duties		
80	Stenographic transcript as evidence		

INDEX

Abbreviations definedpage 2	
Abuse 9 newlest (A9NI) seeses 10.9(E) 10.7.9.91.1 (c)	Background of case16.6
Abuse & neglect (A&N) cases19.2(5), 19.7.2, 21.1 (c) (see also Juvenile Court)	Backups of files10.4, 10.5, 14.9, 16.8
Accents, mumblings17.9, 17.11.3, 22.1, 22.7 (f)	(see also Retention, Guardianship Program)
Accents, mumbings17.9, 17.11.3, 22.1, 22.7 (1)	Bankruptcy court12.5, 14.2
Administrative hearings	Bench discussions/sidebar conferences17.3
Adoption proceedings19.13.2(h), 21.1(b)	Billing procedures3.1, 13 Attorney not required to order transcript13.6
Advance payment for transcript, see Prepayment	Attorney responsibility for payment15.22 Collections
Adverse/Hostile witness17.11.8(a)	Contracting for the state13.1
Advice, legal (do not give)16.5.3	Court-appointed attorneys3.1(d), 12.7 Direct billing for lodging (officials)16.4
This manual is not legal advice page 2	Exhibits, charging tax on3.1(c) Federal court12.7, 12.8
Advisory opinions (for full NCRA opinions check online)	Grand jury12.7, 12.8
Audio backup, NCRA #3814.9	Mental Health hearings13.2
Ethics Opinion 80-6 re payment13.5, 13.6, 15.22,	Official court proceedings-state19.13.2
15.23, 19.14	Pro se party
Impartiality of Reporter, NCRA #225.4, 16.5.2, 19.1.2 (copies to all sides)	Responsibility for reporter's bill13.5, 15.22, 19.1.4, Sales tax3
Reading/Signing (Fed Ct Rules Committee)7.2	Sample invoices
Third-party orders, NCRA #99.4, 9.6.	State/UJS contracts13.1, 15.20
	State voucher13.1, 15.17
Affirmationssee Oaths	Work comp hearings11.3.2
"All vine" 16.7.1.16.7.0	What is a page?13.4
"All rise"16.7.1, 16.7.2	Board of Pardons/Parolessee Administrative Hearings
Annual training for officials16.10	
Anticontracting law1.6	Briefs (steno) and terms for SD24.1
·	Business taxes3
Appeals generallySection 19	Colonday shoots on days shoots
Administrative hearings10.2.2 Circuit court19.1.5(a), 19.2, 19.3, 19.6	Calendar sheets as dope sheetssee Dope Sheets
Mag court19.1.5(b)	Caption/style/title/heading of casesee Title Page
Page format14.7, 19.6, 23.1, 23.2, 23.5	
Who pays for19.1.2, 19.1.5, 19.13.2(g) Work comp cases (file in 30 days)11.3.2	Case background16.6
110111 00111p 00000 (1110 111 00 000)	Certificate of Reporter
Appearances on title page19.7.3, 23.1, 15.1	Anti-contracting1.6 second paragraph
Appearance fees taxable1.3, 3.1, 3.1(e), 13.2	Court transcripts (SD) 6.1, 6.5, 19.2(2) 19.9, 19.11, 23.3 Deposition (state)
Appearance rees taxable	Do not notarize your own signature6.6, 19.11.2
ASCII files, PDFs, electronic transcripts5.5, 5.6, 6.5, 9.1,	Federal court depositions6.3, 12.4, 12.7 (last ¶)
9.9, 9.10, 12.5, 13.4, 14.3, 14.10, 17.12, 17.13, 19.1, 19.2,	Freelancers6
19.2(3), 19.2(5), 19.11.1, 19.12, 21.1(f), 21.4	Nonreporter administers oath at dep6.1 (last ¶)
Associations, Reporter1.4, 1.5	Patent and Trademark depositions11.5 Signing6.5, 14. 10, 19.11.1
Associations, nepotter	(see also Electronic Signatures)
Attorneys' Eyes Only5.6, 21.5	Work comp cases
Attorney General19.2(5), 19.13.2(d)(f)(g)	Certificate of Transcriber
Office and email address19.2(5)	Transcribing another reporter's notes6.4.1, 15.9
Grand jury proceedings21.1(a)	Transcribing from audio, FTR, etc6.4.2, 17.11.2,
Audio vocavdingo	19.9, 19.10, 19.11, 23.4
Audio recordings Certifying transcript of6.4.2, 15.9(a) 23.4	Certification(s)
Interpreted proceedings22.5(c)	Certified Realtime Reporter1.1, 20.3
Need not give to attorney14.9	Hiring preference for officials1.1
Reporting in court17.4	Not required in SD1.1
Retention of10, 10.5, 16.8	Reimbursement for successful tests1.1
Transcribing from17.11	

Certified Questions	Court reporters in South Dakota Freelancers, Sections 3 through 15
Sample	Officials, Sections 16 through 23 South Dakota Court Reporters, Section 1
Certified Realtime Reporter pay differential20.3	see also Associations for Court Reporters
Chambers, proceedings in21.1(e), 21.6	Court Reporter Reporting /Transmittal Form19.2(6), 23.
Checklist of questions for new officials17.1	Court Reporter Transcription/Compensation Certification Form (now obsolete)11.1.4, 19.15, 23.12
Clerk of Supreme Court address/email19.1.5(a)	CourtSmart and FTR Gold transcripts14.9, 16.8, 17.12,
Closed court proceedingssee Confidentiality (Sec. 21)	19.10 (see also Audio Recordings)
Closing court16.7.1 (last ¶)	Cover page on transcripts12.2.1
Closing arguments, reporting17.1.3	CRR, see Certified Realtime Reporter
Collections (see also Billing)15.23, 19.14	Daily Copy20
Concordancessee Word Indexes	Deaf and hard-of-hearing persons22.6, 22.7
Condensed (reduced, scrunched, mini) transcripts Client preference	Death or disability of reportersee Guardianship Program
Cover page12.2.1, 15.1 (c) Okay to file in federal courts in SD12.5	Department of Labor address (Feb.2015)11.3.2
Originals must be full size in state ct19.2(2) and (3) Page order9.3	Department of Revenue and Regulation, see Sales Taxes
Regular size for official originals9.3	Depositions
What is a page?13.4	Billing procedures13 Certificate of Reporter6
Confidentiality generally11.1.2. 11.4. 11.5, 21.6	Certified Questions/Excerpts5
Confidential presentings 10 F 21	Condensed transcripts9.3, 13.4
Confidential proceedings19.5, 21 Adoptions19.13.2(h), 21.1(b)	Cover page needs date on it12.2.1, 15.1 Distribution to third parties9.4
Attorneys' Eyes Only5.6, 21.5	Excerpts of5
Depositions	Federal Court12, 15.4
ECT, forced med, mental health hrgs11.4, 19.13.2(h)	Filing/transmittal5.2, 6.5, 9.1, 9.2, 19.2(6), 23.7
21.1(d)	Indexing
Electronic files	Marking exhibits8 Oaths in foreign countries2.3.2
Grand Jury9.5, 10.2.1, 11.1.2, 21.1(a)	Oaths within the United States2.3.1
Juvenile proceedings19.4, 19.7.2, 19.13.2(h),	Order form9.7, 15.15
21.1(c), 21.2, 23.1(c)	Patent and Trademark cases11.6 et seq.
Keyword Indexes5.4, 8.5	Reading and Signing7
Redaction period, 90-day17.13	Records depositions/30(b)(6)11.2
Sealed proceedings5.5	Reporting reading of in court17.4, 23.13
Statements	Retention of notes/audio/exhibits10.1, 12.6 Sealing5.5, 5.6, 7.3, 9.2, 11.3.1, 11.6.5, 12.5
1141301pt 01401017710, 10.0	Stipulations
Continuing education1.4, 16.10	Telephonic depositions12.2.5
	Videotaped depositions12.2.2, 12.2.4, 15.4
Contracting	Written interrogatories, depositions upon11.2, 15.7
Anticontracting Law	Workers' compensation cases 11.3.1
With the state10.3, 13.1, 15.20, 16.8, 16.9	Digital signatures, see Electronic Signatures
Controlling speakers/proceedings17.8	Discussions off the record
Corrections to transcripts7.4, 7.5, 15.14	
See Reading and Signing	Distribution to third parties9.4, 19.5, 21
County Codes list24.2	Depositions9.4 Grand jury9.5
Odding Oddos list	Keyword Indexes are work product5.4, 8.5
Court-appointed attorneys	Statements9.6, 11.5
Court order to prepare transcript9.5, 13.2,	Dope sheets16.6, 16.9, 20.8(1), 20.8(3)
19.5, 19.13.2, 21	DRRsee Sales Tax, Section 3

ECT (Electroconvulsive) hearingssee Mental Illness hearings	Federal Court transcripts (continued)
Electronic Signatures6.5, 19.11	Indigent cases
Electronic transcripts/ASCII files/PDF filessee ASCII Files	Page format for fed court
Emailing transcripts9.7, 19.1.5(a), 19.2	Reading and signing12.3 Retention of notes12.6 Telephonic depositions12.2.5
Email from DRR15.24	Videotaped depositions
Endorsement of transcript order19.1.5, 19.2, 23.6	Federal Rules of Civil Procedure (FRCP) Website1.7
Errata sheet7.1.2, 7.4, 15.10, 15.11, 15.13 See also Reading and Signing	Filing and Distribution
Ers and ums7.11.3, 7.11.4	Confidential transcripts5.5, 5.6,11.4, 11.5, 21.1 Depositions9.1
Ethics Opinion 80-6 re responsibility for payment15.22	Electronic filing (see also ASCII Files).17.12, 17.13 Federal depositions
Evidentiary depositions14.4	Grand jury9.5 Official original transcripts-state court9.3
Examination formats5.2, 14.8, 15.5, 15.6, 15.7, 17.11.8, 23.5(a)	Patent and Trademark depositions11.6.5 Reading and Signing15.12
Excerpts of Proceedings generally5, 16.5.2, 19.1	Redaction period
Make available to all counsel5.4 Confidential/sealed excerpts5.5	Work comp cases11.3.2
Depositions	Fonts, monospaced19.6(3), 23.14
Transcribing	Format requirementssee Page Format, Examination formats
Exhibits in Court	Freelancing generallySections 1 through 15
Indexing	Officials doing16.3
Offers of proof18.2	-
Received18.1 Refused18.3	Frequently Asked Questions14
Unusual exhibits, weapons, etc18.5	FTR and CourtSmartsee CourtSmart and FTR
Exhibits at Depositions8 How to mark8.2 (note 1 and 2), 8.2.1	Grand Jury generally9.5, 10.2.3, 11.1
Indexing	Billing procedures11.1.4 Confidentiality9.5, 11.1.2
Offered at deposition8.2.2	Court orders for transcripts21.1(a)
Patent and Trademark depositions11.6.2	Filing and distribution9.5
Retention of10.6, 15.13(d)	Grand jurors not identified11.1.3 Off-the-record discussions during11.1.1
Expense reimbursementsee Travel Vouchers	Officials reporting16.3.2
	Report everything11.1.1
Extension on appeal transcripts19.1.5(a), 19.3, 23.8(a) Work comp cases11.3.2	Transcribing15.6
Extra-judicial employment16.3.	Guardianship Program10.3, 15.19
Familiarity with witness	Habeas Corpus, Writ ofsee Writ of Habeas Corpus
FAQs14	Hard-of-hearing or Deafsee Deaf or Hard-of-Hearing
Federal Court transcripts	Hard-to-understand witnesses17.9
'	Hostile or Adverse witness17.11.8(a)
Billing for12.7, 12.8 Certificate of Reporter6.3, 12.4, 12.7	Impartiality of court reporter1.6,16.5, 16.5.2
Depositions	Inaudible, indiscernible, unintelligible15.9(a) (notes), 17.11
Deposition title pages12.2.1 Filing and distribution12.5	In Chambers (in camera)see Chambers, Proceedings in
Format for official court proceedings12.1	•

Indexing generally8,	Mental Illness/Mental Health hearings10.2.1,
Appeals transcripts19.6(6), 19.8, 23.2	11.4, 13.2, 19.13.2(b) and (h), 21.1(d)
Certified questions5.2	Retention of notes/tapes10.2.1
Concordance (word index)8.5	
Court transcripts23.2	Migrate files from older to new media10.5
Deposition Exhibit Indexes8	
Examples of23.2	Mileage ratessee Travel Vouchers
Exhibits in court transcripts23.2	
Keyword Indexing8.5	Miscellaneous proceedings11, 21
Master Index to Depositions8.6	
Motions8.4, 23.2(b)	Mini-transcriptssee Condensed Transcripts
"No Exhibits Marked Herein"8.2.2	
Objections in depositions8.3	NCRA1.5
Patent/Trademark depositions11.6.3	Advisory opinionssee Advisory Opinions
Realtime/Rough Draft20.5	Joining recommended, not required1.5
Word indexes8.5	Page format14.1
	Website1.5
Indigent partiessee Court-Appointed Attorneys	N
1070010()	No and yes, nodding head17.11.5
Initials in place of names19.7.2, 21.2(c), 21.2	N
landardina a mitara a ta annuan	Non-appeal transcripts19.1.4, 19.13.2(a)(c)(d)(e)(f)
Instructing a witness to answer2.3.4	
Interpreted proceedings22	Notarial seal not needed on certificates2.1.1
Oath for interpreter2.2.1, 22.2	
Sample transcript22.8	Notary Public, becomingSection 1.2, Section 2
F F-	Cannot stipulate to swearing in2.2.2
Invoices	Do not notarize your own transcript2.1.1
2, 2 2, 2 2	Fee not charged for oaths2.1.2
Involuntary Commitmentssee Mental Health	How to become a notary1.2
·	Keeping a journal of notarizations2.1.1
lowa: references to lowa rules7.3	Misdemeanor means loss of notary2.2.2
	Notarial Handbook online2.2.1
Jury instructions17.1, 17.1.4, 17.12	Oaths/affirmations, forms of2.2.1
	"Officer" in charge of taking depo2.3.4, 2.2.1
Jury questions17.7	Resident of county bordering SD1.2
	Secretary of State website2.1.1
Jury voir dire17.1, 17.5	Telephone, do not administer oath by2.2.2
	Videoconference, oath over2.2.3
Juvenile court19.4, 19.2(5) 19.7.2, 19.13.2(h),	
21.1(c), 21.2, 23.1(c)	Notes (stenographic)see Retention of Notes,
Child victims in adult cases21.1(c) note 2	Backup of Files
Keyword Index (not the same as Word Index)	Notice of deposition1.6 (end); 2.3.1, 2.3.2, 4
Defined8.5	Notice of filing/delivery of transcript12.5, 15.12
Do not reveal to opposing side5.4	Notice of written interrogatories
Bo not reveal to opposing side	Notice of William Interrogation comment 11.2.1, 11.2.
Legal advice, do not give16.5.3	Oaths/Affirmations
3	AdministeringSection 2
Letters to attorneys7.5, 13.5, 15.12, 15.13	By someone other than court reporter6.1
Collection15.23	Fee not charged2.1.2
Errors15.14	Forms of2.2.1
Exhibits, what to do with15.13	Interpreted proceedings2.2.1
Prepayment23.9	Irregular form of2.2.1
Reading and signing15.11, 15.12	Out-of-state deposition2.3.1
	Telephone/Teleconference2.2.2
Licensing SD reporters not done1.1	
	Objections, indexing8.3
Magistrate court proceedings13.1, 19.1.5, 19.13.2(j)	
	Off-the-record11.1.1, 17.2
Making the official recordSection 16	Grand jury1.1.1
	Side-bar/bench conferences17.3
MarginsSee Page Formats	Officerations
Maybing aybibite and Eybibite	Offer of proof18.2
Marking exhibitssee Exhibits	Officer of the court
Meals, mileage, lodgingsee Travel Vouchers	Officer of the court
wicais, milicaye, lougingsee Havel vouchers	Officer before whom deposition is taken2.3.4
Membership application for SDCRA1.8	omosi poloto whom dopositori is taron2.0.4
	Official reporters generally16, 17

Opening court ("All rise")16.7.1	Questions numbered/written interrogatories11.2, 15.7
	Ratessee Transcript fees
Opening statements17.1.1, 17.1.3	Reading depositions into the record17.4, 23.13
Orders for court transcripts19	reading depositions into the record17.4, 20.10
Appeal transcripts19.1.5, 23.6	Reading and signing7
Excerpts17.1.1	Any changes in form or substance7.1.2
Non-appeal transcripts19.1.4(a)	Asking the witness/parties about7.1
Pro se parties19.5	Certificate of Reporter, wording in7.2, 6.3
Rush/special services19.1.3	Changes to form or substance7.1.2
Third-party ordering transcripts9.4	Errata sheet
Writ of habeas corpus19.13.2(b)	Evidentiany denocitions 14.4
will of habeas corpus19.13.2(b)	Evidentiary depositions14.4
0 L (T L L (Federal court rules7.2, 12.3
Order formssee Transcript order forms	Letters to witness and attorneys15.11, 15.12
	Notice of delivery of transcript after15.12
Oregon attorneys3.1(last ¶)	Out-of-state court rules7.3
	Parties must waive too4.2 note 2
"Original" and "Copy" stamps14.10, 9.1	Reserving signature7.1.1
	Standard stipulation says parties waive4.2
Original transcripts	Time limits (SD 15 days, fed 30),7.1.3
Appeals transcripts filed with clerk19.1.5 et seq.	Trial depositions14.4
Certified Questions, Excerpts5.2	Waiving signature7.1.1
Condensed/Scrunched/Mini vs. regular size12.5,	Witness does not read/return transcript7.1.2, 7.1.3,
19.2(2)	7.2, 15.12
Confidential transcripts5.5, 5.6, 21	1.2, 13.12
Depositions and filed 14.0	Dealtime managelly. Can Castian 00
Depositions not filed	Realtime generallySee Section 20
Filing original transcripts9.3, 19.1.5	Disclaimer/rough drafts15.8, 20.5, 20.6
Notary seal not needed on certificate2.1.1	Equipment (officials)20.1
Sealed envelope for original depositions9.2, 14.3	Salary differential for CRR20.3
Signatures on	Training for officials20.2
Stamping "original" on deposition9.1, 14.10	
Who has to order/pay for13.6, 19.13.2(i)	Reconstructing the record from a
	bad audio recording17.11.2
Page Format	•
Appeals transcripts in state court19.6	Records depositions11.2
Depositions14.1	
Federal official court transcripts12.1, 15.21	Records retention and destructionsee Retention of Notes
NCRA guidelines14.1	
No statutory format for depos-state14.1	Reduced transcriptssee Condensed Transcripts
	rieduced transcriptssee Condensed Transcripts
Official court proceedings-state14. Patent and Trademark depositions11.6.1	Departer death as disability, and Oversian bis Departer
	Reporter death or disabilitysee Guardianship Program
Sample pages15.3, 15.7, 15.21, 23.1, 23.2,	Department of the control of the Official Occurs Department
23.5, 23.13	Reporting in courtsee also Official Court Reporters
	Discussions off the record17.2
Page numberingsee Page Format	Jury instructions17.1, 17.2, 17.12
	Jury selection, voir dire17.1, 17.1.4, 17.5
Page ratessee Transcript Fees	Opening and closing statements17.1.1, 17.1.3
	Questions from the jury17.7
Parentheticals 12.1(7), 12.1(8), 17.1, 17.4, 17.10, 17.11.5	Reading of depositions17.4
	Sidebar/bench conferences17.3
Patent & Trademark Depositions/requirements11.6	Telephone/teleconference proceedings16.7.3
Rules of Procedure website1.7, 11.6	Videotapes played in court17.4
Tuics of Frocedure website	videotapes played in count
Payment in advancesee Prepayment	Request for Extension of time to complete appeal
ayment in advancesee i repayment	transcript19.3
DDE ACOU/Flootworks files	transcript19.5
PDFsee ASCII/Electronic files	Degreest for Transcript Coation 10
DI + 1D (Request for TranscriptSection 19
Photo ID for unfamiliar witness	
For confidential transcript order	Reserving signature7.1.1
	see also Reading and Signing
Postage & delivery fees, charging tax on3.1(c)	
- · · · · · · · · · · · · · · · · · · ·	Retention of Notes, Audio Recordings, Exhibits10
Prepayment13.6, 19.1.5(a), 19.2, 19.13.1, 19.13.2	Administrative hearings10.2.2
Letter requesting prepayment23.9	Depositions/Freelance10.1
	Equipment that will read old media10.5
Pro se parties16.5.3, 19.1.4, 19.7.3, 23.1(b)	Errata Sheets7.1.2, 7.4
1 10 30 parties10.0.0, 13.1.4, 13.1.0, 20.1(0)	Errata Sneets
Quantiana from the juny 17.7	
Questions from the jury17.7	Federal court depositions12.6
	Federal official court proceedings10.2.4

Grand jury proceedings	Wording of4.2
Mental Health/County Review hearings.10.2.1 Migrate your old files to new media10.5	Statements9.4, 9.6, 11.5,
Official court proceedings-federal10.2.4	Statements9.4, 9.0, 11.5,
Official court proceedings-state10.2.4	Statement of Officer Taking Deposition12.2.2, 12.2.3,
Work Comp hearings	12.2.4
Reporter death or disability10.3 See also Guardianship Program	Statutes (SD) affecting court reporters24.3
See also duardianship i Togram	Statutes (Fed) affecting court reporters24.4
Rough drafts20	Ciacios (Four anothing countroportoroninininininini
•	Stipulations4
Rules of Procedure, list of24.3, 24.4 Websites for1.7, 11.6	Paraphrased ("insert the same one as last time")15.3(b) Re oath2.2.2
Salary differential, CRR20.3	Stopping the proceedings17.8, 17.9
Sales to Third Parties, see Third-party orders	Stutters17.11.3
Sales Taxes generally3	Style/Caption/Title of casesee Title Page
Appearance fee only3.1(e)	
Base tax on delivery location3.1(e) Billing3.2	Supreme Court Clerksee Clerk of Supreme Court
Charge tax on everything billed3.1(c), 14.6 Department of Revenue website1.7	Tape recordingssee Audio Recordings, Videotapes
Exemption certificate3.1(f)	Taxessee Sales Tax
Government entities, no tax charged3.1(d)	
License needed to sell transcripts3.1(a)	Telephone/Teleconference proceedings2.2.2, 2.2.3,
Out-of-state attorneys12.4, 14.5	16.7.3, 17.6
Out-of-state delivery3.1(e)	Federal Depositions12.2.5
Photocopies you paid tax on3.1(f)	Oaths over the phone2.2.2, 16.7.3
On postage and delivery fees1.3, 14.6	Oaths over teleconference/audiovisual device16.7.3,
Sample bill	Reporting17.6
Use tax on supplies/equipment3.1(b) Website	Terms and Briefs used in South Dakota24.1
Sample Forms: Sections 15 and 23	Third-party transcript orders on depositions9.4
-	
Scrunched transcriptssee Condensed transcripts SDCRA see South Dakota Court Reporters Association	30(b)(6) depositions11.2.1
	Title pages
Sealing transcripts	Abuse and neglect case23.1(c)
Court21	Appeals transcript, SD state court19.6(5), 23.1(a)
Depositions5.5, 5.6, 7.3, 9.2, 11.3.1., 11.6.5, 12.5	Deposition15.1
Sealed envelope for original deposition9.1, 12.5	Deposition in federal court12.2.1
Secretary of State web site 1.2, 2.1.1	Telephonic/Teleconference12.2.5
Secretary of State web site 1.2, 2.1.1	Trademark and Patent casessee Patent and Trademark
[sic]17.11.3	Trademark and Faterit casessee Faterit and Trademark
	Training
Sidebar discussions17.3	Annual for officials16.10
0	Realtime for officials20.2
Signing transcripts	Tours will be a south of Tours with an
Slang17.11.3	Transcriber certificatesee Certificate of Transcriber
Siang17.11.3	Transcript fees
South Dakota Codified Laws (SDCL) website1.7, 24.3	Appellant pays for copies to all sides11.3.2, 19.1.2
South Delegte Court Departure Association (CDCDA)	Federal court
South Dakota Court Reporters Association (SDCRA)	<u> </u>
Website1.4	No charge to judge ordering transcript23.11
Advantages of joining1.4	Realtime rates in court12.7 State court19.13.1
Membership: how to join, dues1.4 Membership application1.8	State count19.13.1
Website1.4	Transprint order form
vvGD31161.4	Transcript order form Appeal transcripts23.6
Stamping originals and copies9.1, 14.10	Deposition15.15
Otamping originals and copies	Борозіцоп10.10
Standard Stipulation for depositions4	Transcripts, SD official court generally17, 19
Do not insert unless requested4.1	Available to all sides5.4, 16.5.2, 21
No longer included in statute4	Confidential/closed proceedings 21

Format, see Page Format FreelanceSections 4 through 9, 11, 12, 15 Orders for See Orders for Transcripts, Transcript order form	Voir dire of witness17.11(d) Volume numbers on transcripts19.6(5), 19.7.1
Travel vouchers15.7	Voucherssee Travel Vouchers
Two witnesses at the same time—exam setup47	Waiving qualifications to administer oath2.2.2
Uh-huh/uh-uh17.11.5	Waiving signaturesee Reading and Signing
UJS contract15.20	Websites to rules, statutes1.7
UJS Intranet for official reporters1.7	What needs to be reported11.1, 17.1
UJS memo re judges' transcripts23.11	Witnesses, familiarity with16.5.1 Interrupting, stopping17.8, 17.9
Ums and ers7.11.3, 7.11.4	Hostile/Adverse
Unintelligiblesee Inaudible	Word Index/Concordance (see also Keyword Index) Defined8.5
Unsworn witness—exam setup47	
Use tax1.3	Workers' compensation proceedings11.3 Depositions6.5,11.3.1, Hearings11.3.2, 10.2.2,
Verbatim record11.3.2, 15.8, 17.11.2, 17.11.3	Writ of Habeas Corpus19.13.2(b)
Videoconferencesee Telephone/Teleconference	
Videotapes Depositions8.3, 12.2.2, 12.2.4, 15.4 Reporting in court17.4 Transcribing from videotape6.4.2, 15.9	Written interrogatories Attach copy to deposition
Voir dire, jurysee Jury voir dire	Yes and no answers (yeah, nodding, etc.)8.5